

1 **Title: The ankle energetic effect of carbon fiber insoles on Walking**

2 Short Title: Ankle energetic effect of carbon fiber insoles

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26 **Abstract**

27 **Background & Aims**

28 Various insoles have been developed, but little objective evaluation of their  
29 effectiveness has been conducted. We investigated the effect of insoles  
30 supporting the cuboid bone and anterior part of the calcaneus in healthy  
31 individuals.

32 **Methods:**

33 The subjects included 18 healthy males and females. They walked in  
34 standardized shoes with a flat insole (hereinafter, FI, a flat insole made of  
35 polyurethane without an arched shape on the surface) and a carbon fiber insole  
36 (hereinafter, CFI, made of carbon and supporting the cuboid and anterior part of  
37 the calcaneus). We used a three-dimensional motion analysis device and a force  
38 plate to analyze gait and quantitatively compared the effect of CFI.

39 **Results:**

40 The CFI reduced ankle power without reducing walking ability. In particular, with  
41 regard to the left-right difference in ankle joint sagittal plane power, we found that  
42 when the left-right difference was large, the use of CFI reduced the left-right  
43 difference in power.

44 **Conclusion :**

45 The burden on the muscles of the lower limbs on one side is reduced, and sports  
46 performance, including walking, can be maintained.

47

48 **Key words:** carbon fiber insole, gait analysis, three-dimensional motion capture,  
49 biomechanical relevance, ankle power

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## 51 1. Introduction

52 Although insoles made of various materials and shapes have been developed to  
53 improve performance in sport activities, few objective evaluations on their  
54 effectiveness have been conducted. Moreover, the functions required for insoles  
55 are not only shock absorption and support for the foot arch, but also improvement  
56 of performance in sport activities. Although sport performance is often evaluated  
57 through breaking competition records, etc., few kinematic quantitative evaluations  
58 have been conducted, so the effects thereof remain unclear.

59 Regarding the effects of insoles other than in terms of sport activities, reports  
60 have been made on whether the use of insoles affects the standing balance of the  
61 elderly. Several studies on flat and textured insoles have reported no difference in  
62 standing balance using textured insoles compared to flat insole (hereinafter "FI").  
63 Among these, insoles with a pyramid-shaped projection on the surface <sup>1</sup>, those with  
64 raised edges <sup>2,3</sup>, those with round plastic bumps <sup>4</sup>, those with granules <sup>5</sup>, and  
65 sandals equipped with spike insoles <sup>6,7</sup> are reported to improve standing balance.  
66 As a reason for this, one common effect of aging is a loss of skin sensation, which  
67 is thought to be correlated with impaired posture control and an increased risk of  
68 falling.

69 Clinically, insoles have been prescribed to protect the plantar fascia and reduce  
70 pain in patients suffering from plantar pain <sup>8</sup>. Lateral wedge insoles are prescribed  
71 to prevent bowlegs in patients with varus arthrosis deformans <sup>9</sup>. As a treatment for  
72 flat feet, insoles are also prescribed as arch support to prevent the medial arch  
73 from being flattened and everted <sup>8</sup>. These cases are often subject to subjective  
74 evaluations such as comfort and pain reduction, with few quantitative analyses  
75 having been conducted.

76 It has been reported that regarding the left-right difference in movement of the  
77 lower limbs of healthy individuals, movement is symmetrical while walking on a  
78 treadmill, with the left-right difference decreasing with increased speed among  
79 individuals suffering from vertical displacement of the knee <sup>10</sup>. Regarding left-right  
80 differences in the percentage of time standing on each foot during one walking  
81 cycle, it has also been reported that the time standing on the non-dominant leg was  
82 significantly longer than that on the dominant leg during the standing phase III (from  
83 heel landing to toe rising) <sup>11</sup>. In other words, it appears that left-right differences  
84 exist in the movement of the lower limbs during normal walking. From these reports,  
85 although a dynamic evaluation has been conducted on the balance of the lower  
86 limb movement, no evaluations into further details have been conducted.

87 In this study, we captured an opportunity to evaluate carbon fiber insole (made  
88 of carbon and supporting the cuboid and anterior part of the calcaneus (hereinafter  
89 "CFI")) developed to improve performance in sport activities. The CFI used herein  
90 are expected to improve the balance of the standing posture via the structure of  
91 foot support. We will verify the improvement of left-right balance when walking by  
92 measuring the ankle moment and power on sagittal plane. Assuming that there is  
93 a difference in the left-right moments and power on sagittal plane during normal  
94 walking of healthy individuals, we conducted a kinematic analysis on the effects of  
95 using insoles from the data of the three-dimensional motion analysis device and  
96 the force plate to investigate the effects on walking.

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## 101 **2. Methods**

### 102 **2.1. Participants**

103 The subjects included healthy individuals aged 20 to 63, excluding those who  
104 require walking aids such as canes, as well as those with neuromuscular diseases,  
105 cardiovascular diseases, respiratory diseases, or motor neuron diseases that may  
106 influence their walking. The study was discontinued if a subject complained of pain  
107 or discomfort when using the insole. 18 males and females (9 males, 9 females,  
108 from 20 to 63 years old, average  $43.9 \pm 14.4$  years old) satisfying the above criteria  
109 were selected.

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### 111 **2.2. Procedures**

112 For comparison of the insoles among subjects, we asked subjects to walk wearing  
113 standardized shoes (no heel counter and shank) with normal FI without an arched  
114 shape on the surface and made of polyurethane and wearing CFI (BMZ insole,  
115 BMZ. Inc, Gunma) (Fig.1A and Fig.1B) and conducted a gait analysis using a three-  
116 dimensional motion analysis device (Vicon MX, Vicon Motion Systems Oxford. UK,) and a force plate (AMTI, Watertown, MA, USA).

118 For the three-dimensional movement analysis, analysis markers were attached  
119 to a total of 28 points: 4 points at the head, C7, Th8 spinous processes, the  
120 midpoint of the left and right superior posterior iliac spines, the acromion, the  
121 external humerus condyle, the radial styloid process, the superior anterior iliac  
122 spine, the point 1/3 from the greater trochanter on the line between the superior  
123 anterior iliac spine and the greater trochanter, the external femoral condyle, the  
124 midpoint of the line shape between the external femoral condyle and the ankle  
125 lateral malleolus, the ankle lateral malleolus, the midline of the facies posterior to

126 the calcaneus, and the head of the second metatarsal bone.

127 Patients walked normally in standardized shoes on a walkway of approximately  
128 10 m without knowing which insole they are wearing. The insoles to be worn were  
129 randomly chosen. No instructions on walking speed were given and the subjects  
130 walked at their optimal speed. After two trials each with both the CFI and the FI, a  
131 total of three measurements were taken.

132 In order to investigate any correction due to the insoles, a past study compared  
133 the control conditions wearing the shoes the subjects regularly wore with 3 mm FIs  
134 and wearing standardized shoes with the same insoles <sup>12</sup>. As a result, the  
135 conclusion recommends using the footwear of the participants as the control  
136 condition, because standardized shoes, compared to the usual shoes, significantly  
137 affect the knee adduction impulse, ankle abduction moment, and vertical grounding  
138 reaction load factor at the time of knee abduction. However, since walking needs  
139 to be evaluated with "shoes + insole", this study focused on the difference in the  
140 function of insoles by using the same shoes (no heel counter and no shank).

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### 142 **2.3. Ethics approval and consent to participate**

143 This study was approved by the medical research ethics review committee for  
144 individuals at Gunma University (study number HS2017-229).

145 [https://upload.umin.ac.jp/cgi-open-bin/ctr\\_e/ctr\\_view.cgi?recptno=R000034362](https://upload.umin.ac.jp/cgi-open-bin/ctr_e/ctr_view.cgi?recptno=R000034362)

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### 147 **2.4. Data analysis**

148 All subjects completed the measurements without any adverse events. The obtained  
149 data were imported into the Visual 3D software program, ver. 6 (C-Motion, Inc.,  
150 Germantown, MD, USA) to calculate the walking parameters and kinematics data.

151 Walking speed, cadence, step length, and stride length were recorded as walking  
152 parameters. Walking speed was defined as the speed of movement of the center of  
153 gravity in the direction of travel, calculated as the average of 5 m as the middle point  
154 during walking. Cadence was also calculated at 5 m as the midpoint during walking.  
155 The step length and stride length were also calculated as the average of 5 m as the  
156 midpoint during walking.

157 We calculated hip and ankle moment on the sagittal plane at terminal stance, and  
158 analyzed them. The peak values of hip flexion moment, hip power on the sagittal  
159 plane, ankle planter flexion moment, and ankle power on the sagittal plane were  
160 recorded.

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## 162 **2.5. Statistical analysis**

163 We compared the data when wearing FI and that when wearing CFI. Group A (n  
164 = 7) included those with a left-right difference of more than 20% in ankle power  
165 when using FI, while Group B (n = 11) included those with a difference of less than  
166 20%. We compared the subjects within each group. Table 1 shows the physical  
167 characteristics of the subjects. There was no difference in the physical  
168 characteristics between Group A and Group B.

169 Regarding statistical processing, we performed a paired t-test for the walking  
170 parameters and Wilcoxon's signed rank test for left-right differences in joint moment,  
171 power, and ankle power, with  $<0.05$  considered to be a significant difference.

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176 **3. Results**

177 The three-dimensional motion analysis revealed no significant difference in the  
178 walking parameters (gait velocity (m/s), cadence (steps/minute), step length (m),  
179 stride length (m) ) between the FI and the CFI. No differences in hip flexion  
180 moment or ankle plantar flexion moment were observed between the two groups.  
181 The CFI significantly reduced ankle power on sagittal plane compared to the FI ( $P$   
182  $< 0.05$ ). There was no significant difference in hip power on sagittal plane between  
183 the CFI and the FI (Table 2).

184 We then examined the left-right difference in peak ankle power in the sagittal  
185 plane when wearing FI. We found that there were two groups, one with a large  
186 difference between the left and right sides and the other with a small difference.  
187 The group with the largest difference was designated Group A, and the group with  
188 the smallest difference was designated Group B. The difference in peak ankle  
189 power in the sagittal plane was defined as 1.0 w/kg.

190 In Group A, walking with carbon insoles significantly decreased the left-right  
191 difference in the power compared to walking with FI (Fig.2A). However, the insoles  
192 caused no difference in Group B, in which the left-right difference in power was  
193 small (Fig.2B).

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201 **4. Discussion**

202 We found that the CFI reduced ankle power without affecting walking ability. The  
203 reduced power immediately before raising the toe means reduced power of the  
204 gastrocnemius muscle and the soleus muscle, which create the ankle's plantar  
205 flexion force. Reduced muscle power may reduce muscle fatigue and prevent a  
206 decline in sports performance, including walking, can be maintained.

207 Reduced ankle power during "kicking the ground to move the foot forward" is  
208 known to increase the hip joint power as a trade-off <sup>13</sup>. However, no change in hip  
209 power was observed in this study. Reduced ankle power without increased hip  
210 power may also lead to a decrease in overall energy consumption.

211 Since walking is performed symmetrically, the ankle power while walking is  
212 considered to involve no left-right difference. However, it is known that dominant  
213 and non-dominant legs have different reaction time and muscle strength <sup>14</sup>. In this  
214 study, 7 out of 18 subjects had a left-right difference of more than 20% in ankle  
215 plantar flexion power during walking with the FI. In these 7 subjects, we  
216 confirmed that the use of CFI reduced the difference in ankle plantar flexion  
217 power. In other words, the use of CFI equalized the left-right power. The burden  
218 on the muscles of the lower limbs on one side is reduced, and sports  
219 performance, including walking, can be maintained. CFI may reduce the burden  
220 on the muscles of the unilateral lower limbs and prevent the deterioration of sport  
221 performance.

222 The insoles used in this study provide support to the cuboid bone and the anterior  
223 part of the calcaneus and are made of light and thin highly rigid carbon. Directly  
224 pushing the medial longitudinal arch up, as with polyurethane insoles, is effective  
225 for postural stability at rest, but it may hinder the original functions of the arch, such

226 as shock absorption, by changing the arch height and momentum to move forward.  
227 The carbon insole used in this study is designed to directly support the cuboid bone.  
228 Especially for athletes, it is more useful to hold the arch by supporting the cuboid  
229 bone than directly pushing the medial longitudinal arch up.

230 A recent study concluded that two unique arches on the human foot enabled  
231 bipedal walking <sup>15</sup>. Most previous studies have focused on the medial longitudinal  
232 arch (hereinafter, MLA), which extends from the heel to the ball of the foot. However,  
233 it was revealed that the transverse tarsal arch (hereinafter, TTA) transecting the  
234 foot is related to more than 40% of the rigidity of the foot. Only the genus Homo  
235 have a fully developed MLA and TTA. These findings suggest that the combination  
236 of two adjacent arches creates the longitudinal rigidity of the foot. The findings that  
237 two unique arches in human feet enabled efficient upright walking indicate that  
238 support of the arch by the insole not only affects the MLA but also the TTA derived  
239 therefrom.

240 In this way, the joint group around the heel plays a role of a torque converter  
241 (force converter) that controls the height of the arch, the actions of the toes of the  
242 foot, and the direction and inclination of the lower limbs. Therefore, we believe that  
243 the foot can do its job more effectively when the major joints in the foot work at their  
244 full potential.

245 For the treatment of flat feet, one common deformation in young individuals, a  
246 previous study evaluated the hardness of insole materials and the height of the  
247 arch support. The results indicated that correction of the height of the arches  
248 involved increases in both the hardness of the material and the height of the  
249 support <sup>16</sup>. Therefore, while static insoles are suitable for the treatment of flat feet,  
250 such insoles are inappropriate for sport because they are related to the movement

251 of the articulatio subtalaris. The reason for this is, if the insoles are hard, the  
252 subtalar joint may open (the arch is bent and absorbs shock) or close, making it  
253 difficult to evoke the locking system of the midtarsal joint and stabilize the foot. In  
254 addition, sport insoles require thinness and high rigidity to improve performance.  
255 For this reason, we used carbon insoles (made from carbon fiber consisting  
256 primarily of carbon) that combines the two functions as CFI.

257 We have some limitations. First, we need to think about "What made the  
258 adjustment?". Why did the left-right difference of Group A become smaller? While  
259 the FI required large force, the carbon insoles required less power. Although we  
260 found the use of carbon insoles lead to the equalization of left-right power, further  
261 quantitative evaluation is necessary. Second, the small number of cases may affect  
262 the results. Third, this study was conducted on healthy subjects and cannot be  
263 generalized to patients with gait disorders.

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276 **5. Conclusion**

277 The CFI reduced the power of the ankle plantar flexion muscles. Regarding the ankle  
278 power obtained from the force plate, the use of CFI in the group with a large difference in  
279 left-right power significantly reduced the left-right difference in power, equalizing the left-  
280 right power of the ankle plantar flexion muscles. In sport activities, the CFI are believed to  
281 function to reduce the fatigue of the lower limbs muscles as well as the burden on the  
282 unilateral legs, and maintaining long-term sports performance, including walking.

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284 List of Abbreviations

285 CFI : carbon fiber insole ; FI : flat insoles ; MLA : medial longitudinal arch ; TTA : transverse  
286 tarsal arch ; PAN : polyacrylonitrile;

287

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290

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292

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294 consideration elsewhere.

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342 **Figure legends**

343 Fig. 1A: Carbon fiber insoles(CFI).Top view(left) and side view(right).

344 The CFI is made of carbon, which is thin and highly rigid, with a structure that supports  
345 the cuboid bone and the anterior part of the calcaneus.

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347 Fig. 1B: Longitudinal sectional view illustrating the relationship between the insole and  
348 the foot

349 F: Weight of user

350 The moment acts on the calcaneus with the fulcrum P2 as the center

351 The moment acts on the cuboid bone with the fulcrum P3 as the center

352

353 Fig. 2A: Differences in ankle power on sagittal plane in right and left ankle at Group A

354 In Group A, in which the left-right difference in power is large with polyurethane insoles,  
355 walking with carbon insoles significantly decreased the left-right difference in power  
356 compared to walking with polyurethane insoles.

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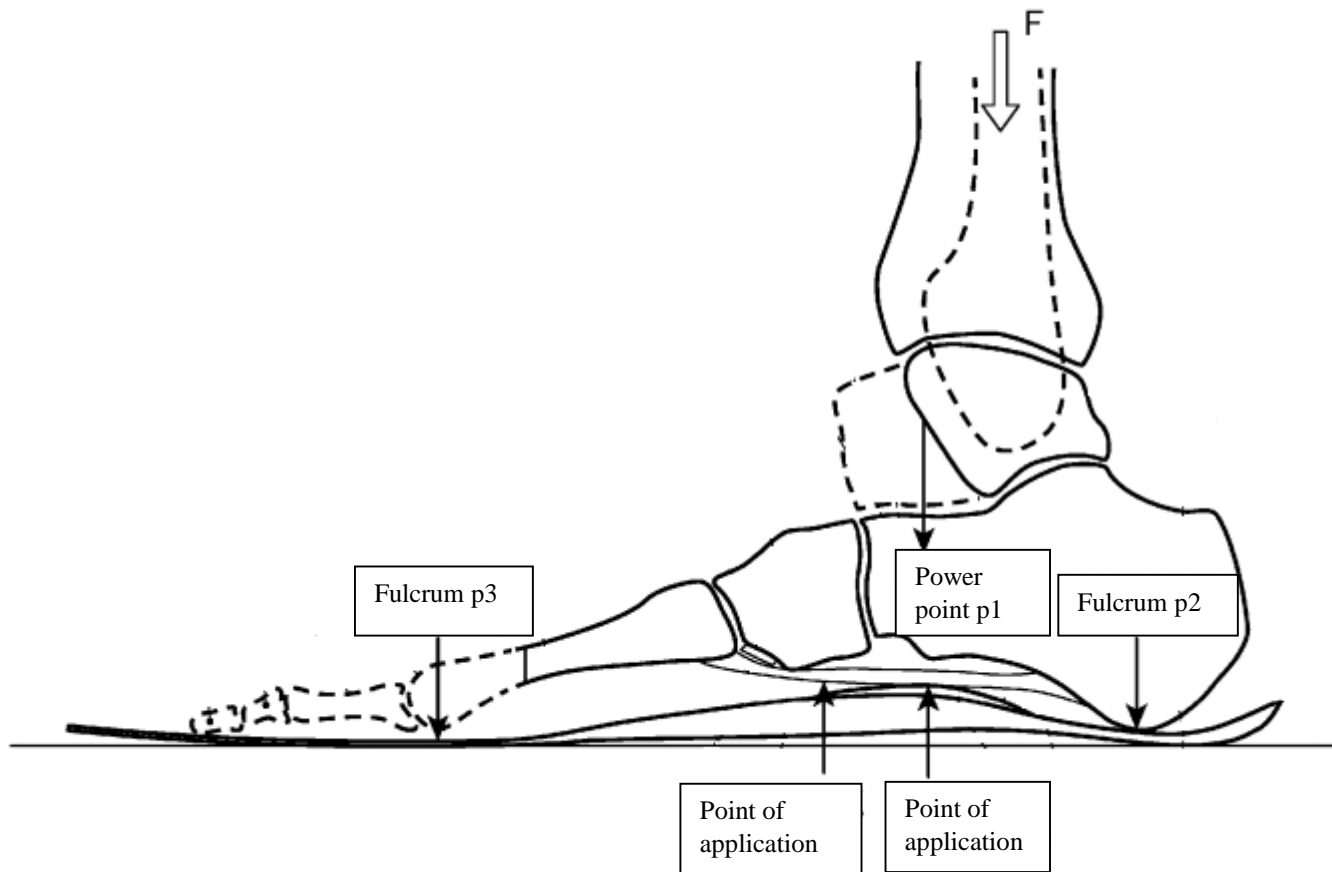
358 Fig. 2B: Differences in ankle power on sagittal plane in right and left ankle at Group B

359 The insoles caused no difference in Group B, in which the left-right difference in power  
360 was small.



Fig. 1A Carbon fiber insoles(CFI).Top view(left) and side view(right).





F: Weight of user  
 The moment with fulcrum P2 as the center acts on the calcaneus, while the moment with fulcrum P3 as the center acts on the cuboid bone

Fig1B : Longitudinal sectional view illustrating the relationship between the insole and the foot

Table1 The physical characteristics of the subjects

	Group A (n=7)	Group B (n=11)	p-value
Age (y)	38.6 ± 15.7	47.3 ± 13.9	0.369
Sex (M/F)	3/4	6/5	0.192
Height (m)	1.67 ± 0.1	1.64 ± 0.1	0.722
Weight (kg)	63.1 ± 13.4	58.8 ± 9.1	0.333
BMI (kg/m <sup>2</sup> )	22.5 ± 3.4	21.8 ± 2.7	0.381

Data are presented as Means ± SD  
BMI: body mass index

Table2 Comparison of gait parameters between flat insole and carbon fiber insole

	Flat insole	Carbon fiber insole	p-value
Gait velocity (m/s)	1.28 ± 0.14	1.34 ± 0.14	0.228
Cadence (steps/minute)	116.8 ± 7.9	116.6 ± 7.8	0.815
Step length (m)	0.61 ± 0.07	0.62 ± 0.08	0.139
Stride length (m)	1.32 ± 0.09	1.34 ± 0.08	0.123
Peak hip moment (Nm/kg)	0.94 ± 0.25	0.93 ± 0.28	0.870
Peak hip power (w/kg)	1.55 ± 0.60	1.54 ± 0.58	0.950
Peak ankle moment (Nm/kg)	1.53 ± 0.16	1.52 ± 0.22	0.085
Peak ankle power (w/kg)	5.06 ± 1.14	4.65 ± 1.19	0.023*

Data are presented as Means ± standard deviation