

Introduction of Hydrophilicity
onto Silicon Oxide and Titanium Oxide
by Non-equilibrium Atmospheric Pressure Plasma

Chen Long

Department of Production Science and Technology, Gunma University

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Chapter 1 General introduction

1. Definition and classification of plasma

1.1 Definition of plasma

Plasma, a word proposed by Langmuir, is often considered the fourth state of matter except solid, liquid and gas and it represents 97% of the universe ^[1,2]. A commonly accepted definition is plasma is a partially or fully ionized gas. Plasma discharges can create an abundance of energetic gas phase species, such as ions, electrons, photon and neutral particles ^[3].

1.2 Classification of Plasma

According to the type of the energy transferred to plasma, the properties of plasma can change by electron density and electron temperature. These two parameters can classify plasmas into different categories (as shown in Fig. 1-1) ^[4]. In general, there are two main categories for plasma depending on plasma's characterized temperature: Equilibrium plasma (thermal plasma) and non-equilibrium plasma (cold plasma). Both of these two kinds of plasma can be generated in laboratory or industrial environment by applying direct or alternating high voltage on a gas ^[5].

For thermal plasma, transitions and chemical reactions are controlled by collisions, not by radiative processes. In addition, collision phenomena are micro-reversible in thermal plasma that is to say each kind of collision is balanced by its inverse (excitation/deexcitation; ionization/recombination; kinetic balance) ^[6]. Therefore, in thermal plasma the electron temperature is equal to the gas temperature which depends on the temperature of heavy particles.

Non-equilibrium plasma can be described by two temperatures: heavy particle temperature (T_h)

and electron temperature (T_e). The heavy particles can be kept “cold” (near ambient temperature, 300 K) while the electron can be made “hot”, above 1×10^4 K. Because of the big mass difference between heavy particles and electrons, the gas temperature (T_g) (or plasma temperature) is determined by T_h , in other words $T_g \approx T_h$. Therefore non-equilibrium is also called cold plasma, because of the lower heavy particle temperature. Non-equilibrium plasmas are as particular use in industry because of their ability to promote specific types of gas-phase reactions and species that can modify bulk materials [2].

2. Non-equilibrium atmospheric pressure plasma

The effect of gas pressure on electron temperature (T_e) and gas temperature (T_g) is shown in Fig. 1-2 [7]. At relatively lower pressure ($10^{-4} \sim 10^{-2}$ kPa) T_g is much lower than electron temperature. The heavy particles are excited or ionized by inelastic collisions with electrons. The inelastic collisions do not raise the T_g . But collisions in the plasma can intensify when the gas pressure becomes higher. They lead to both plasma chemistry (by inelastic collisions) and heavy particles heating (by elastic collisions). Then, the difference between T_e and T_g decreases, and plasma state is close to the thermal equilibrium state. How to prevent heavy particles from achieving thermal equilibrium is very important to generate non-equilibrium plasma at atmospheric pressure. The density of the feeding power affects the plasma state (thermal equilibrium or non-equilibrium) to a great extent. Namely, a high density of feeding power induces atmospheric pressure thermal equilibrium plasma; while a low power density or a pulsed power supply lead to non-equilibrium atmospheric pressure plasma.

One merit of atmospheric pressure plasma is that, unlike low-pressure or vacuum plasma, it does

not require vacuum or low-pressure devices, which are very expensive vacuum equipment with high maintenance cost.

The various types of electrical discharge that can be used to generate non-thermal plasmas at atmospheric pressure [8-9]. These discharges which occur in an appropriate gaseous atmosphere are: dielectric barrier discharge (DBD), corona discharge, as well as some types of radio-frequency (RF) discharges and microwave (MW) discharges [10].

2.1 Dielectric barrier discharges (DBDs)

Dielectric barrier discharges (DBD) have been known for more than one century [11]. The DBD's unique combination of non-equilibrium and quasi-continuous behavior has attracted great interests in a wide application and become the focus of many fundamental researches [12]. DBD are also called “silent” and “atmospheric-pressure-glow” discharges [9, 13]. DBDs are based on the use of a dielectric barrier in the discharge gap. It was used to stop electric currents and prevents the formation of electric currents. A schematic illustration of DBD discharge is shown in Fig. 1-3. It consists of two metal electrodes, in which at least one is coated with a dielectric layer. The gap is on the order of several millimeter, and the applied voltage is about 20 kV. The plasma is generated through a series of micro arcs, lasting for 10–100 ns, and randomly distributed in space and time. These streamers are believed to be $\sim 100 \mu\text{m}$ in diameter and are separated from each other by about 2 cm [9, 13-14].

2.2 Corona discharges

Corona discharges appear as luminous glow localized in space around a point tip in a highly non-uniform electric field. The physics of this source is well understood [15-18]. The corona discharge

is basically a Townsend discharge. It occurs prior to the electrical breakdown. Fig. 1-4 shows a schematic of a point-to-plane corona. The apparatus consists of a metal tip, with a radius of about 3mm, a planar electrode separated from the tip by a distance of 4–16 mm, and the DC power supply is pulsed [18].

2.3 Atmospheric pressure plasma jet (APPJ)

The atmospheric pressure plasma jet (APPJ) is a truly non-thermal plasma which is demonstrated by the vast difference in ion and electron temperatures from thermal plasma [19]. Shown in Fig. 1-5 is a schematic of an atmospheric-pressure plasma jet [9]. This new source consists of two concentric electrodes without any dielectric between electrodes [20-21], and through which a mixture of helium, argon, oxygen, and other gases flow. By applying 13.56 MHz RF power to the inner electrode at a voltage between 100-250 V, the gas discharge is ignited. The gas temperature of the discharge is typically between 50-300 °C, so thermal damage to materials can be easily avoided.

The ionized gas from the plasma jet exits through a nozzle, directing to a substrate a few millimeters down-stream. This source has been used to etch polyimide, tungsten, silicon dioxide and so on [22], as well as to deposit silicon dioxide films by plasma-assisted chemical vapor deposition [23].

Both DBDs and RF discharges can be used to generate atmospheric pressure plasma. In DBDs, dielectric materials are used to cover one or both electrodes, and the high voltage in the frequency range of several kHz is applied to ignite the discharge. It would cause a drop of the voltage across the plasma with charging accumulation on the dielectric layer, which covers the electrodes, so DBDs are self-pulsed discharges that can restrict the discharge current and avoid arcing. RF discharges

have also been used to generate non-equilibrium atmospheric pressure plasma jet with devices that are similar to DBDs or with devices where the electrodes are bare metal [24-27]. With bare metal electrodes, glow-to-arc transition would occur easily. Therefore, the electrodes have to be cooled and the gas flow rate has to be adjusted to a certain level to reduce the risk of glow-to-arc transition. RF driven plasma devices require impedance matching between the power source and the plasma to optimize the dissipated power in the plasma and minimize the reflected power.

3 Application of non-equilibrium plasma

In recent years, non-equilibrium plasma has become a very popular tool in various fields, especially surface treatment, as shown in Fig. 1-5 [19]. In case of plasma-solid interactions, we can classify them into 3 categories. The first one is where material is removed from the solid surface by plasma-induced etching or ablation (as shown in Fig. 1.5 b). Secondly, material is added to the surface in the form of thin film deposit, a process known under the collective name “plasma-enhanced chemical vapor deposition (PECVD)” (as shown in Fig. 1.5 c). Finally, materials are not added or removed from the solid, but the surface is chemically and/or physically modified during its exposure to particles and radiation from the plasma [5] (as shown in Fig. 1.5 d & e).

Non-equilibrium plasma can be divided into low-pressure (LP) and atmospheric pressure (AP) according to the working pressure. Energetic electrons, which are generally thought to be the reducing agents for LP plasma, can reduce the metal ions with positive standard potentials using inert gases as the working gas [28]. In contrast, hydrogen-containing gases (e.g., H₂, CH₄, NH₃ [29-34], etc.) are often used as working gases for AP plasma, and the generated active hydrogen species (e.g., excited hydrogen atoms and molecules, etc.) are deemed as the reducing agents. These active

hydrogen species are more powerful for reduction than energetic electrons. They may not only reduce metal ions with positive standard potentials, but also some with negative values [35]. Moreover, the process is operated at atmospheric pressure, and no expensive or sophisticated vacuum systems are required.

There are so many applications of the surface process mentioned above, even in industrially important applications. There can be little doubt that the electronics industry, energy and environment have been the principal driving forces for the advancement of plasma processing. In the next section some reductive and surface modification's applications of plasma for these fields will be shown.

3.1 The application of non-equilibrium plasma for reduction to metal ions

Supported metal nanoparticles are usually served as heterogeneous catalysts owing to their unique properties [36, 37] and play an important role in energy conversion, nanoelectronics and storage [38, 39]. The controllable size and structure of catalysts are crucial to their properties. But there is also a challenge in catalysis field: pollution to our environment and high material wastes and energy consumption during the preparation of heterogeneous catalysts [40]. Among many preparation methods, plasma has attracted remarkable attention.

Non-equilibrium plasma has recently been shown to be an efficient method for reducing supported metal [41]. This reduction process can take several minutes to tens of minutes, and the metal nanoparticles which prepared by plasma are homogeneously distributed on the supports with smaller size. Because in room temperature, electron reduction via non-hydrogen discharge is excellent for

size control through fast nucleation and slow crystal growth. Plasma is a simple, easy, cheap and energy-efficient way to reduce supported metal ions. For those metal ions that cannot be reduced by non-hydrogen glow discharge [42], hydrogen non-equilibrium plasma can be applied to reduce them [43-47].

Zhoujun Wang [48] et al. used Ar glow discharge plasma to reduce RhCl₃ impregnated Al₂O₃ powder at room temperature. They also revealed that Ar plasma reduction induced a high dispersion of Rh nanoparticles on the support with average particle size of 1.2 nm. Xuzhen Wang [49] et al. prepared graphene sheets supported monometal (Ni, Co) or bimetal (Ni-Co) nanoparticle composites with the assistance of DBD plasma at low temperature. Graphene oxide and metal ions (Ni²⁺, Co²⁺) can be simultaneously reduced by H₂ plasma in atmosphere. Lanbo Di [40] et al. demonstrated a new non-equilibrium atmospheric pressure plasma method for reducing supported metal ions: TiO₂ (P25) supported HAuCl₄, AgNO₃, H₂PtCl₆, and Pd (NO₃)₂ can be reduced into their metallic states by carbon monoxide non-equilibrium plasma in atmosphere for 9 min. Additionally they found the Au nanoparticles generated by CO plasma and those generated by H₂ plasma exist in a metallic state and exhibit similar particle sizes.

3.2 The application of non-equilibrium plasma for reduction to graphene material

Graphene, due to its unique properties of high electron mobility which mainly originates from its two-dimensional structure [50, 51] has many potential applications in transparent conductive films [52, 53], field effect transistors (FETs) [54], lithium-ion batteries [55], supercapacitors [56], organic photovoltaic cells [57, 58], electron field emitters [59], ultrasensitive sensors [60], hydrogen storage [62,

^{63]}, as well as in nano-biotechnologies ^[64, 65]. There are two main process used for reduction of graphene oxide (GO) to produce graphene or reduced GO. The first approach is the typical reduction in solution by chemical reducing agents such as hydrazine (N₂H₄) ^[66, 68] or sodium borohydride (NaBH₄) ^[68], there are also more reductive agents but they are usually highly toxic or the process requires very long time ^[69, 70]. Thus, for chemical reduction, the treatment is time-consuming and commonly ineffective, often requiring additional annealing steps ^[53, 71]. Moreover, there will be a dangerous and environmentally toxic chemical that can introduce impurities in reduced GO ^[72, 73]. The second approach, which is more common, is based on thermal reduction using high temperature in H₂ or Ar or ultrahigh vacuum (UHV) environments in order to remove oxygen effectively ^[74, 75]. But for thermal reduction, the required high temperature will damage many substrates such as polymers, plastics and textiles, severely limiting its applicability. ^[76].

Compared with chemical and thermal reduction approaches, plasma discharges offer a unique advantage because non-equilibrium reactions can be performed at low temperature and high purity ^[77]. In the case of GO, the generation of atomic hydrogen can remove oxygen functional groups effectively without damaging the substrate ^[71]. Faisal Alotaibi ^[78] et al. used a new method based on scanning atmospheric plasma to enhance an ultrafast reduction of GO and prepare highly conductive graphene films. This ultrafast GO films reduction process can be completed in 60 s at room temperature. It is possible for this process to achieve the effect of conventional chemical and thermal reduction process. M. Baraket ^[79] et al. reduced GO by using electron beam-generated plasmas in gas mixtures of argon and methane. Seung Whan Lee ^[80] et al. demonstrated reduction of GO at low temperature (70°C) and atmospheric pressure via H₂ plasma-assisted chemistry. The highly effective removal of oxygen functional groups and lower resistance GO films were obtained. They also found

that plasma method can mitigate ion-induced sputter removal or damage.

3.3 The application of non-equilibrium plasma-assisted reduction to synthesis of metal nanoparticles

Metal nanoparticles can be used to prepare nanoparticle films for electronic circuitry [81] and flexible electronics [82] which are used in applications like display [83], batteries [84-85], stretchable circuits [86-87] and flexible sensors [86-92]. There are a lot of different methods to synthesize metal nanoparticles. But many of them require multi-step processes [90]. As for the plasma treatment, like the reduction of graphene introduced in last part, there is availability of high-energy atomic hydrogen to enable the chemical reactions to proceed rapidly, and it will not damage the substrates during process. Moreover, it does not require the restricted conditions of high temperature or vacuum. [93].

Copper is the most significant circuit material for electronic devices and components [94-95], due to its low cost and high conductivity [96]. However, copper is easily oxidized in the air to form a thin copper oxide layer which is thought to be mechanically weak [97]. The oxidation of copper has always been a serious problem in the process of wiring circuit boards by soldering [98]. So various methods were used to reduce and sinter copper nano-particles. K. Takeda [99] reduced the copper sheet by atmospheric pressure Ar /H₂ plasma at 90 °C. In the former study of our lab [21], non-equilibrium atmospheric pressure plasma jet generated by three kinds of plasma torches, i. e. an APC plasma torch, a beam plasma torch, and a beam plasma torch with an air-cooling system of electrode was used to reduce and sinter copper nano-particles. The results showed that the oxidized copper nano-particles could be reduced at ambient temperature with 1 minute in atmosphere, and be sintered at ambient temperature within 7 minutes in atmosphere. For the application of plasma to other metals,

A. R. Dayal and D. R. Sadedin ^[93] used plasma reactor which had a transient traveling arc to study hydrogen for in-flight reduction of metal oxide particles. The results suggested that atomic hydrogen existing throughout the volume of plasma reactor was sufficient to reduce particles of FeO, Cr₂O₃, and TiO₂.

3.4 Deposition of coatings by non-equilibrium atmospheric pressure plasma

Plasma enhanced chemical vapor deposition (PECVD) is currently used in automotive, aerospace industries and microelectronics ^[99]. There are variety of deposition of thin films such as amorphous materials, oxides, nitrides, metal alloys, and doped materials ^[100-105]. PECVD allows film formation over large areas and on fragile materials such as polymers ^[3]. It requires supplying an additional precursor as a vapor. Silicon–organics, metal–organics or various solutions may be used as precursors. Liquid precursors are atomized or vaporized for transferring into the working volume of the APPJ system ^[106]. The thickness of deposited films can be controlled by changing plasma parameters such as RF power, chamber pressure, deposition time, as well as working gas composition.

The most widely investigated application of PECVD is on oxides, and the features of reduction of plasma are also studied. Deposition of TiO₂ thin films by PECVD and TiO_x (0 < x < 2) thin film synthesized by plasma assisted reduction were reported by Shuxia Ren et al. ^[107]. To generate oxygen vacancies in TiO₂ thin film, hydrogen (H₂) was introduced into the PECVD chamber to initiate plasma assisted H₂ reduction. The result showed that TiO_x (x = 1.48, 1.05 and 0.77) thin films synthesized by plasma assisted chemical vapor deposition and reduction. Although most

applications of APPJs have involved the deposition of oxides, the use of this method for preparation of a metal coating has also been reported ^[108]. RF APPJ was used for the direct deposition of Cu coatings on polyamide substrates. Adding a fractional amount of H₂ gas into the Ar gas reduced the reaction of oxidized Cu films, because oxidization of the Cu film is inevitable due to the presence of oxygen in air.

3.5 Surface modification treatments by non-equilibrium atmospheric pressure plasma

Plasma treatments are most widely used to modify material surfaces, especially the surface of polymeric materials. The goals of these treatments can vary widely ^[109]. The purposes include surface activation for higher adhesion, surface cleaning, and improvement of hydrophilic or hydrophobic properties and etching of polymers. It was shown that APPJs can be very effective in materials processing and suitable for materials sensitive to thermal damage. It has been reported that both Kapton and polyimide have been successfully etched by APPJ ^[110, 111]. APPJ can also be used for cleaning of metal surface by using oxygen highly diluted in helium or argon gas ^[112]. The oxygen active species are capable of removing many organic materials on the metal surface with the release of water and carbon dioxide. Other surface modifications of oxides and metals have also been demonstrated ^[113-115]. In most cases, the exhaust gas from APPJ system is harmless and can be discharged to the atmosphere without further processing. As an environmental-friendly technology, it is expected that APPJ will be more widely used in the future.

4 Objectives of this study

As mentioned earlier, non-equilibrium plasmas have energetic electrons (low pressure) or active

hydrogen species (atmospheric pressure) which can play a role as reducing agents. For the processes which are operated at atmospheric pressure, the active hydrogen species are more powerful than energetic electrons. Moreover, this process is more advantageous in term of cost and operation flexibility. So far, there are many reports about the reduction process operated by a variety of non-equilibrium atmospheric pressure plasma jets.

In this thesis, silicon oxide and titanium oxide surface are irradiated by non-equilibrium atmospheric pressure plasma in order to introduce hydrophilicity to their surface. The purpose of this thesis is to find out the optimum plasma irradiation conditions for the hydrophilicity introduction, and also to clarify the surface structure of the irradiated oxides. And more, it is aimed to explore the possibility of its application.

A new type of capacitive coupled RF plasma device (named of “APC”) was used to generate non-equilibrium plasma at atmospheric pressure. In order to study the elemental composition on the surface of sample and plasma treatment effect, the measurements by X-ray photoelectron spectroscopy (XPS) and contact angle of water were conducted.

Chapter 2 explains the construction of “APC plasma torch” and the experimental setup used for plasma-treatment. Plasma-treatment with pure Ar and Ar/H₂ as working gas is used to introduce hydrophilicity effect on thermally oxidized Si wafer surface. Thermal oxidization process was operated at 900 °C in muffle furnace, then plasma irradiation under different treatment period was carried out and XPS and contact angle analyses were carried out.

Chapter 3 describes the construction of APC plasma torch with Ar/water vapor. Oxidized Si wafers were irradiated by Ar /H₂O plasma in different treatment periods and analyzed in terms of XPS and contact angle in order to investigate the influence of the structure of silicon oxide on the

introduction of hydrophilicity by non-equilibrium atmospheric pressure plasma, quartz glass was treated with Ar/H₂O plasma. Furthermore, the stability of the hydrophilicity of the silicon oxide surface introduced by Ar/H₂O plasma, change with time in the contact angle was observed. Surface thermally oxidized Si wafers and quartz glass were stored in air and in vacuo after Ar/H₂O plasma treatment. Moreover, hydrophilicity introduced by different plasma methods shown in chapter 2 and 3 are compared and summarized.

Based on the above results, in chapter 4, the influence of non-equilibrium atmospheric pressure plasma on titanium oxide is studied. As a sample, a titanium oxide film prepared by coating titanium oxide nanoparticles on a glass plate was used. Ar/H₂O plasma was generated with using APC. The stability of hydroxy group on the wafers treated by Ar/H₂O plasma is investigated as well. In this chapter, the application of plasma to dye-sensitized solar cell (DSSC) is also discussed.

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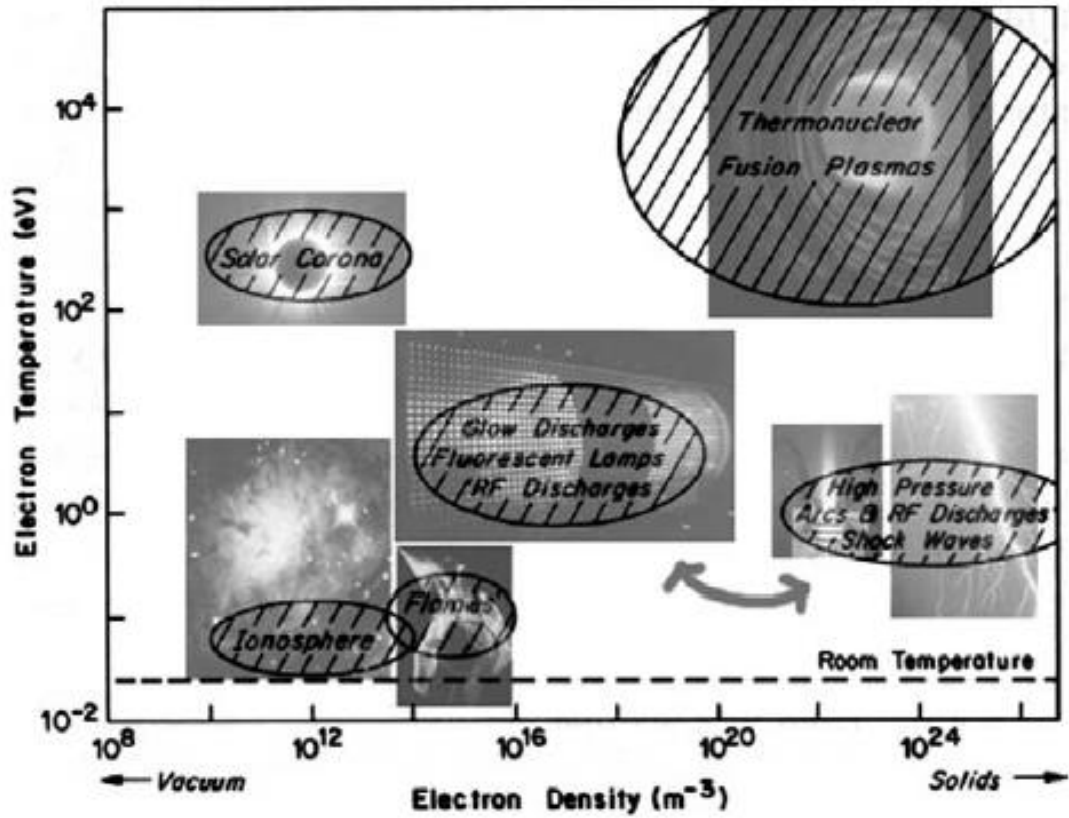


Fig. 1-1 Schematic of plasma classification [4]

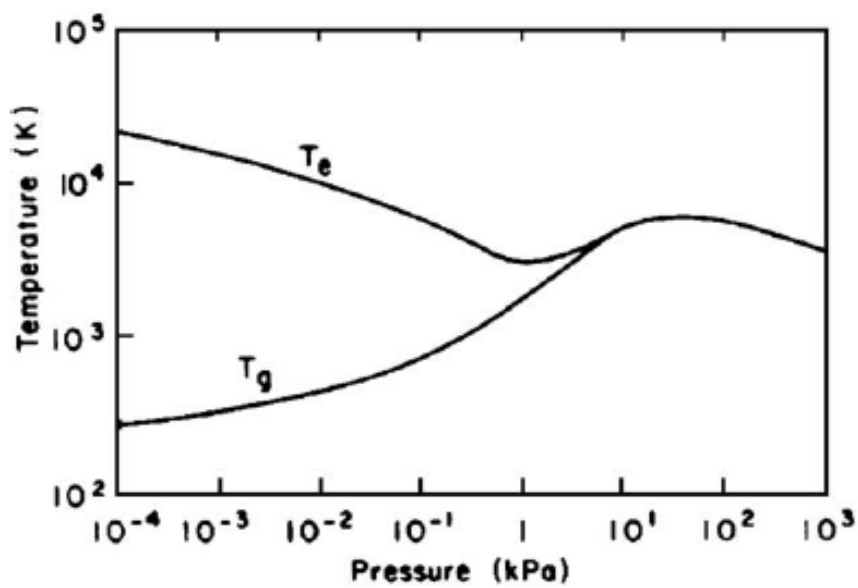


Fig. 1-2 Variation of electron and heavy particle temperature with pressure [7].

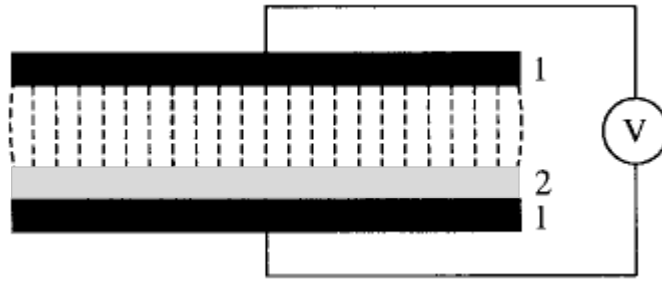


Fig. 1-3 Schematic of a silent discharge: 1) metallic electrodes and 2) dielectric barrier coating [11].

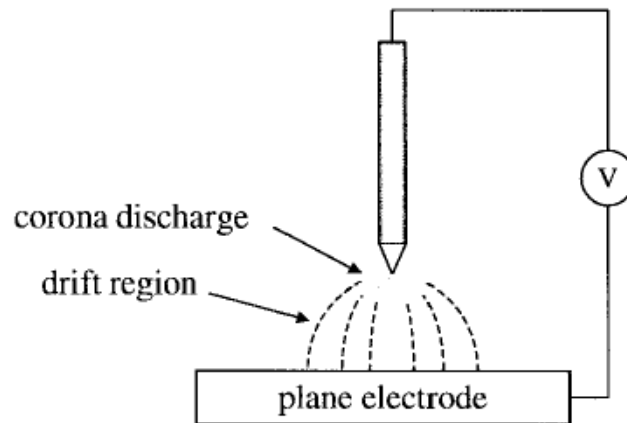


Fig. 1-4 Schematic of a corona discharge [9].

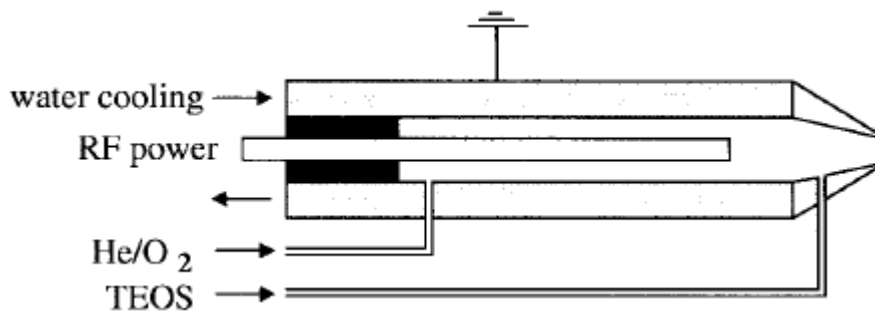


Fig. 1-5 Schematic of the atmospheric-pressure plasma jet for the deposition of silica films [9].

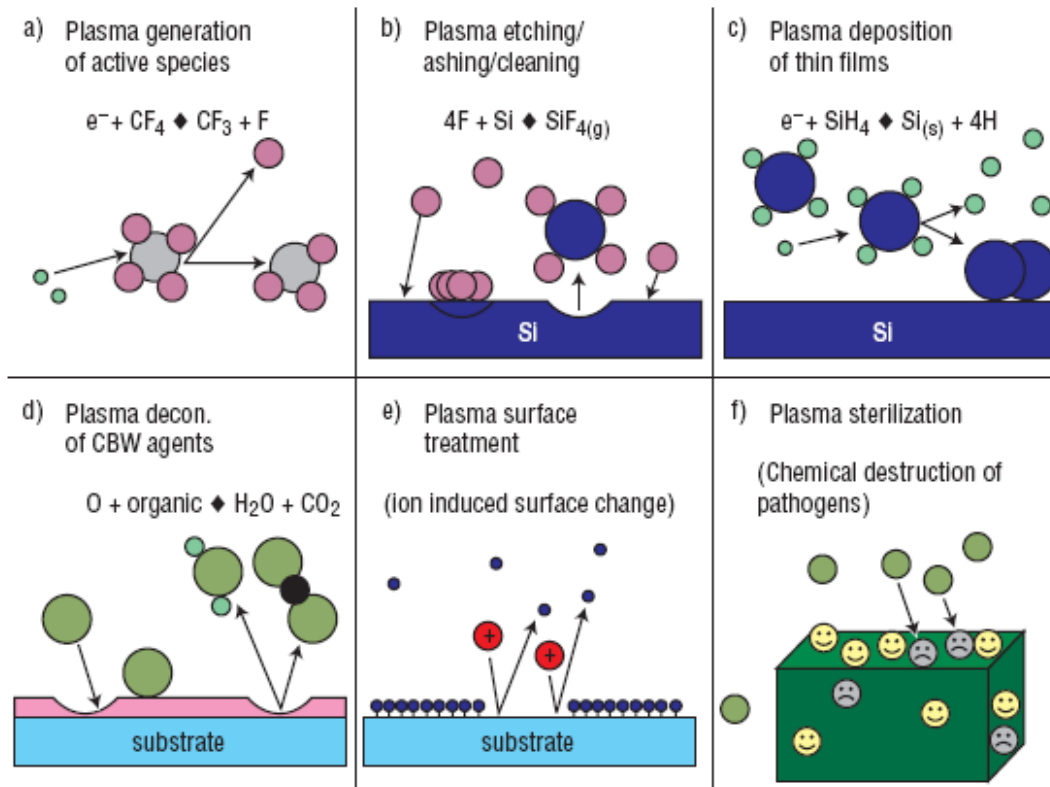


Fig. 1-6 Diagram of the fundamental processes used in plasma processing of materials ^[13].

Chapter 2 Introduction of hydrophilicity onto oxidized Si wafer surfaces by non-equilibrium atmospheric pressure plasma with Ar and Ar/H₂ as working gas

1 Introduction

Silica (SiO₂) is one of the most common materials on the earth [1, 2]. The applications of SiO₂ in varieties of fields and technologies are still growing. The surface properties of SiO₂ are crucial to many fields including catalysis, optical-fiber communication and microelectronics [3]. Surface processes on silica have been intensively studied. Furthermore, surface hydroxy group is very important that may influence the activity of the silica surface [4]. Hydroxylation of SiO₂ surface is an indispensable for a lot of experiments, such as Si wafer direct bonding [5] and polymerization on silica surface [6, 7].

Recently non-equilibrium atmospheric pressure plasma technology has attracted great attention because of its pollution-free process and simple structure [8]. It demonstrates its unique advantages in various applications such as surface modification, thin film deposition and nanoparticle preparation [9]. It has been pointed out that [5, 10] an O₂ or N₂ plasma activation of the silicon surfaces may essentially increase the number of hydroxy groups.

In this chapter, non-equilibrium atmospheric pressure plasma is used to introduce hydrophilicity on oxidized Si wafer surface. For the plasma generation, Ar and Ar/H₂ were use as the working gas. The samples treated with various periods were analyzed based on the X-ray photoelectron spectroscopy (XPS) and contact angle measurements.

2 Experimental details

2.1 Non-equilibrium atmospheric pressure plasma device

A RF capacitive coupled plasma system called “APC”, which is developed by Cresur Corporation, was used as the plasma generating device [11, 12]. The structural illustration of the plasma torch is shown in Fig.2-1. The APC plasma torch consists of two parallel perforated Al electrodes. The inner electrode is connected to a RF power source with frequency of 27.12 MHz through an impedance matching network, while the outer electrode is grounded. The electrode diameter is 9 mm. The diameter of the perforated holes as the plasma nozzles is 1.2 mm and the distance between them is 1.7 mm. The insulator between the electrodes is made of ceramics and the gap spacing between the two electrodes is 1.5 mm.

The schematic diagram of the experimental setup is shown in Fig. 2-2. In the discharge experiment, Ar gas was the working gas at a flow rate of 20 SLPM (standard liter per minute), RF power was maintained at 100 W without reflection, H₂ as an additive gas was added into the Ar working gas at flow rates of 10 SLPM. All flow rates were controlled by a mass flow controller. The distance between the plasma torch head and sample was 3 mm.

2.2 Sample preparation

In this experiment, Si wafers with a dimension of 10 mm×15 mm×0.8 mm were used. The thermal oxide layer prior to plasma treatment is grown on the wafers in Yamato BW201 muffle furnace at 900°C for 5 min [13]. For this thermally oxidizing process, temperature was raised up to 600°C in 20 minutes at first, then raised to 900°C in 40 minutes. After keeping for 5min, it was cooled to room temperature spontaneously.

In the plasma treatments, the wafers were placed on a X-Y stage, which kept a forth and back movement at a rate of 2.0 mm/s in order to achieve the uniformity of the surface treatment.

2.3 Surface characterization.

XPS (X-ray photoelectron spectroscopy) measurements were performed to analyze the surface composition of SiO₂ film with Perkin Elmer ESCA 5600. Mg K α radiation was employed as an X-ray source (15KV, 400W), and the photoelectron were collected at a takeoff angle 45°. The base pressure of system was below 10⁻⁷ Torr.

The hydrophilicity of the surface was evaluated in terms of the contact angle of water by aCA-D contact-angle meter, Kyowa Kaimenkagaku Co., LTD., with deionized water. For each sample, measurements were performed at 3 different points. The value of the contact angle was obtained by averaging the results at these 3 points.

3 Results and discussion

3.1 XPS analysis of oxidized Si wafer surfaces

3.1.1 XPS analysis of oxidized Si wafer

Fig.2-3 shows Si 2p spectra of untreated Si wafer and thermally oxidized Si wafer. For the untreated wafer, two peaks indicate the elemental Si peak and the SiO_{2-x} peak which is chemically shifted to higher bind energies. For the heat-treated wafer, a chemical shift of about 4.3 eV is observed. It indicates that after thermally oxidizing, SiO₂ film has covered Si wafer surface ^[14].

3.1.2 XPS analysis of oxidized Si wafer after plasma treatment

Fig. 2-4 shows XPS survey spectra of thermally oxidized Si wafers without plasma treatment and Ar plasma-treated with different treatment periods. Surface atomic concentration of Si wafer was calculated through the peak area and the sensitivity factor of ESCA system, with results listed in Table 2-1. From Table 2-1, we can see that hydrocarbons or other carbonaceous contaminate can be removed by plasma treatment. Widely known that plasma is very useful to clean material surface.

Fig. 2-5 shows elemental Si 2p peak of the samples thermally oxidized and Ar plasma-treated with different treatment periods. There is no obvious chemical shift observed. The broad O1s of the sample treated by Ar plasma at ambient temperature for 10 minutes in air has been deconvoluted in Fig. 2-6 (b), while the O1s deconvolution of the thermally oxidized sample at 900°C for 5 minutes is shown in Fig. 2-6 (a) as reference. In Fig. 2-6, two component peaks are resolved for O1s peak. The main peak at $532.5 \pm 0.5 \text{ eV}$ is due to SiO_2 , while the shoulder peak is attributed to Si-OH (533.8 eV)^[15]. The concentration of Si-OH is estimated by relative peak areas as percentage of the total area of O1s peak. The analytical results are shown in Fig.2-7 (more details can be found in Table 2-2). Si-OH contents of the samples treated by Ar plasma for 1, 5, and 20 minutes were also estimated in the same way. Compared with the thermally oxidized wafer, the Ar plasma-treated samples have a little higher concentrations of hydroxy group on their surfaces. However, with increasing plasma treatment period, any more increase in concentration of hydroxy group was not observed.

The similar results can also be found for the samples treated by Ar/H₂ plasma. Fig. 2-8 shows the O1s peak of thermally oxidized wafer treated by Ar/H₂ plasma at ambient temperature for 10 minutes in air. The O1s spectrum has been deconvoluted as shown in Fig. 2-9, and the

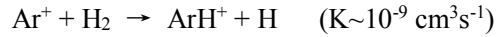
concentration of Si-OH is shown in Fig. 2-10. The XPS analysis indicates the concentration of Si-OH on wafers' surface increases to about 2 times of the values of untreated wafer in 10 minutes treatment and then seems to be saturated.

3.2 Contact angle of water on oxidized Si wafer surfaces

The contact angle can give the information about the macroscopic surface wettability. Fig.2-11 and 2-12 show the change in contact angles of water on the Ar plasma-treated sample and Ar/H₂ plasma-treated sample, respectively. For contact angles evaluation, plasma treatment has more obvious effects than for the results obtained by XPS analysis. It can be seen that contact angles of water on plasma-treated samples decrease dramatically in 10 minutes from 59° to 20°. But with increased plasma treatment period, there is no further obvious decrease in contact angle. The hydroxylation process may be completed within a short period of time during the plasma treatment.

It is known when water molecule interacts with silica surface water can dissociate and break Si-O forming Si-OH. But many researches show that ^[5, 16] the reaction enthalpy for a water molecule to be joined to the silica surface is about 4.3 kcal·mol⁻¹, which is not sufficient for the disintegration of a water molecule. Hydroxy radical and/or hydrogen radical need to be added for the formation of silanol functional group.

In the previous studies of our lab ^[12], the emission lines in Ar/H₂ plasma generated by APC plasma torch were observed as listed in Table 2-5 and Fig. 2-13. The emissions were mainly assigned to excited Ar, while the emissions due to H atoms (434.0 nm ^[19], 486.1 nm, 656.3 nm ^[20]) were not obvious. The H atoms are considered to be resulted from the dissociation of H₂ by the following reaction ^[20]:



It is also found that the emission lines located at 308.9 nm and 336 nm are identified as OH and NH emission, respectively [19]. It is assumed that the OH and NH groups were the products of the reaction of H₂, O₂ and N₂ in the impurity of Ar or air [21]. As a result, in Ar plasma process, it seems that the Si-O bond is cleaved by the energy transfer from the metastable argon atom (Ar^m), and the hydroxy group is formed by contact with the atmosphere after plasma irradiation. In Ar/H₂ plasma, H atoms are considered to act in addition to Ar^m. However, the extent of introduction of hydrophilicity into the silicon oxide surface by Ar plasma and Ar/H₂ plasma was not so high. It was considered that the Si-O bond cleaved by the interaction with Ar^m was promptly recombined.

4 Conclusions

In this study, the non-equilibrium atmospheric pressure plasma was used to introduce hydrophilicity on thermally oxidized Si wafer surfaces. The results of XPS analysis and contact angle measurement showed that hydrophilicity can be introduced to the surface of oxidized Si wafer by irradiation with non-equilibrium atmospheric pressure plasma. In the irradiation with Ar plasma, it seems that the Si-O bond is cleaved by the energy transfer from the metastable argon atom (Ar^m), and hydroxy group is formed by contact with the atmosphere after plasma irradiation. In irradiation with Ar/H₂ plasma, H atoms are considered to act in addition to Ar^m. the extent of introduction of hydrophilicity into the silicon oxide surface by Ar plasma and Ar/H₂ plasma was not so high. It was considered that the Si-O bond cleaved by the interaction with Ar^m was promptly recombined.

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Tab. 2-1 Surface atomic concentration of thermally oxidized Si wafer after Ar plasma treating for

1 min, 5 min, 10 min and 20 min

Concentration (%)	Untreated	Ar 1min	Ar 5min	Ar 10min	Ar 20min
O 1s	57.71	62.99	64.11	63.49	62.65
Si 2p	30.55	30.81	31.33	31.64	31.13
C 1s	10.90	6.20	4.56	4.87	6.22

Tab. 2-2 Change in surface chemical components of thermally oxidized Si wafer and thermally

oxidized Si wafer treated by Ar plasma for 1 min, 5 min, 10 min and 20 min

	Untreated	Ar 1min	Ar 5min	Ar 10min	Ar 20min
Si-O-Si	97.77%	95.16%	95.09%	95.40%	95.24%
Si-OH	2.23%	4.84%	4.91%	4.60%	4.76%
(Si-OH) / (Si-O-Si)	0.02	0.05	0.05	0.05	0.05

Tab. 2-3 Surface atomic concentration of thermally oxidized Si wafer after Ar/H₂ plasma treatment
for 1 minute, 5 minutes, 10 minutes and 20 minutes

Concentration (%)	Untreated	Ar/H ₂ 1min	Ar/H ₂ 5min	Ar//H ₂ 10min	Ar/H ₂ 20min
O 1s	57.71	62.10	63.65	63.15	63.72
Si 2p	30.55	32.81	32.91	30.55	2.45
C 1s	10.90	5.09	3.43	6.31	3.83

Tab. 2-4 Change in surface chemical components of thermally oxidized Si wafer and thermally oxidized Si wafer treated by Ar/H₂ plasma for 1 min, 5 min, 10 min and 20 min

	Untreated	Ar/H ₂ 1min	Ar/H ₂ 5min	Ar/H ₂ 10min	Ar/H ₂ 20min
Si-O-Si	97.77%	96.80%	94.76%	95.34%	95.29%
Si-OH	2.23%	3.20%	5.24%	4.66%	4.71%
(Si-OH) / (Si-O-Si)	0.02	0.03	0.06	0.05	0.05

Tab. 2-5 Most emission lines observed in Ar/H₂ plasma generated by APC plasma torch at the position of 3 mm down from the plasma torch head and 2 mm side from plasma jet end. The

integration time was 100 ns [19-21]

Species	Wavelength (nm)	Transition	Threshold energy (eV)
OH	308.9	$A^2\Sigma^+ \rightarrow X^2\Pi$	-
NH	336	$A^3\Pi \rightarrow X^3\Sigma^-$	3.7
Ar	696.5	2p ₂ -1s ₅	13.32
	706.7	2p ₃ -1s ₅	13.29
	714.7	2p ₄ -1s ₅	13.28
	728	2p ₂ -1s ₄	13.33
	738.4	2p ₃ -1s ₄	13.3
	751.4	2p ₅ -1s ₄	13.27
	763.5	4p-4s	13.17
	772.4	4p-4s	13.33
	794.8	4p-4s	13.28
	801.5	4p-4s	13.15
811.5	4p-4s	13.08	

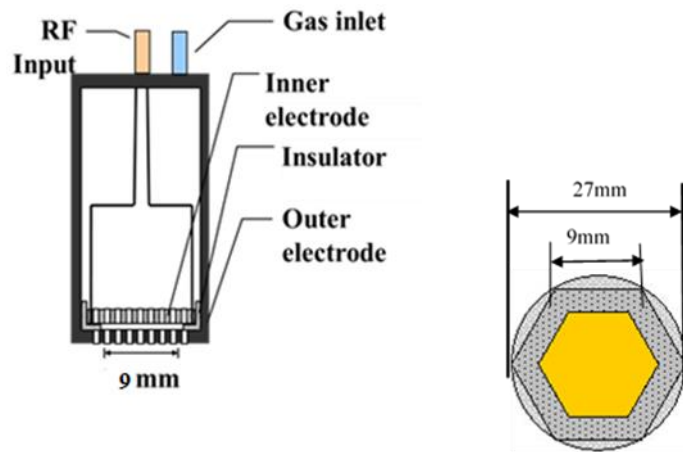


Fig.2-1 Schematic diagram of APC plasma torch.

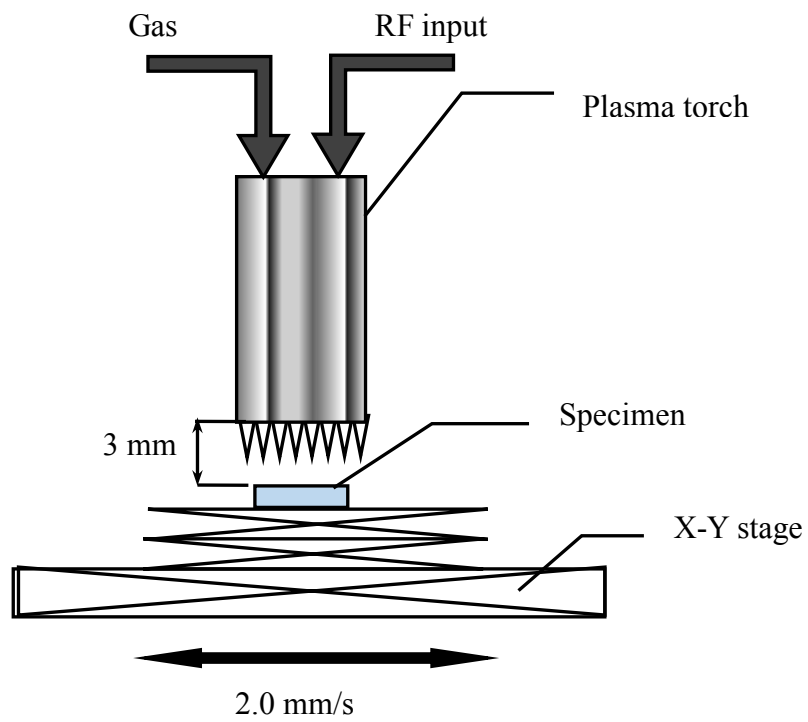


Fig. 2-2 Schematic diagram of the experimental setups for the plasma-treatment of samples

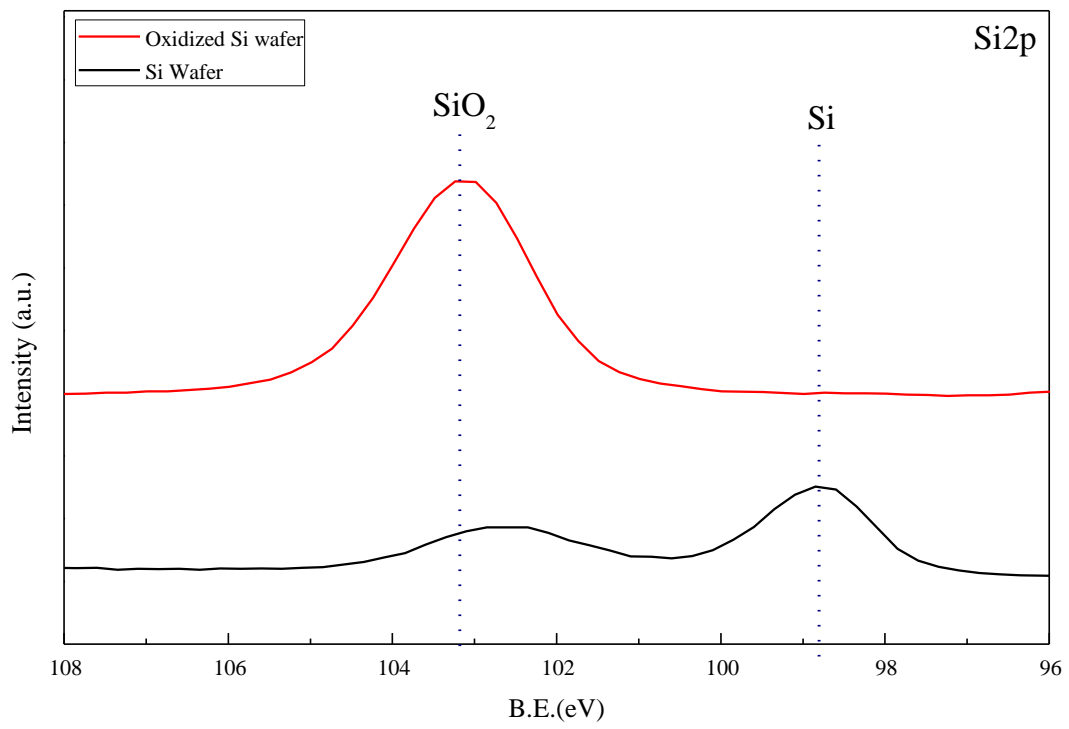


Fig.2-3 Si 2p spectra for Si wafer and thermally oxidized Si wafer at 900°C.

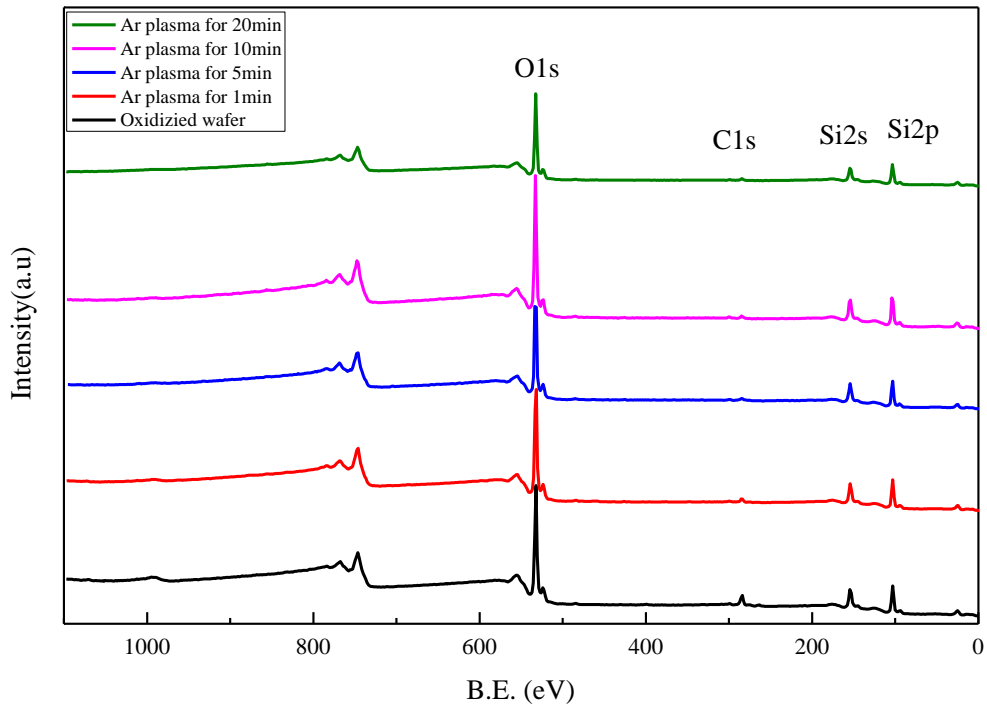


Fig.2-4 Survey spectra for oxidized Si wafers: thermally oxidized at 900°C for 5 min; Ar plasma treatment for 1 min, 5 min, 10 min, 20 min

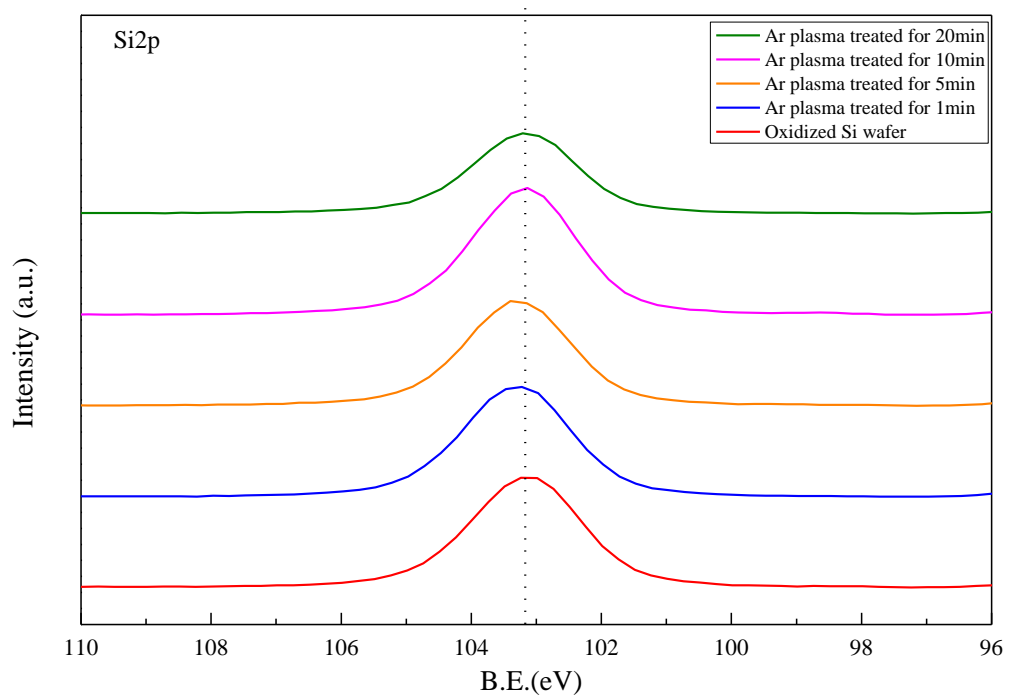


Fig.2-5 Si 2p spectra for oxidized Si wafers: thermally oxidized at 900°C for 5 min and Ar plasma treatment for 1 min, 5 min, 10 min, 20 min

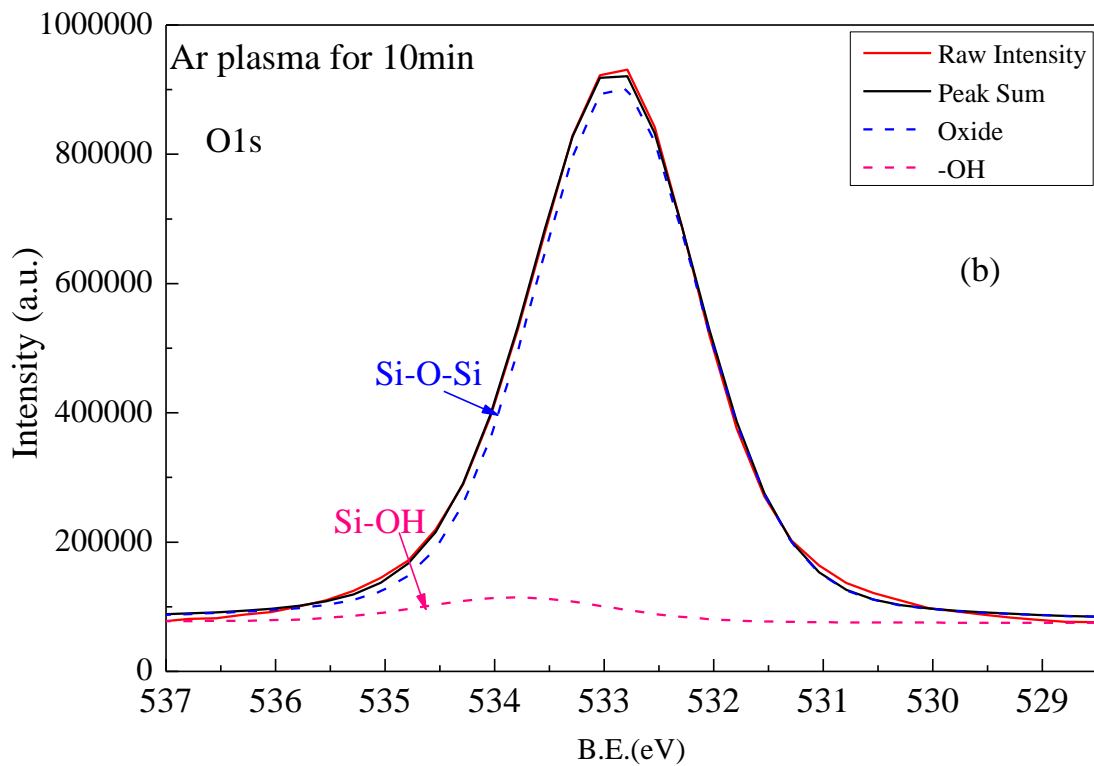
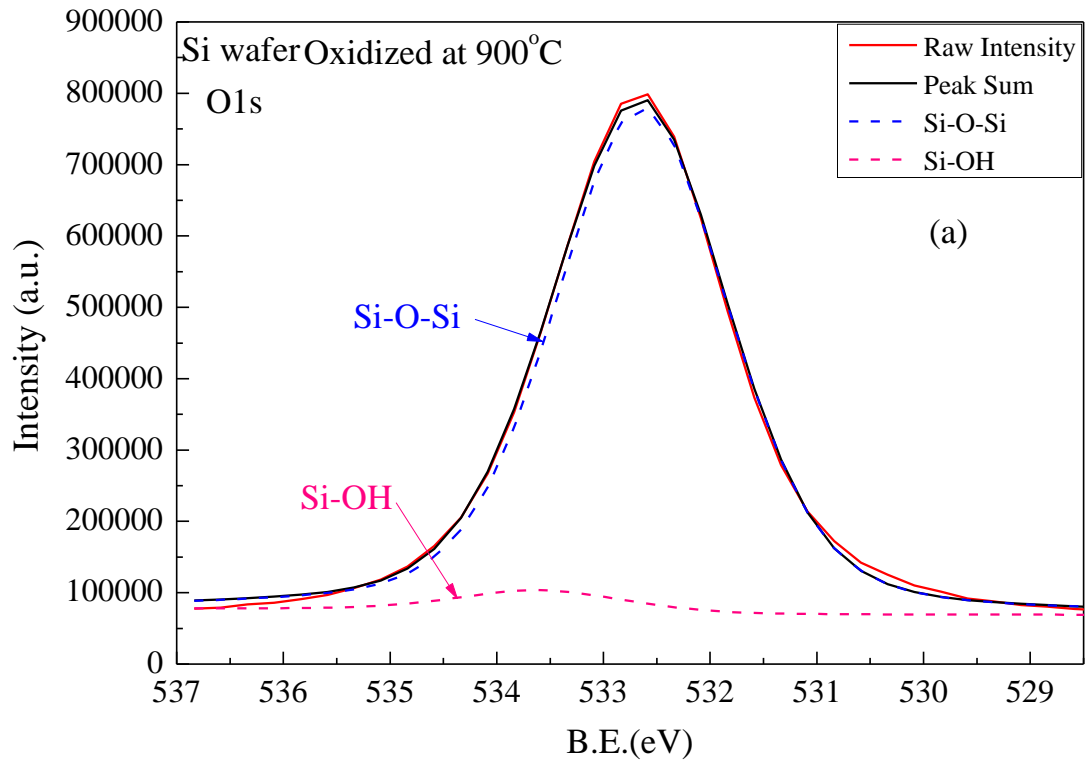


Fig.2-6 (a) XPS spectrum of O1s peak fitting for Si wafers thermally oxidized at 900°C for 5 min;

(b) XPS spectrum of O1s peak fitting for sample treated by Ar plasma for 10 min;

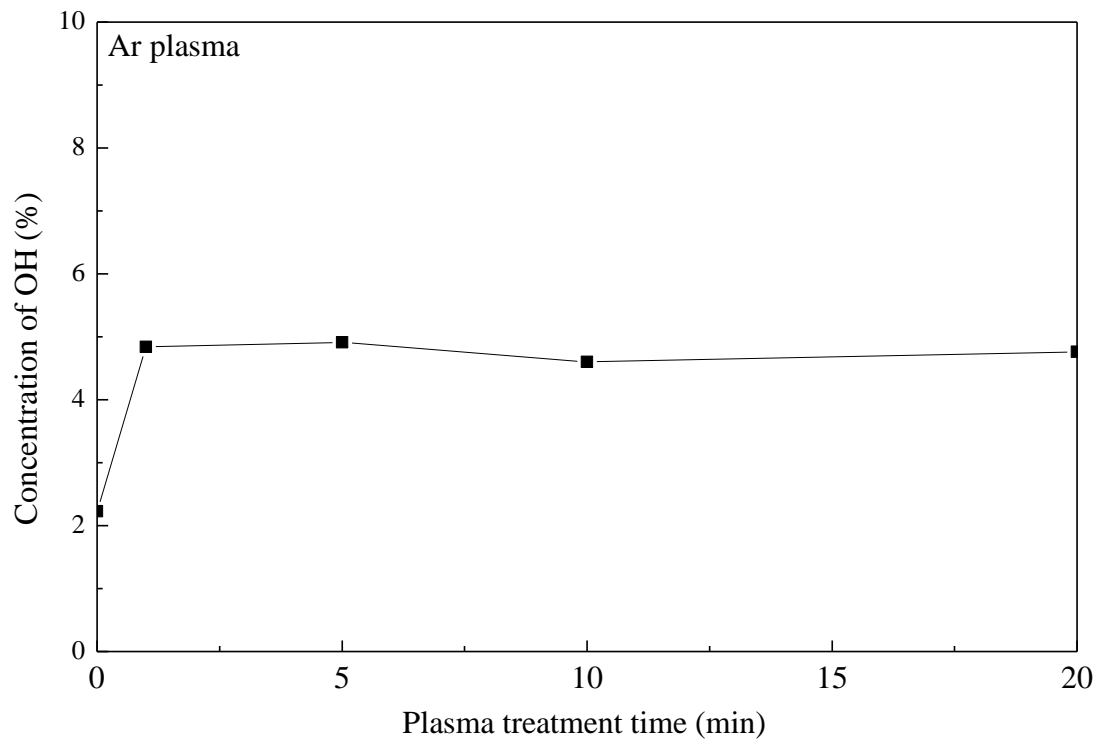


Fig.2-7 Concentration of OH on thermally oxidized Si wafer surface which were treated by Ar plasma under different treating time

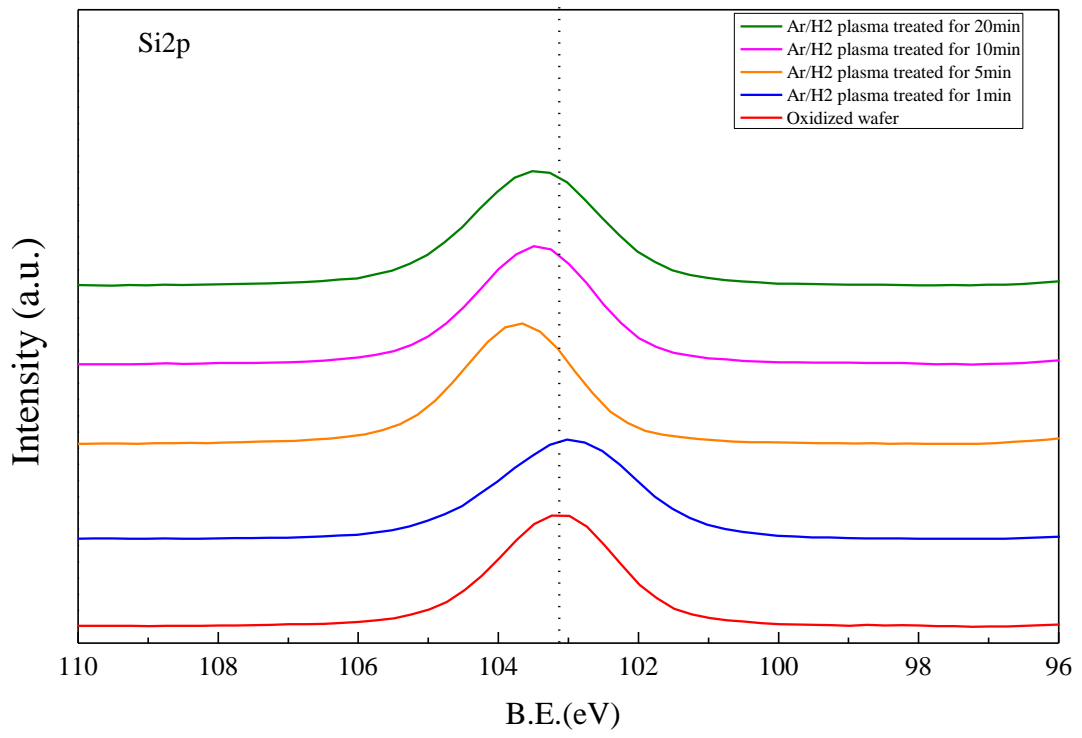


Fig.2-8 Si 2p spectra for samples: thermally oxidized at 900°C for 5 min and Ar/H₂ plasma treatment for 1 min, 5 min, 10 min, 20 min

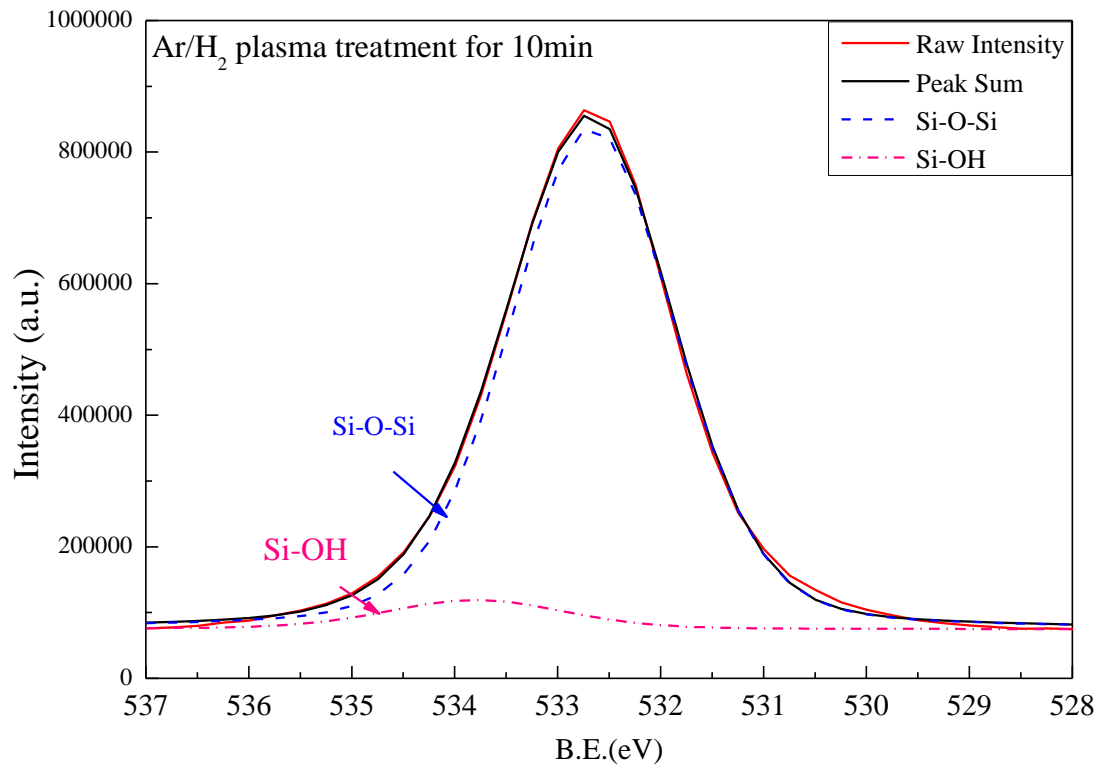


Fig.2-9 XPS spectrum of O1s peak fitting for thermally oxidized Si wafer treated by Ar/H₂ plasma for 10 minutes;

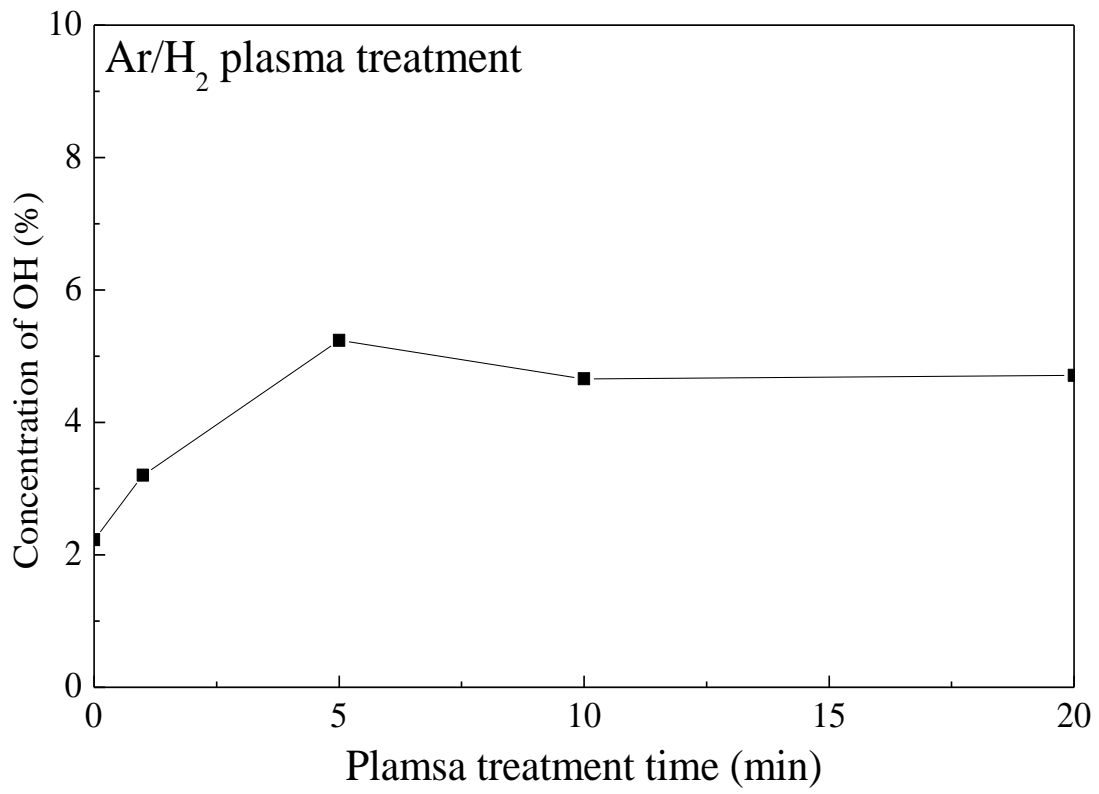


Fig.2-10 Concentration of OH on thermally oxidized Si wafer surface which were treated by Ar/H₂ plasma under different treating time

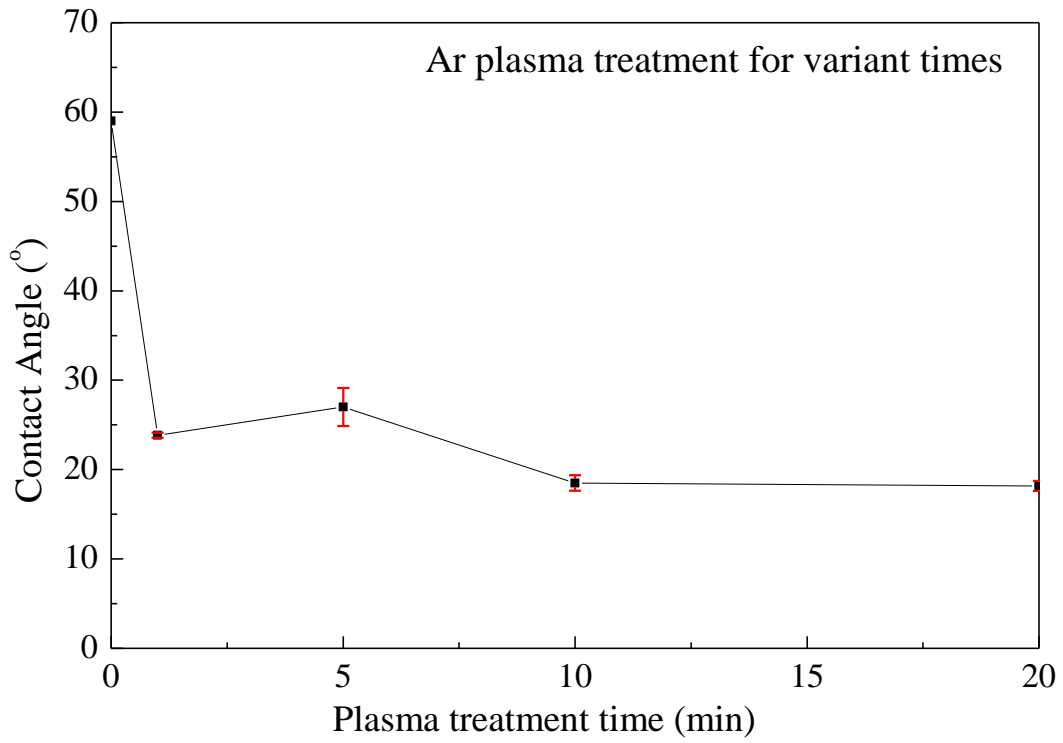


Fig.2-11 Contact angles of thermally oxidized Si wafer treated by Ar plasma for 1 min, 5 min, 10 min and 20 min

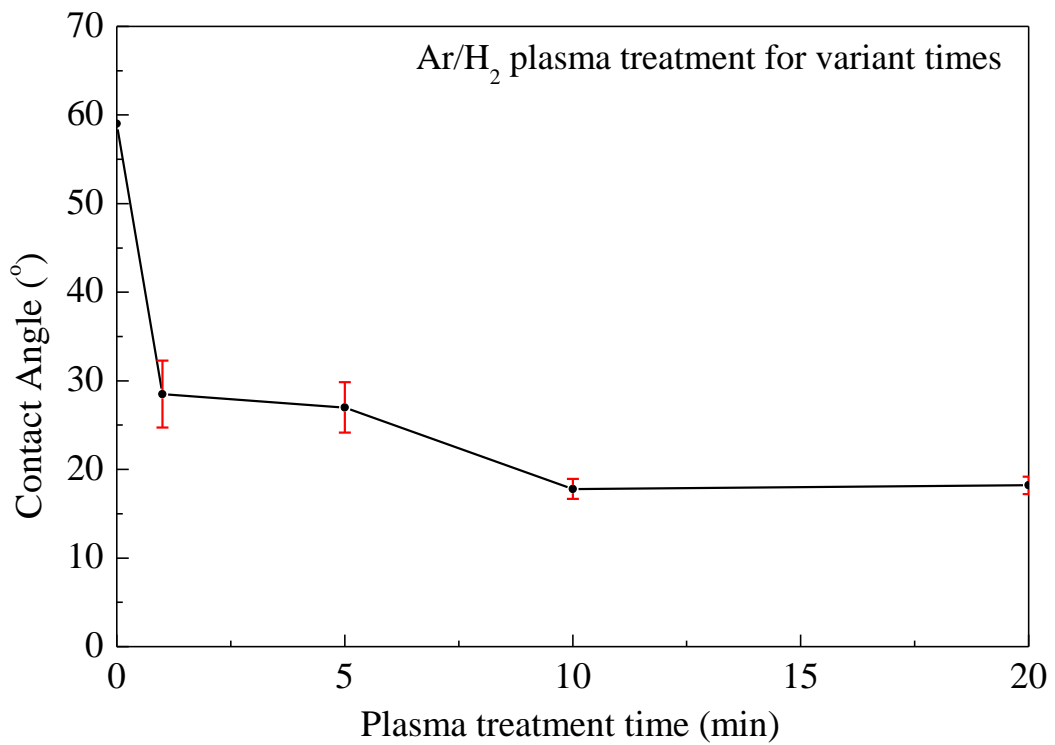


Fig.2-12 Contact angles of thermally oxidized Si wafers treated by Ar/H₂ plasma for 1 min, 5 min, 10 min and 20 min

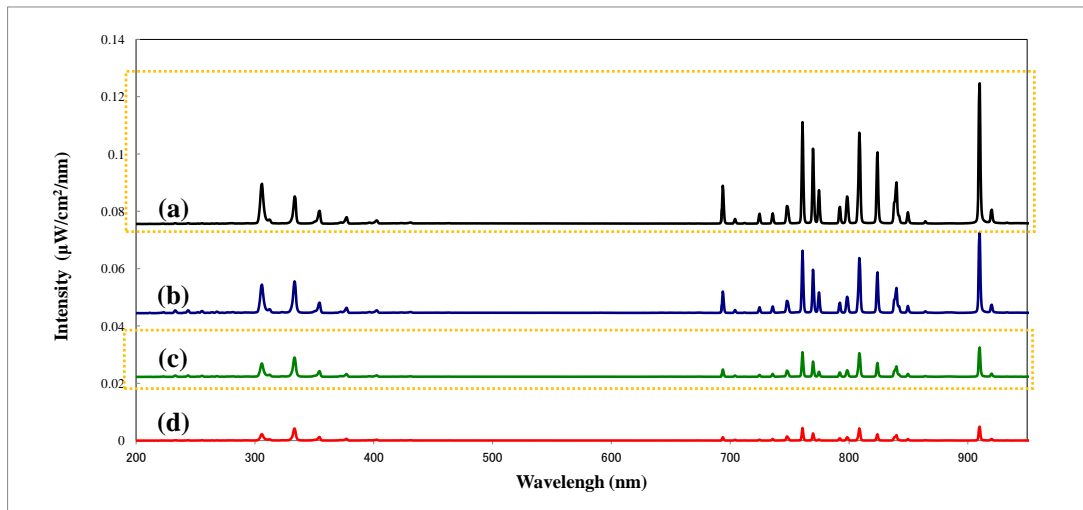


Fig. 2-13 Optical emission spectra from the Ar/H₂ plasma jet with different flow rate of H₂: (a) Ar flow rate =20 L/min without H₂ gas, (b) Ar flow rate =20 L/min and H₂ flow rate =5 mL/min, (c) Ar flow rate =20 L/min and H₂ flow rate=10 mL/min, (d) Ar flow rate =20 L/min and H₂ flow rate=15 mL/min ^[12]

Chapter 3 Introduction of hydrophilicity onto oxidized Si wafer surfaces by non-equilibrium atmospheric pressure plasma with addition of water vapor

1 Introduction

In chapter 2, we used an APC plasma torch. At ambient condition, Ar and Ar/H₂ plasma jet resulted in the inducing hydrophilicity to surface of oxidized Si wafers. In order to get an obvious result on hydrophilicity introduced by plasma at ambient temperature, more active groups such as OH are desired.

In this chapter, non-equilibrium atmospheric pressure plasma with water vapor is used to introduce hydrophilicity effect on oxidized Si wafer surface. Argon gas is used as working gas and water vapor is also added by bubbling system during plasma discharge.

As we know, surface processes on silica have been intensively studied, with considerable experimental results and conclusions. This is because the high degree of inconsistency between various silica surfaces is attributed to the difference in preparation method [1, 2]. In order to check plasma's applicability, quartz glass irradiated by plasma with water vapor was also studied. The oxidized Si wafers and quartz glass treated under various time were analyzed by X-ray photoelectron spectroscopy (XPS) and contact angle respectively.

Dehydroxylation is also one of the most studied reactions on silica surfaces. Dehydroxylation is important because the reactivity of silica surfaces changes dramatically between the dehydroxylated siloxane phase (Si-O-Si) and the hydrated silanol (Si-OH) surface [2, 3]. The siloxane surface is very inert, but the silanol surface with hydroxy functional groups has high chemical reactivity [4,5].

Therefore, in this chapter, the stability of hydroxy group of wafers and quartz glass treated by Ar plasma with bubbling system is investigated experimentally. In the end, the hydrophilicity effects introduced by difference plasma method shown in chapter 2 and 3 are compared and summarized.

2 Experimental details

2.1 Structure of bubbling system

The schematic diagram of the experimental setups for the plasma-treatment is shown in Fig. 3-1. And the bubbling container is illustrated in Fig.3-2. Deionized water was used for bubbling. The argon gas is introduced from inlet with flow rate of 1 L/min. And the outlet is contact with distributor. This container is put in water bath. Before plasma treatment, we need to decide the optimum temperature of water bath. We put deionized water (about 600mL) in the bottle and record its weight, then heat the bottle by water bath. When the temperature reaches 40 °C, 50 °C, 60 °C, 70 °C, 80 °C, we check the weight of deionized water again and calculate the loss of water. Considering the lab safety, we cannot set the temperature of water bath too high. The result was shown in Fig.3-3. It can be seen that at 80 °C, loss of water increased dramatically to reach its maximum. Therefore 80 °C was chosen as the experiment temperature.

2.2 Sample preparation

In this experiment, Si wafers with a dimension of 10 mm×15 mm×0.8 mm are used. The thermal oxide layer prior to plasma treatment is grown on samples in Yamato BW201 muffle furnace at 900 °C for 5 minutes. Silica glass (by TKG CO., LTD) cut into 15 mm×15 mm×0.5 mm is used for comparison.

In the discharge experiment, Ar gas was the working gas, at a flow rate of 20 L/min, and RF powers were maintained at 100 W without reflection. Water vapor was added by Ar gas which was introduced through tubes below plasma torch at a flow rate of 1 L/min. And the flow rates were controlled by a mass flow controller. The distance between the plasma torch head and sample was 3 mm.

2.3 Surface characterization

XPS (X-ray photoelectron spectroscopy) measurements are conducted to analyze the surface composition of SiO₂ film with Perkin Elmer ESCA 5600. Mg K α radiation is employed as an X-ray source (15 KV, 400 W), and the photoelectron are collected at a takeoff angle 45°. The base pressure of system is below 10⁻⁷ Torr.

The hydrophilicity of the surface is evaluated with contact angle measurement, by CA-D contact-angle meter with deionized water. For each sample, measurements were performed at 3 different points. The final value of the contact angle was obtained by averaging the results at these 3 points.

2.4 Analysis of surface hydroxy group by derivatization XPS

A lot of oxide surfaces are with hydroxy group which can play an important role ^[6]. As we discussed above, the concentration of hydroxy group has a great effect on thermally oxidized Si wafer surface, thereby affecting all the devices made of SiO₂. Therefore, the measurement for hydroxy group of oxide surfaces has a practical significance. Some methods have been examined in estimating the surface hydroxy groups ^[7]. It has been reported ^[8] that LiAlH₄- GC was used to analyze the hydroxy group content of organosilane. Later this method was certified to measure the

surface hydroxy group of silica which is used as filler in rubber [9]. Morimoto et al. [10-12] have studied the metal oxide surface by measuring the water content by means of the successive-ignition-loss method. On the other hand, Fripiat et al. [13] adopted Zerewitinoff's method to determine active hydrogen in the analysis of the water content on silica gel.

In last chapter, hydrophilicity was characterized by XPS and contact angle. From the results of hydrophilicity of thermally oxidized Si wafer, we can see that contact angle shows an obvious improvement on wettability. But this is only a qualitative analysis. XPS can be used as a quantitative analysis to give us a quantization information on hydroxy group content of oxide surface. But XPS generally shows little or no significant binding energy shift between oxide and hydroxide species. Therefore, the hydroxide present on the oxide matrix is not easily identified by XPS [14,15]. Moreover, we know water can adsorb onto a hydrophilic silicon oxide surface at room temperature [16], and the bond energy of O1s for adsorbed H₂O is close to Si-O-Si at about 532.5 eV in XPS analysis. Water maybe disturb us to check the evidence of Si-OH existence. The XPS analysis of hydroxide species can be significantly enhanced when used in conjunction with chemical labeling. So derivatization of Si-OH is carried out.

For labeling method, a reagent containing a tag element (not part of the surface studied) is used to selectively react with the -OH group and the tag element is subsequently analyzed using XPS. But this method must meet two criteria. First, the extraction procedure employed has to remove all the unreacted and adsorbed reagent. A sample containing no hydroxide must be subjected to the same derivatization and extraction procedure to meet the first requirement. Second, the derivatization must be completed in the XPS sampling depth region. In the case of functional groups other than OH, evidence for this is generally achieved by comparing the concentration of the tag

element in the derivatized sample and the concentration of the functional group determined by XPS. If an appropriate stoichiometric ratio is obtained, the derivatization is completed in the XPS sampling region^[17].

In this part, labeling method for silanol analysis by derivatization XPS was used. This method is to use tridecafluoro-1, 1, 2, 2-tetrahydrooctyl dimethyl-chlorosilane, FOCS for short, as the derivatization reagent. We will apply this method to quantify the Si-OH on the surface of thermally oxidized Si wafer.

2.4.1 Derivatization reaction

In this experiment, Si wafers treated by thermally oxidized in muffle furnace at 900°C for 5 minutes were used. FOCS (made by Gelest, the structure was shown in Fig. 3-4) was used as the derivatization agent, and chloroform (made by Wako) as the dilution solvent.

For derivatization treatment, FOCS: chloroform =1:100 (volume ratio) solution was prepared. Thermally oxidized Si wafers before and after plasma treatment were soaked into derivatization solution for 1 hour at room temperature. Then we rinse the samples by chloroform in ultrasonic cleaning machine for 1 hour. After cleaning, the samples were dried under low pressure (10^{-5} Torr) for 12 hours^[18, 19].

2.4.2 XPS measurements

XPS measurements are conducted to analyze the derivated SiO₂ layer of thermally oxidized Si wafer surface by using Perkin Elmer ESCA 5600. Mg K α radiation is employed as an X-ray source (15 KV, 400 W), and the base pressure was below 10^{-9} Torr. Integrated peak areas and atomic sensitivities provided by Physical Electronics were used for determination of atomic composition.

In order to better study derivatization on SiO₂ surface, the photoelectrons are collected at a takeoff angle of 15°, 45° and 75°.

3 Results and discussion

3.1 Results of oxidized Si wafer surfaces

3.1.1 XPS analysis of oxidized Si wafer

The broad O1s of the samples treated by Ar plasma with bubbling system at ambient temperature for 10 minutes in air have been deconvoluted, as can be seen in Fig. 3-5 (O1s deconvolution of the thermally oxidized sample as reference was shown in chapter 2, Fig. 2-5 (a)). In Fig. 3-5, two component peaks are resolved for O1s peak. The main peak at 532.5±0.5 eV indicates that SiO₂ with shoulder peak attribute to Si-OH (533.8 eV) [20]. The concentration of Si-OH is estimated by relative peak areas as percentage of the total area of the O1s peak. The analytical results are shown in Fig.3-6 (More details can be obtained from Tab. 3-1). Si-OH contents of samples treated by Ar plasma with bubbling system for 1, 5, and 20 minutes were also estimated in the same way. Compared with thermally oxidized wafer, the increase of Si-OH on Ar/H₂O plasma-treated samples' surface is about 3%. As plasma treating time increases, there is a small increasing trend in the concentration of hydroxy group.

3.1.2 Contact angle of water on oxidized Si wafer surfaces

Contact angle can give information about the macroscopic surface wettability. Fig.3-7 illustrates the contact angles of thermally oxidized Si wafers treated by Ar/H₂O plasma. For contact angles evaluation, plasma treatment has a more significant effect than those characterized by XPS analysis.

It can be seen that the contact angles of plasma-treated samples decrease dramatically: it can drop down to below 10°. But with increased plasma treating time, there is not an obvious decrease in contact angles. This means that under plasma treatment, this hydroxylated process may be completed within a short period of time, about 1 min.

3.1.3 Analysis of surface hydroxy group by derivatization XPS

In this experiment, the OH group can be labeled through Si-OH group with FOCS and the dehydrochlorination reaction would occur between them. The content of Si can be analyzed by analyzing the content of F. Since one Si atom is equivalent to one Si-OH, we can use the following -formula to represent Si_{OH}/Si_{all} :

$$\frac{Si_{OH}}{Si_{all}} = \frac{\left[\frac{RSF_{Si_{2p}}}{RSF_{F_{1s}}} \right] \frac{1}{13} [F_{1s}]}{\left[Si_{2p} \right] - \left[\frac{RSF_{Si_{2p}}}{RSF_{F_{1s}}} \right] \frac{1}{13} [F_{1s}]} = \frac{\left[RSF_{Si_{2p}} \right] [F_{1s}]}{13 \left[RSF_{F_{1s}} \right] \left[Si_{2p} \right] - \left[RSF_{Si_{2p}} \right] [F_{1s}]} \quad (5.1)$$

[F_{1s}] Count number of fluorine atom (Atomic Concentration of F)

[Si_{2p}] Count number of silicon atom (Atomic Concentration of Si)

[RSF_{F_{1s}}] Relative sensitivity factors of fluorine atom

[RSF_{Si_{2p}}] Relative sensitivity factors of silicon atom

XPS survey spectra of derivatized SiO₂ treated by Ar/H₂O plasma for 20 minutes are shown in Fig. 3-8. XPS survey spectra were measured under an escape angle of 15°, 45° and 75°, respectively. As we know, the depth of XPS measurement can be decided by the escape angle, for measuring with 15°, we can get information of outermost surface of SiO₂. From survey spectra, F element can be detected, indicating that derivatization had occurred. Comparing these three spectra in Fig.3-8, we can clearly see that the F band of sample measured under 15° escape angle was more intense. From

Tab. 3-2, we can also observe the phenomenon of more -OH on outermost surface. Under escape angle of 15° , XPS survey spectra of derivatized SiO_2 without plasma treatment and treated by Ar/ H_2 plasma and Ar plasma with bubbling for 20 minutes are illustrated in Fig. 3-9. It can be seen that samples without plasma treatment, F band was less intense.

SiOH/Siall of SiO_2 with different treating condition and takeoff angles are shown in Fig. 3-10. This is like what we discussed in former chapter that -OH characterized by XPS deconvolution of O1s. Referring to Tab. 3-3, the concentration of hydroxy group was still small, with the maximum being only 3.3%. But we can find that the thermally oxidized wafer treated by Ar/ H_2O plasma treatment has more hydroxy group on its surface than untreated one, as shown in Fig.3-11.

Although there were many successful cases of chemical labeling used in facilitating the XPS analysis of functional groups on polymer, only limited work has been done in inorganic. I think this labeling method can't reflect all the quantity of -OH on wafer's surface. But it can be used to compare the results introduced by different plasma treatment.

3.2 Results of quartz glasses

3.2.1 XPS analysis of quartz glass

As we know, plasma can improve surface wettability of thermally oxidized Si wafer by treating surface oxidation layer of wafers. In order to check plasma's applicability to silica that with different structures according to different Si interatomic distances, quartz glass irradiated by Ar/ H_2O plasma was also discussed. Fig. 3-12 (a). Fig. 3-12 (b) show the deconvolution of the main peak O1s of samples that were untreated and Ar/ H_2O plasma at ambient temperature for 10 minute as Si-O-Si peak and Si-OH peak. The position of Si-O-Si peak at 532.5 ± 0.5 eV with Si-OH peak at 533.8 eV

[20]. The concentration of Si-OH is estimated by relative peak areas as percentage of the total area of the O1s peak. The analytical results are shown in Fig.3-13 (More details can be found in Tab. 3-4.) Si-OH content of samples treated by Ar/H₂O plasma for 1, 5 and 20 minutes were also estimated in the same way. Compared with untreated quartz glass, we observed the same phenomenon as thermally oxidized Si wafer: The concentration of Si-OH on Ar/H₂O plasma-treated quartz glass' surface increases about 4%. As plasma treating time increases, there is a less obvious increasing trend in the concentration of hydroxy group.

3.2.2 Contact angle of water on quartz glasses

Fig. 3-14 shows the contact angles of quartz glasses treated by Ar/H₂O plasma under different treating time. We also can see plasma treatment has a more significant effect than those characterized by XPS analysis. It can be seen that the contact angles of plasma-treated quartz glasses decrease dramatically: drop down below 10°. But with increased plasma treating time, there is also not an obvious decrease in contact angles.

3.3 Stability of hydroxy group

The thermally oxidized Si wafers after Ar/H₂O plasma treatment for 10 minutes are stored in vacuum (in vacuum chamber with pressure of about 10⁻⁵ Torr) and in atmosphere (in a Petri dish) respectively, and the changes in water contact angles of samples under different storage time are illustrated in Fig.3-15. The quartz glass used for comparison is also treated by Ar/H₂O plasma treatment for 10 minutes and stored under the same conditions.

From Fig.3-15, as time goes on, contact angles will restore gradually. We can see that in the initial one day, both thermally oxidized wafer and glass's contact angles have an obvious increase with

storage time. After one day, the increase of contact angles become slow. This is because dehydroxylation reaction ($2\text{SiOH—Si-O-Si} + \text{H}_2\text{O}$) happens on silica surfaces [21-25]. Dehydroxylation is very important because the reactivity of silica surfaces changes dramatically between the dehydroxylated siloxane phase (Si-O-Si) and the hydrated silanol (Si-OH). The sketch of dehydroxylation is shown in Fig. 3-16, where neighboring surface hydroxy groups that are hydrogen-bonded together (vicinal) have been indicated to be desorbed easily as H_2O [2, 4, 22]. So the active surface restore inert siloxane surface. Consequently, contact angles increase.

We also can see that contact angles of samples stored in ambient are smaller than those stored in vacuum. Under atmospheric conditions, due to existence of water molecules, water would adsorb onto hydrophilic silicon oxide surface, and adsorbed water can induce some wettability, so contact angles of samples stored in ambient are smaller than them stored in vacuum.

In Fig. 3-17, changes in water contact angles of thermally oxidized Si wafer and quartz glass under different storage time are compared. For thermally oxidized Si wafer, the distance between Si atoms may be shorter than that in quartz glass, so that it would be easier to occur dehydroxylation reaction in thermally oxidized Si wafer. Thermally oxidized wafer would increase contact angle of water during storage faster than quartz glass.

4 Comparison of hydrophilicity introduced by difference plasma methods

In chapter 2 and 3, thermally oxidized Si wafer was treated by plasma by different methods: Using Ar and Ar/ H_2 as working gas respectively, and inducing water vapor during Ar plasma discharge. The concentration of OH on oxidized Si wafer surface treated by plasma for 10 minutes is shown in

Fig. 3-18. Comparing these three methods, we can conclude that plasma irradiating can improve the concentrations of hydroxy group on their surfaces, but this increase was not very obvious, at about 3.5%.

Fig. 3-19 demonstrates the contact angles of water on oxidized Si wafer surface treated by plasma for 10 minutes. The contact angles of plasma-treated samples decrease dramatically, especially those samples treated by plasma with bubbling system, as the angle decreases from 59° to less than 10°.

5 Conclusions

In this study, the non-equilibrium atmospheric pressure plasma with bubbling system which can introduce water vapor during plasma irradiating was used to improve the hydrophilicity of thermally oxidized Si wafer surfaces. The XPS results show that the concentration of silanol on surface of wafers treated by plasma with bubbling system could increase. With increased treating time, there is no remarkable change in the concentration of hydroxy group. But the contact angles can show an obvious effective: After plasma treatment, the contact angle dropped dramatically from 59° down to 10°. It strongly suggests that Ar/H₂O plasma could enhance the hydrophilicity of oxidized Si wafer. With plasma treatment for 1 minute, the contact angle could decrease to about 10°. As time goes on, the contact angle stabilized at 10°.

In order to investigate the influence of the structure of silicon oxide on the introduction of hydrophilicity by non-equilibrium atmospheric pressure plasma, quartz glass was treated with Ar/H₂O plasma. Furthermore, the stability of the hydrophilicity introduced on the silicon oxide surface was investigated. The amount of surface silanol and the water contact angle of the quartz glass treated with Ar/H₂O plasma changed like the surface silicon oxide wafer. The amount of

surface silanol increased from 1.8% to 5.7%, and the contact angle decreased from 63 ° to 10 ° or less. In order to confirm the stability of the hydrophilicity of the silicon oxide surface introduced by Ar/H₂O plasma, change with time of the contact angle was observed. Surface silicon oxide wafers and quartz glass were stored in air and in vacuo after Ar/H₂O plasma treatment. It was revealed that the contact angle gradually increased with storage time. It is believed that adjacent silanols dehydrated and condensed to form siloxane bonds. The rate of increase in the contact angle of quartz glass was slower than that of the surface silicon oxide wafer, but this was considered to be due to the difference in distance of Si atoms.

In this chapter, a characterization method for various name labeling approaches was discussed. The surface hydroxy group on plasma treated thermal oxidized Si wafer can be successfully determined by reaction of the OH group with tridecafluoro-1, 1, 2, 2-tetrahydrooctyl dimethylchlorosilane (FOCS) and subsequent electron spectroscopy for chemical analysis for fluorine which has high sensitivity. In order to better study hydroxy group on different depths of SiO₂ surface, photoelectrons are collected at a takeoff angle of 15°, 45° and 75°. For the labeling method, the concentration of silanol on surface of wafers treated by plasma with bubbling system is higher than the untreated wafer and Ar/H₂ plasma treated wafer. Another conclusion we get is that as depth of surface increases, the OH content will decrease. Plasma treatment can have an obvious effect on the outermost surface of samples. But the concentration of hydroxy group by labeling method was still small. Maybe this method has limited applicability to inorganic

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Tab. 3-1 Change in surface chemical components of thermally oxidized Si wafer and thermally oxidized Si wafer treated by Ar/H₂O plasma for 1 min, 5 min, 10 min and 20 min

	Untreated	Ar/H ₂ O 1min	Ar/H ₂ O 5min	Ar/H ₂ O 10min	Ar/H ₂ O 20min
Si-O-Si	97.77%	95.35%	95.63%	94.72%	94.06%
Si-OH	2.23%	4.65%	4.37%	5.28%	5.94%
(Si-OH) / (Si-O-Si)	0.02	0.05	0.05	0.06	0.06

Tab.3-2 XPS data of atomic concentration for sample treated under different conditions

	Takeoff Angle	RSF		Atomic Concentration %	
		Si 2p	F 1s	Si 2p	F 1s
Ar/H₂ plasma treating for 20min	15°			28.77	11.92
	45°	0.317	1	30.97	7.61
	75°			31.36	6.24
Ar/H₂O plasma treating for 20min	15°			27.70	10.47
	45°	0.317	1	31.12	5.53
	75°			31.88	4.32
Untreated	15°			27.54	6.04
	45°	0.317	1	31.01	4.57
	75°			31.65	3.29

Tab.3-3 Relation with different treating conditions and $\text{Si}_{\text{OH}}/\text{Si}_{\text{all}}$

	Takeoff Angle	$\text{Si}_{\text{OH}}/\text{Si}_{\text{all}}$
Ar/H₂ plasma treating for 20min	15°	2.99%
	45°	1.39%
	75°	1.05%
Ar/H₂O plasma treating for 20min	15°	3.29%
	45°	1.93%
	75°	1.55%
Untreated	15°	1.71%
	45°	1.15%
	75°	0.81%

Tab. 3-4 Change in surface chemical components of quartz glass treated by Ar/H₂O plasma for 1

min, 5 min, 10 min and 20 min

	Untreated	Ar/H ₂ O 1min	Ar/H ₂ O 5min	Ar/H ₂ O 10min	Ar/H ₂ O 20min
Si-O-Si	98.19%	96.49%	96.28%	95.21%	94.25%
Si-OH	1.81%	3.51%	3.72%	4.79%	5.75%
(Si-OH) / (Si-O-Si)	0.02	0.04	0.04	0.05	0.06

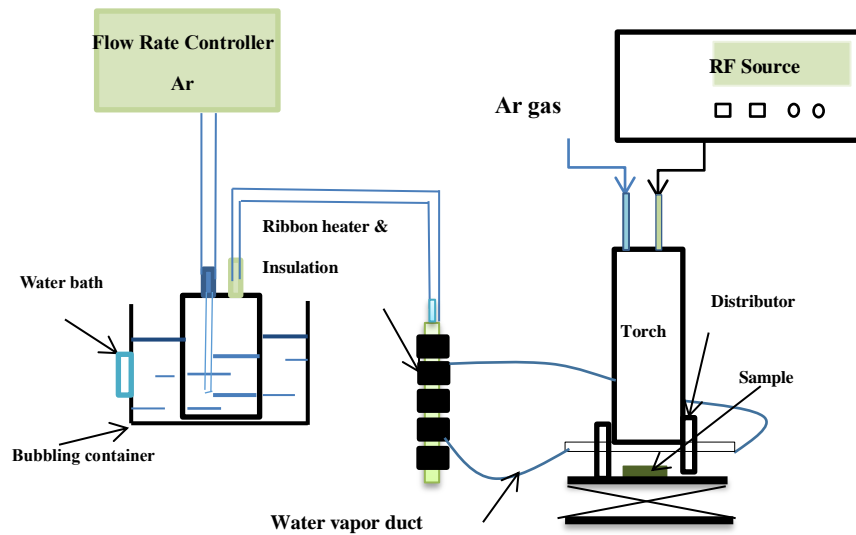


Fig. 3-1 Schematic diagram of the experimental setups for the plasma-treatment of samples

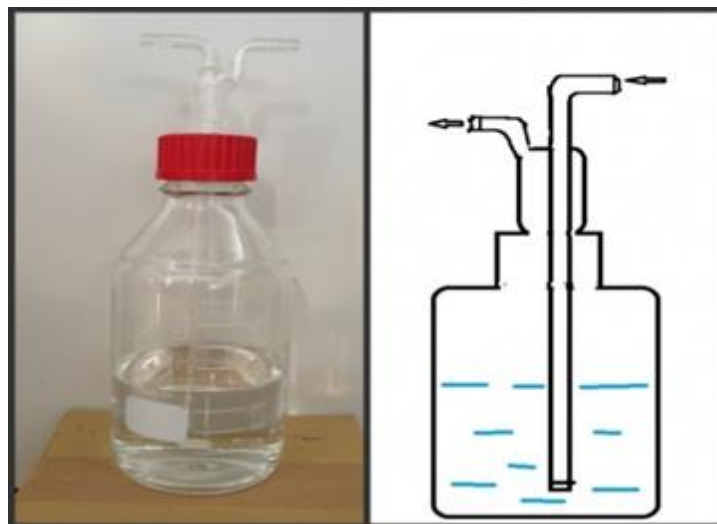


Fig.3-2 Schematic diagram of bubbling container

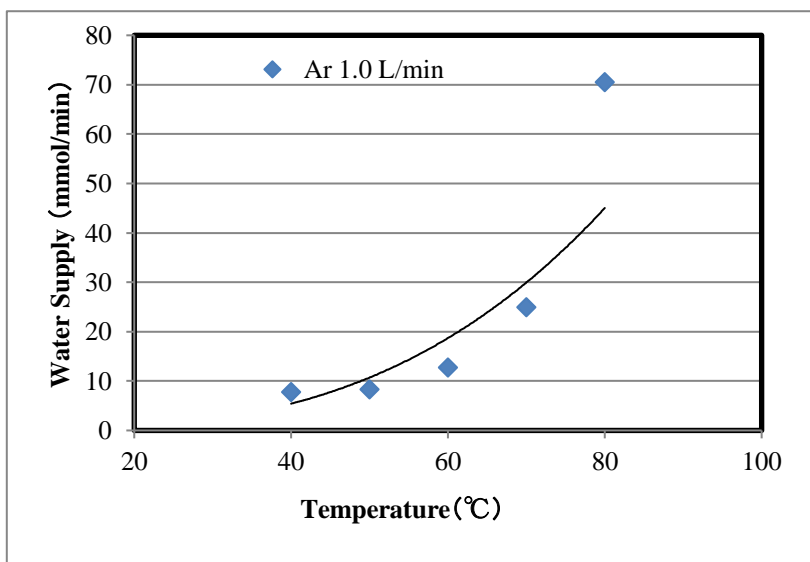


Fig.3-3 Water supply-Temperature



Fig.3-4 The structure of tridecafluoro-1, 1, 2, 2-tetrahydrooctyl dimethyl-chlorosilane (FOCS)

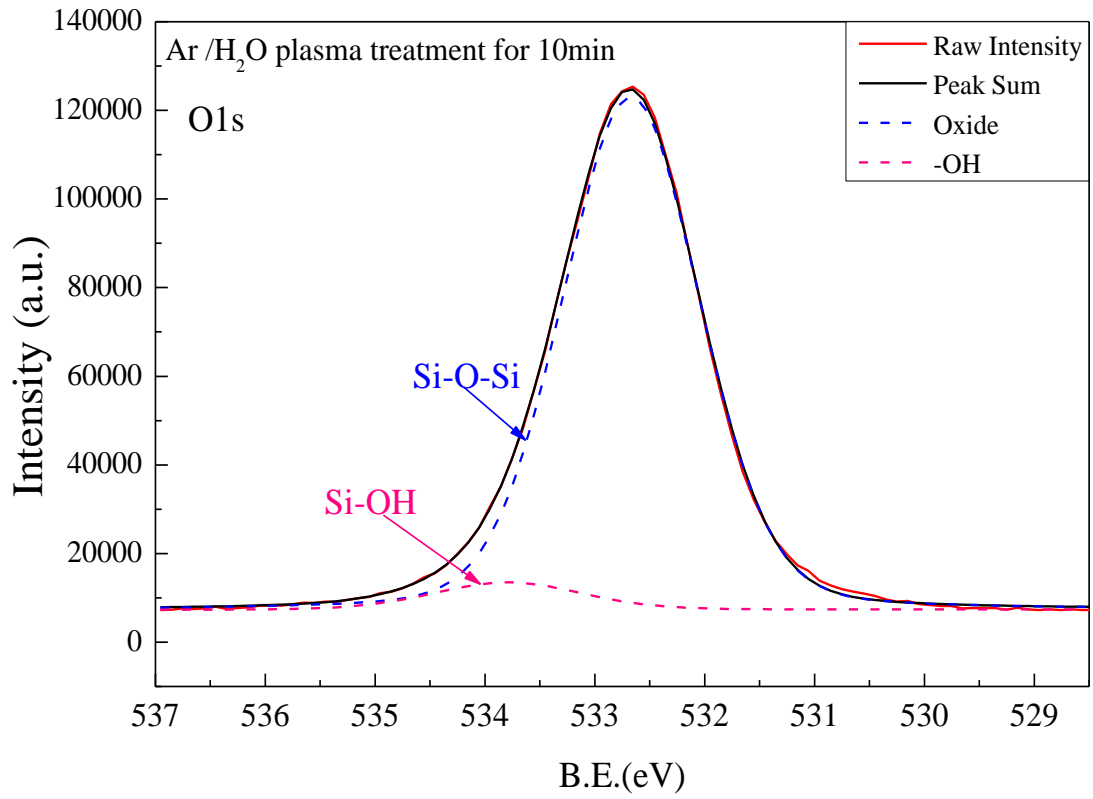


Fig.3-5 XPS spectrum of O1s peak fitting for thermally oxidized Si wafer treated by Ar/H₂O plasma for 10 min;

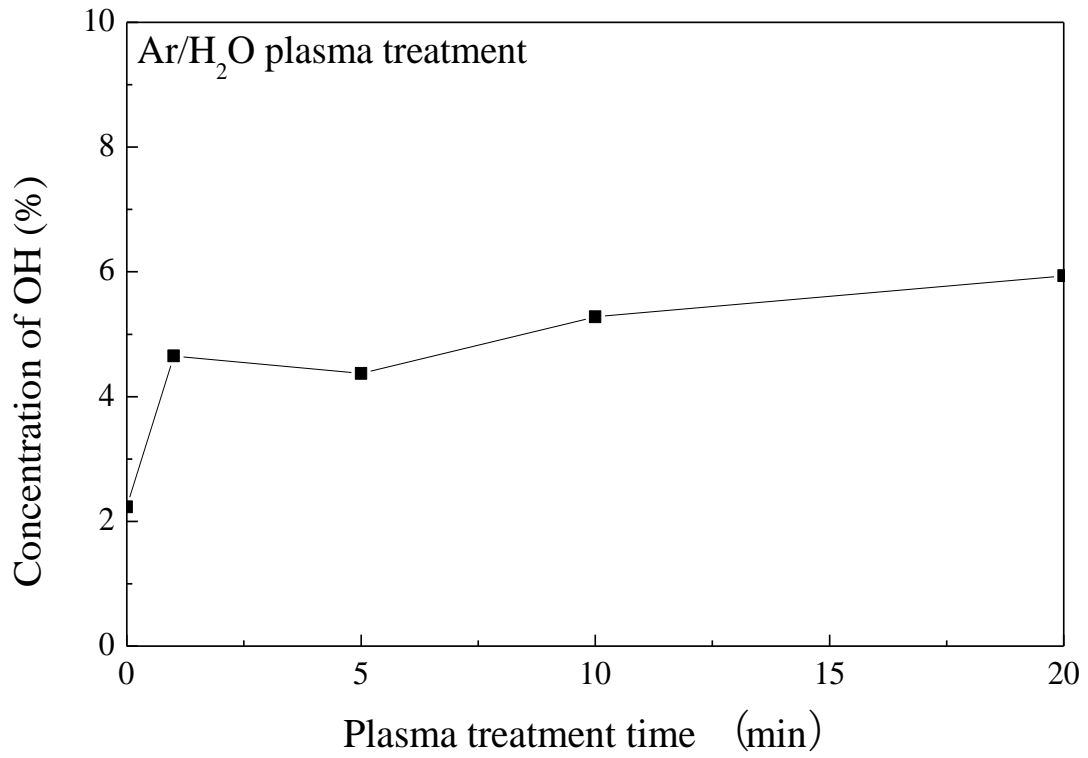


Fig. 3-6 Concentration of OH on thermally oxidized Si wafer surface which were treated by Ar/H₂O plasma for different treating time

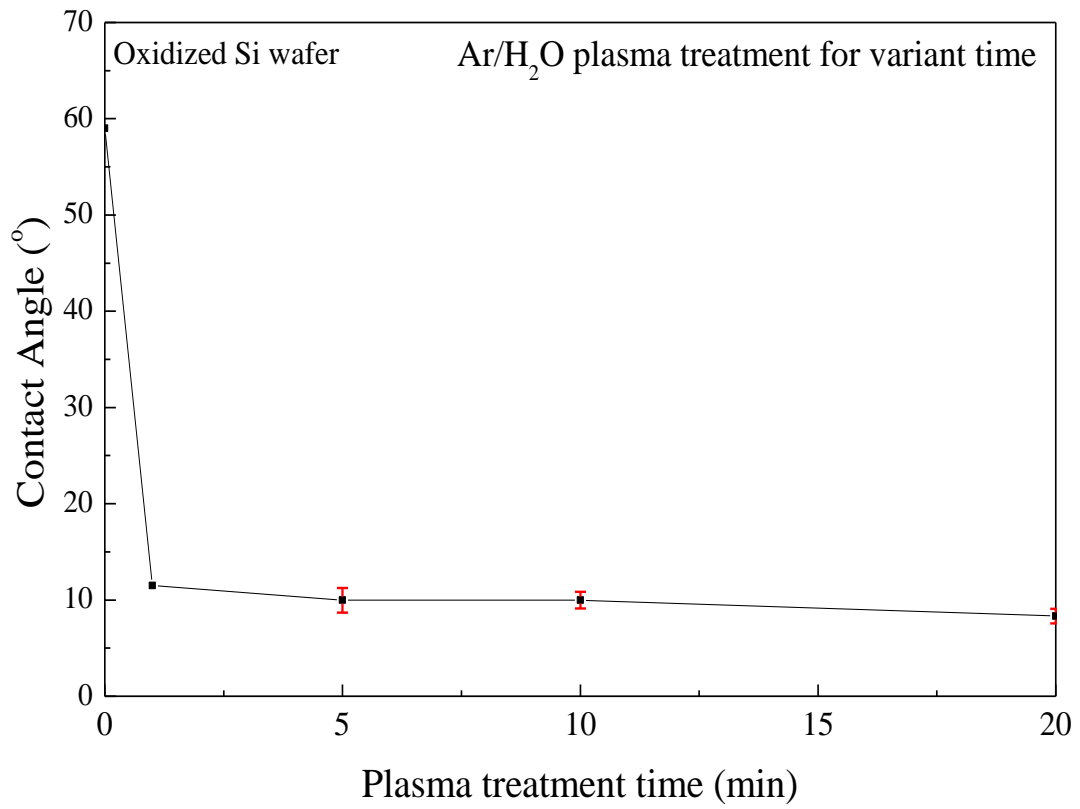


Fig.3-7 Contact angles of thermally oxidized Si wafer treated by Ar/H₂O plasma for 1 min, 5 min, 10 min and 20 min

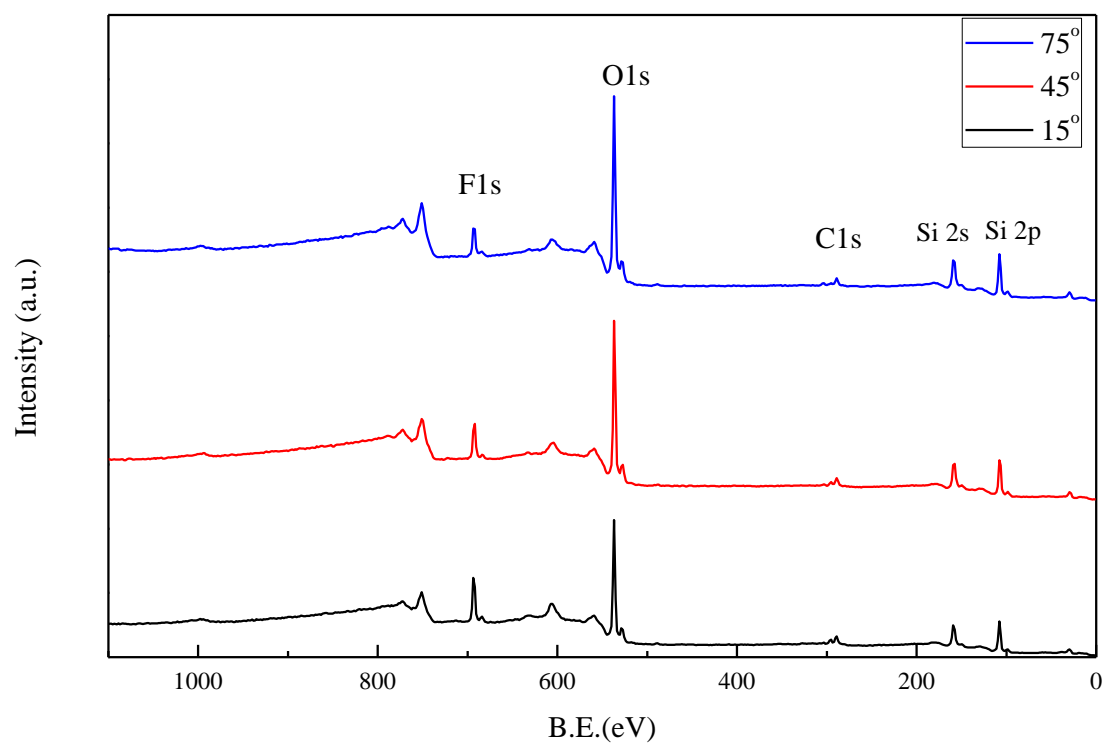


Fig. 3-8 XPS of FOCS derivatized SiO₂ treated by Ar/H₂O plasma for 20 min with different takeoff angles.

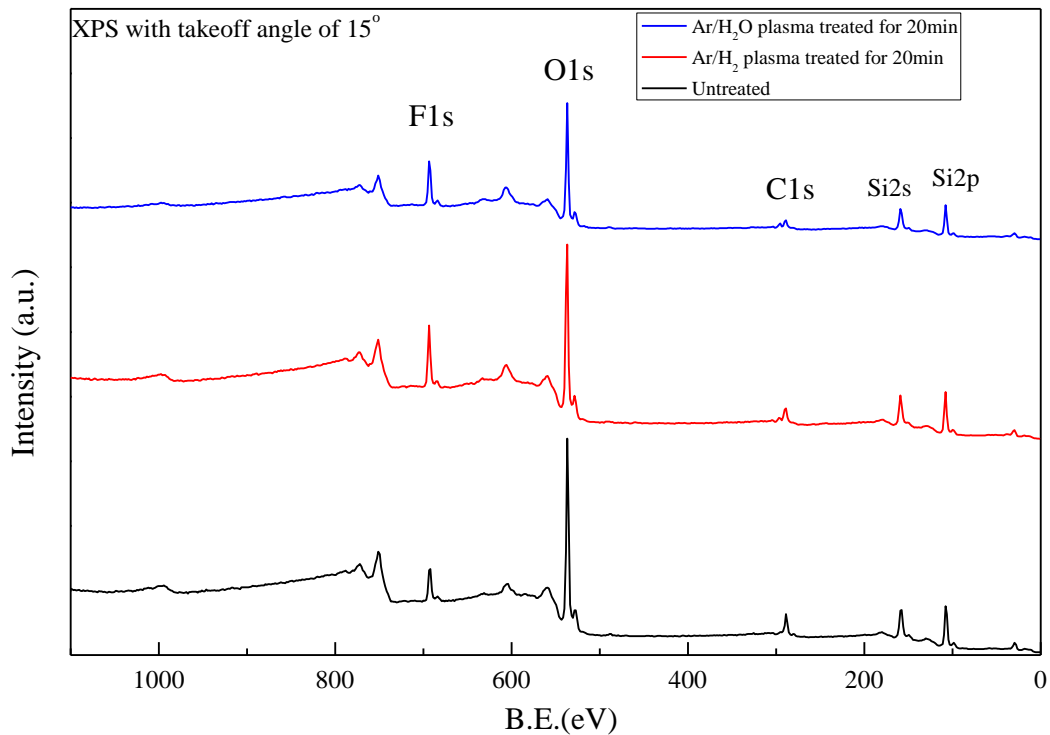


Fig. 3-9 XPS of FOCS derivatized SiO₂ without plasma treating and treated by Ar/H₂ plasma and

Ar/H₂O plasma for 20 min with takeoff angle of 15°

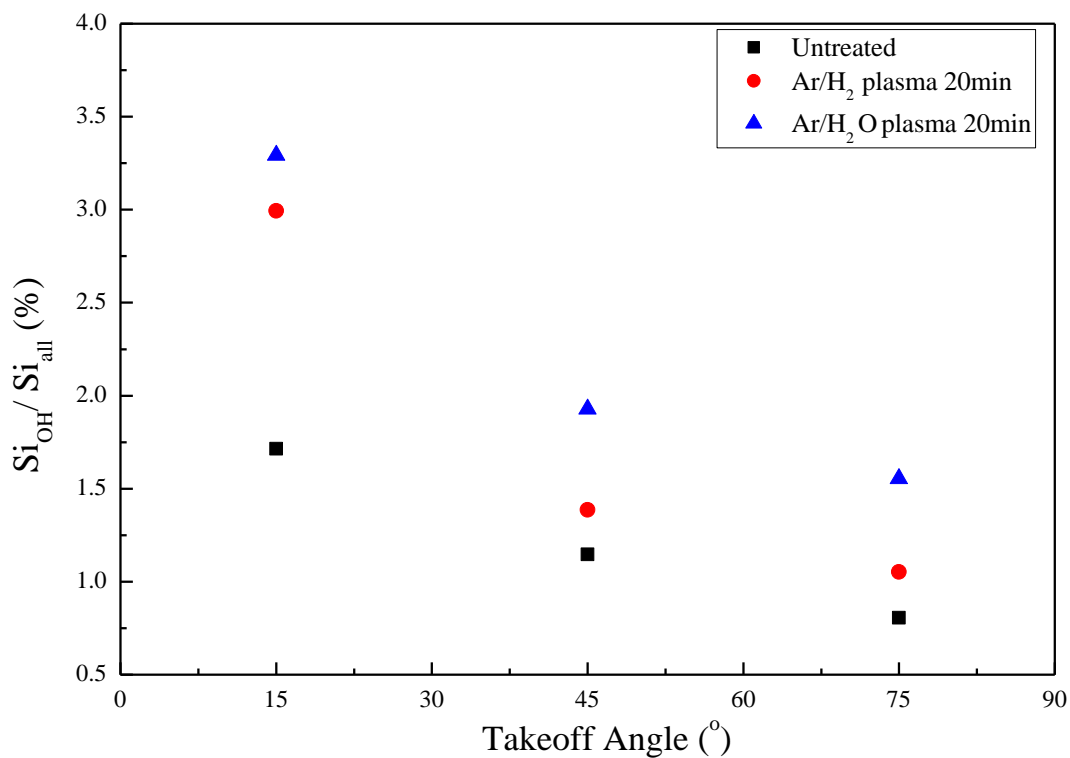


Fig.3-10 Relation with different treating condition and Si_{OH}/Si_{all}

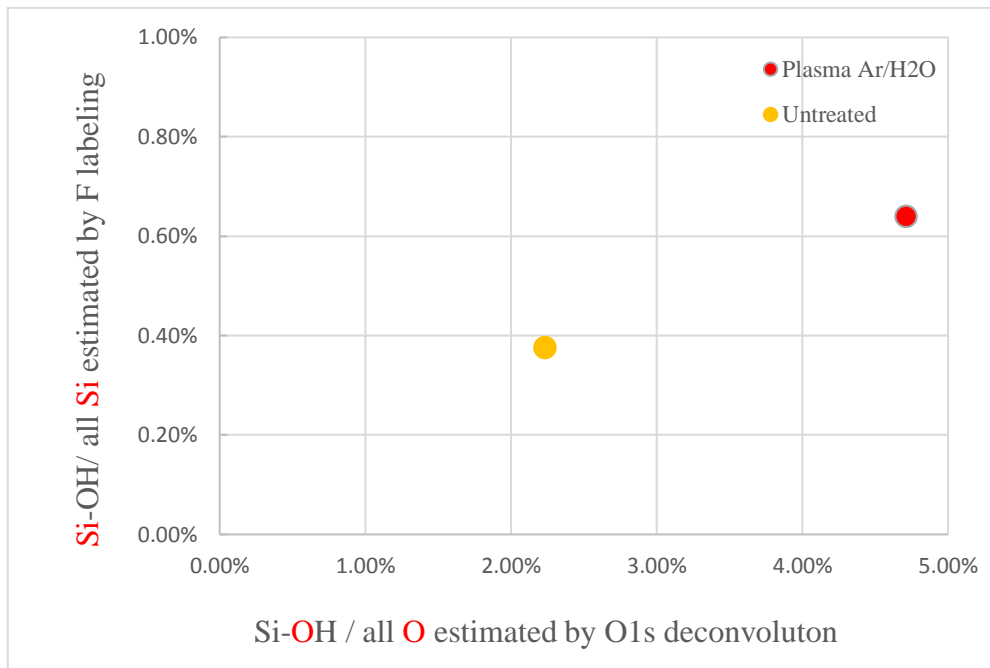


Fig.3-11 Relationship between Si-OH contents estimated by O1s deconvolution and F-labeling

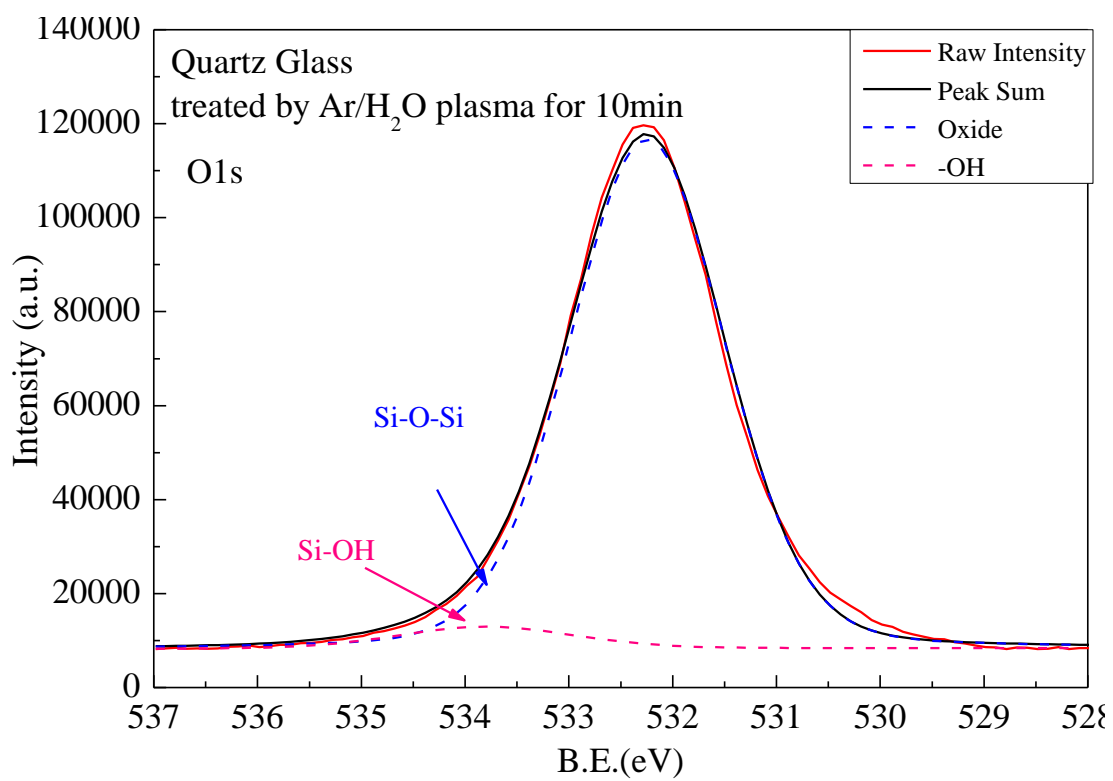
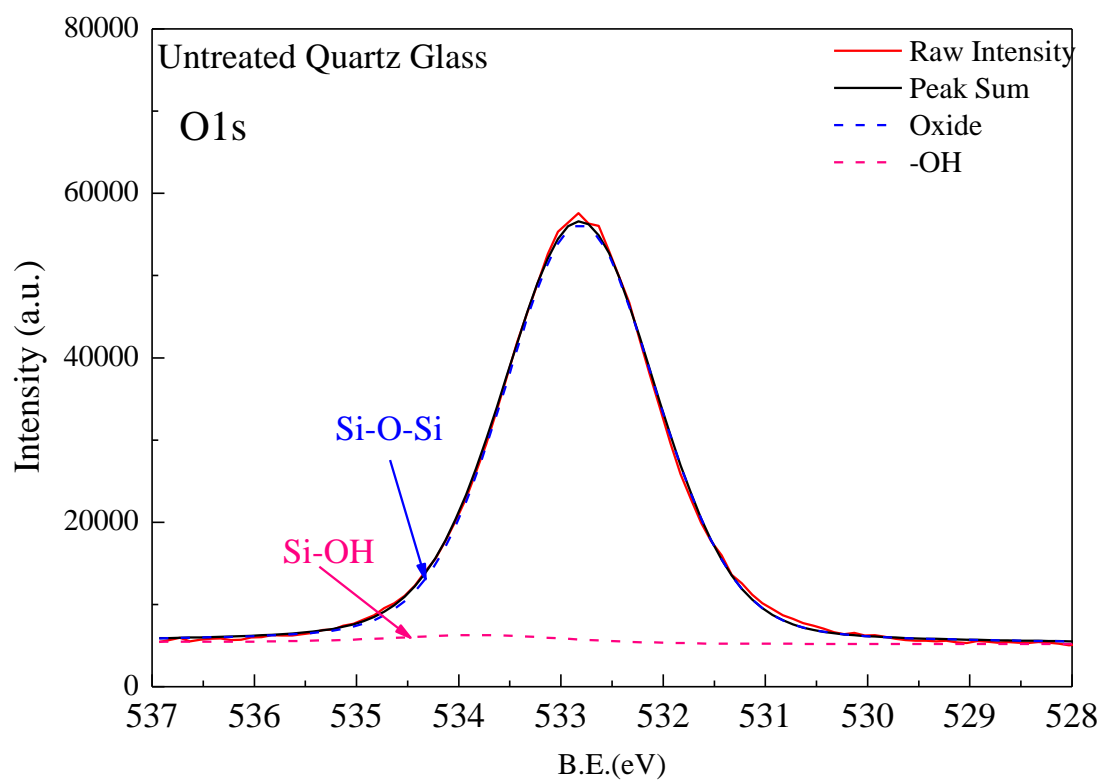


Fig.3-12 XPS spectrum of O1s peak fitting for (a) untreated quartz glass and (b) glass treated by Ar/H₂O plasma for 10 min;

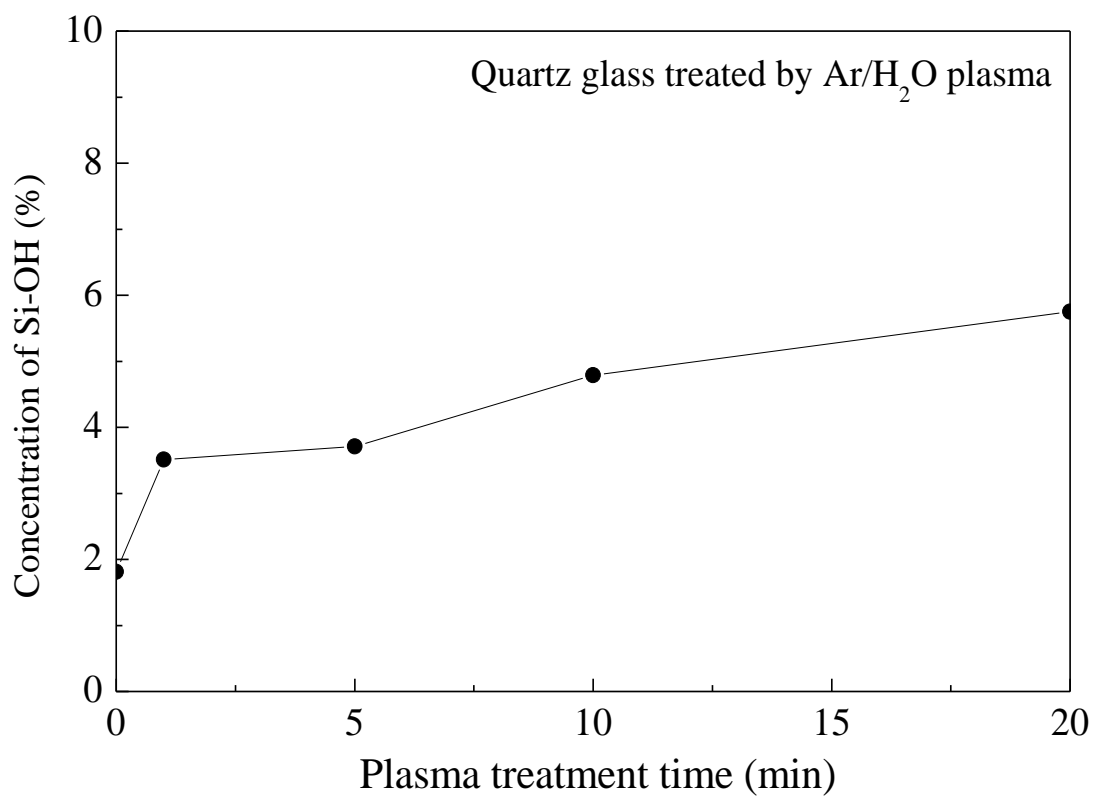


Fig. 3-13 Concentration of OH on quartz glass surface which were treated by Ar/H₂O plasma for different treating time

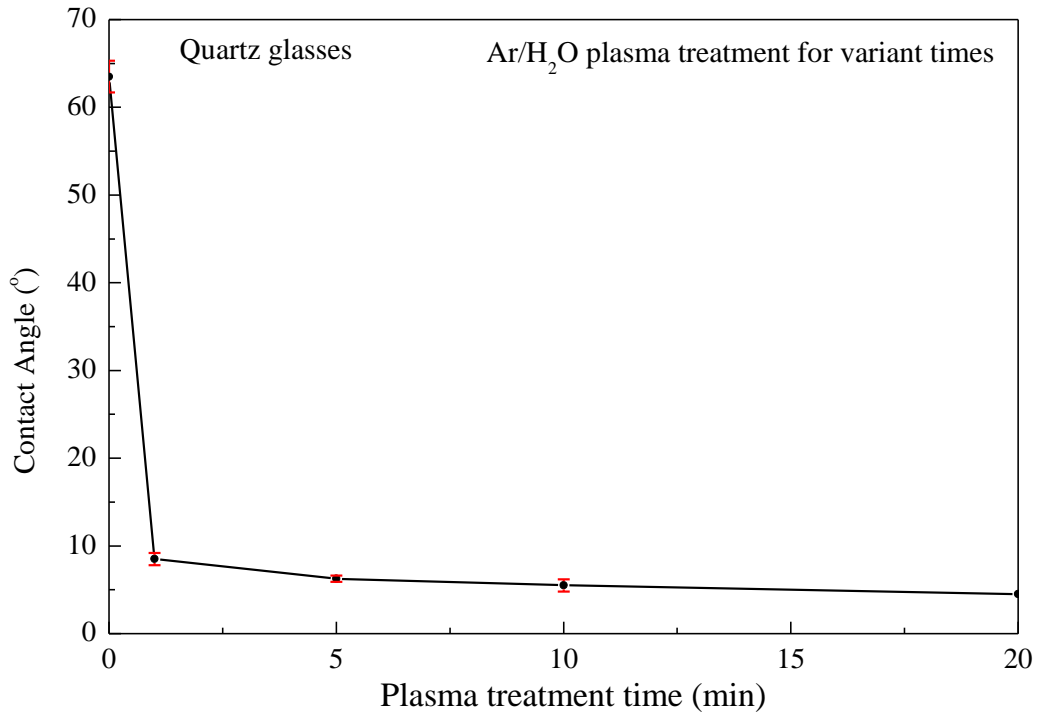


Fig. 3-14 Contact angles of quartz glass treated by Ar/H₂O plasma for 1 min, 5 min, 10 min and 20

min

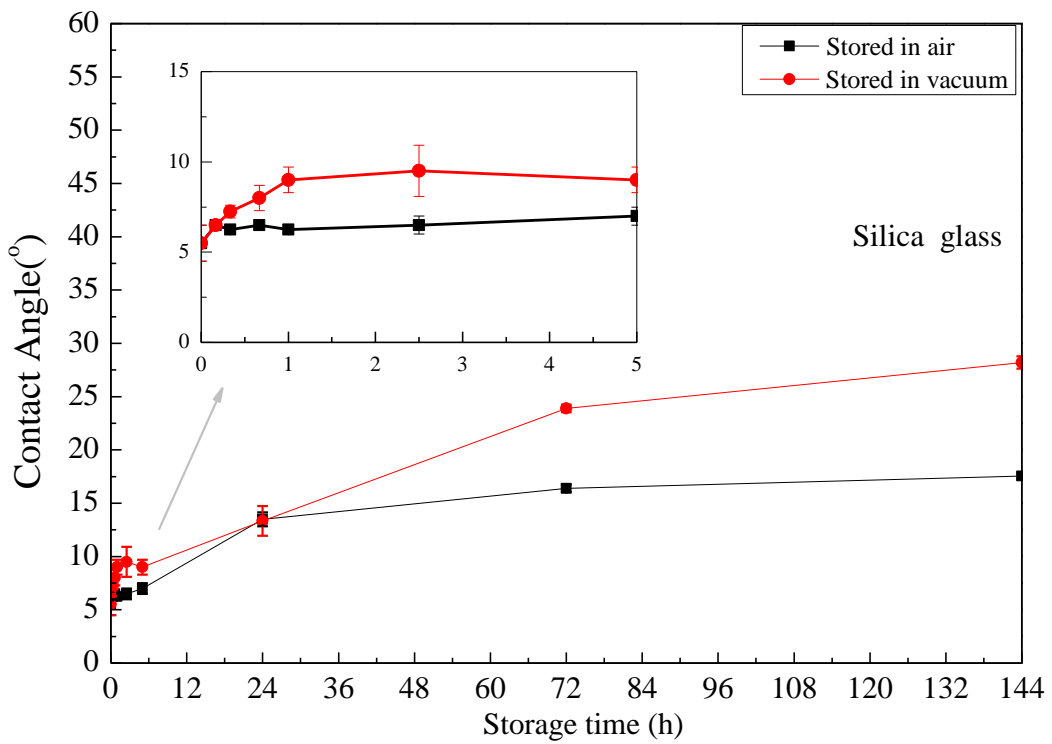
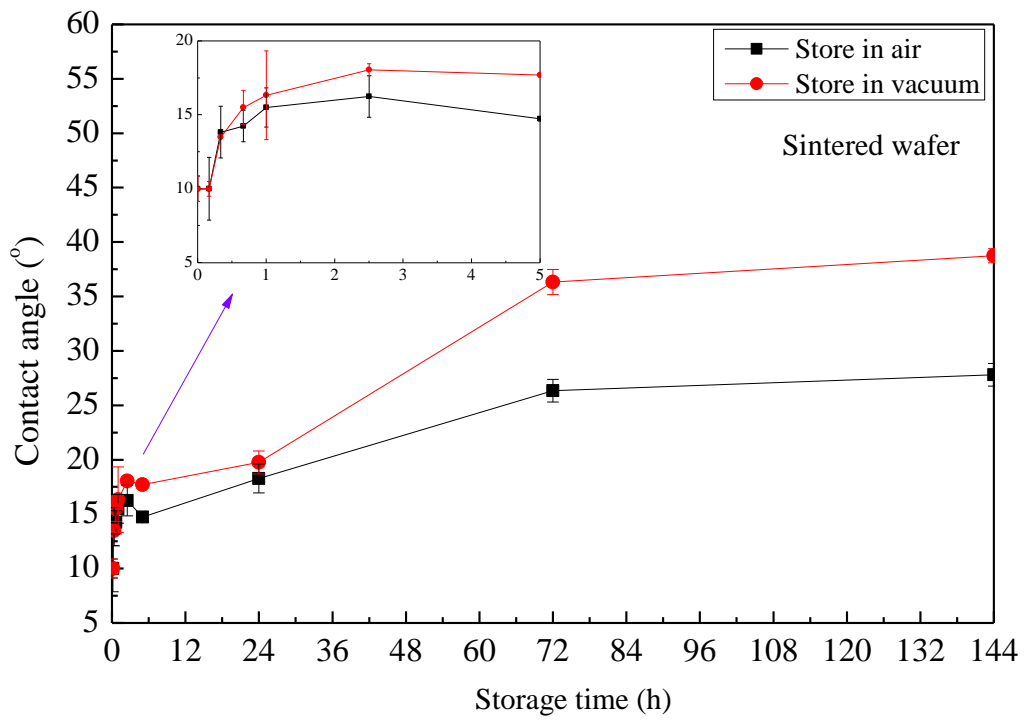


Fig.3-15 Changes of contact angles of Ar/H₂O plasma treated (top) thermally oxidized Si wafer and (below) quartz glass under different storage times

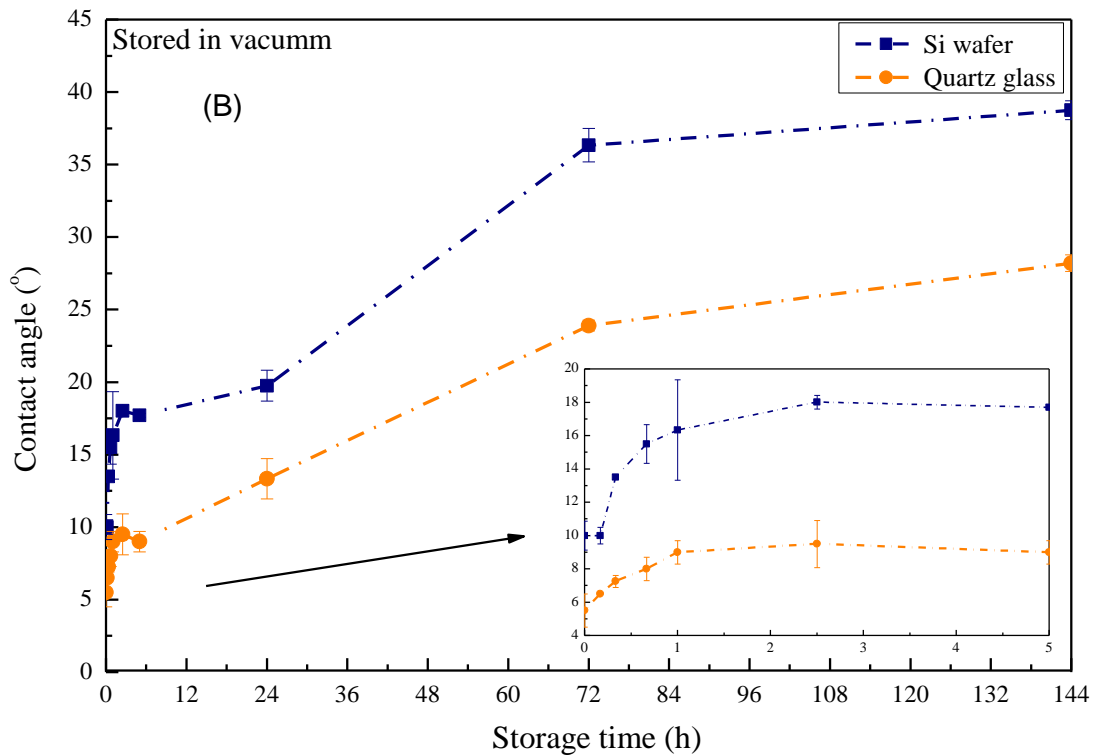
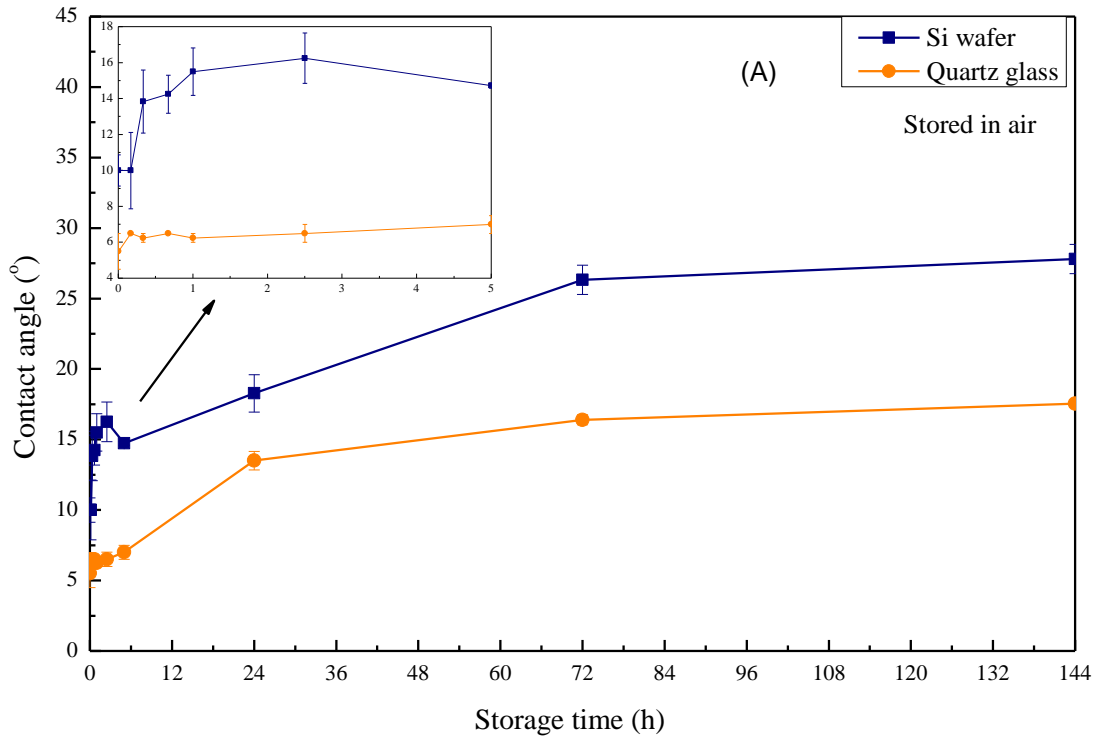


Fig.3-16 Compared the changes of contact angles of Ar/H₂O plasma treated thermally oxidized Si wafer and quartz glass under different storage times stored in (A) air and (B) vacuum

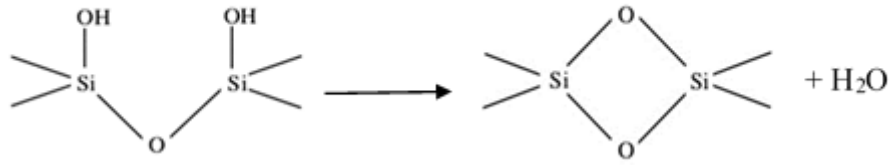


Fig.3-17 Sketch of the dehydroxylation reaction

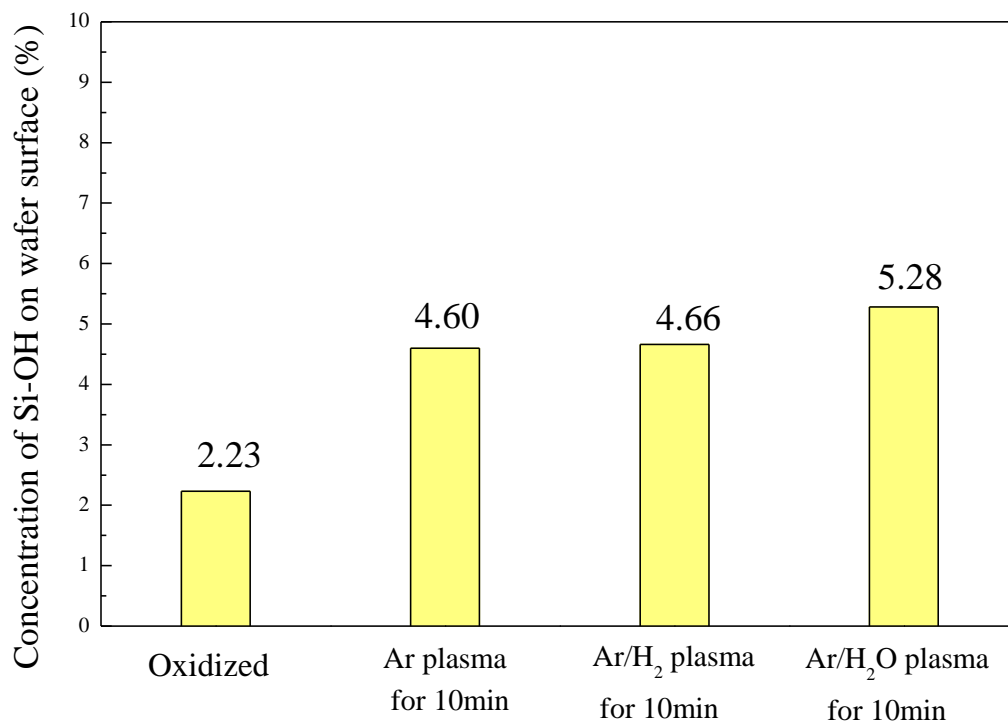


Fig. 3-18 Concentration of OH on thermally oxidized Si wafer surfaces which were treated by different methods

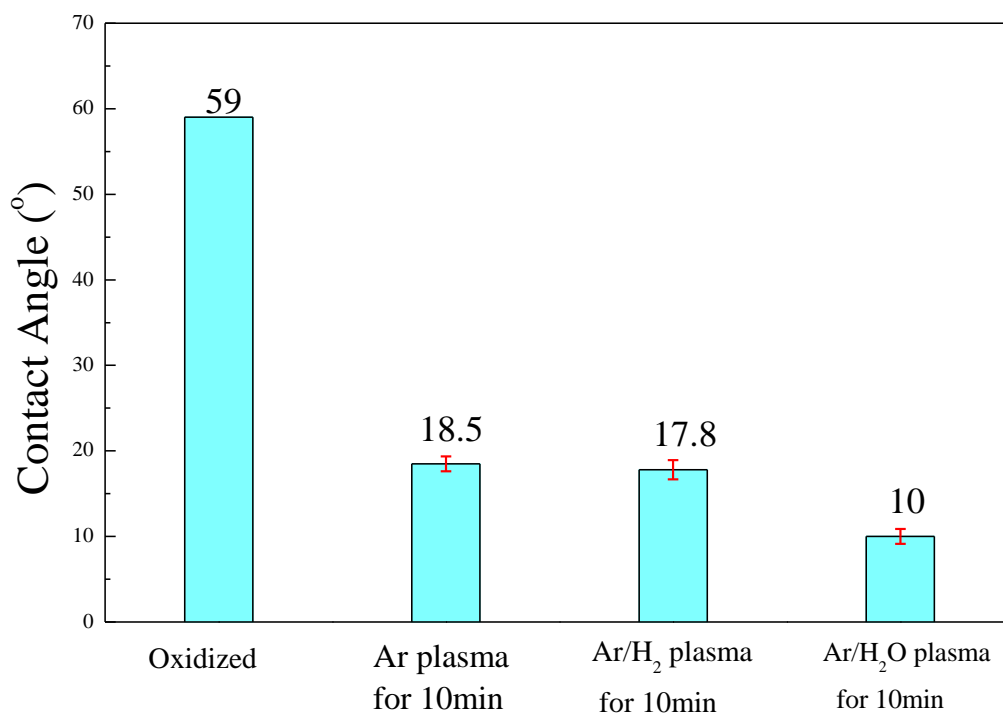


Fig. 3-19 Contact angles of thermally oxidized Si wafer surfaces which were untreated and treated by different methods

Chapter 4 Comparison of hydrophilicity on TiO₂ film surfaces introduced by non-equilibrium atmospheric pressure plasma with or without addition of water vapor

1 Introduction

Not only because of the properties of titanium dioxide (TiO₂) material itself but also the modifications of the TiO₂ material host (e.g., with inorganic and organic dyes) and on the interactions of TiO₂ materials with the environment ^[1], since its commercial production in the early 20th century, TiO₂ has been widely used in daily chemical products ^[2-6], environmental fields ^[7-9] and energy field ^[10-13].

In recent years, photovoltaics based on TiO₂ nanocrystalline material have been widely studied ^[10, 14-18]. Nanocrystalline TiO₂ film can be used as a photocatalyst electrode in dye-sensitized solar cells (DSSCs), because it is physically and chemically stable at standard temperature and normal pressure. Hydrophilic property of the TiO₂ surface plays an important role in increasing the dye adsorption and thus enhancing the efficiency of DSSCs power generation ^[19].

It is well known that plasma treatment is a very effective technology to modify sample surface by a strong interaction with the plasma containing electrons, ions, radicals, and neutral molecules. The plasma treatment is suggested to increase oxide vacancy and hydrophilic property of nano-structured TiO₂.

In this chapter, non-equilibrium atmospheric pressure plasmas are used to increase hydrophilicity

effect on TiO₂ surface. Effects of plasma treatment on TiO₂ surface hydrophilicity and the stability of hydroxy group of TiO₂ films treated by Ar plasma with bubbling system are investigated experimentally. At the end of the chapter, the quantification of MK-2 dye which used in dye sensitized solar cells (DSSC) is studied.

2 Experimental details

2.1 Sample preparation

The processes of the nano-particles sintering are represented in Fig. 4-1 [20, 21]. In fact, as shown in Fig. 4-1 (a), in order to stabilize TiO₂ nano-particles, usually polymeric dispersant is added into the TiO₂ nano-paste as the additive, which would greatly reduce the conductivity of the TiO₂ nano-paste and prevent the nano-particles sintering. To remove the polymer additives in the paste, heat-treatment is generally carried out, as shown in Fig. 4-1 (b). If we heat the sample at an appropriate temperature, the polymer dispersant would be decomposed as shown in Fig 4-1 (c), which is considered as one of the most important process for TiO₂ nano-particles sintering. Fig. 4-1 (d) shows the sintered state of the TiO₂ nano-particles, which requires much energy.

The “TiO₂ nano-paste” supplied by Taiyo yuden Co., Ltd. is composed of TiO₂ particles with an average grain diameter of 25 nm (P25) and the polymer additives are used as the binder. The TiO₂ nano-paste is printed onto glass by using squeeze printing method with c.a. 0.02 mm thick and c.a. 1.9 cm² active area. After printing, samples were dried in an oven at 100°C for 10 minutes, then put in a muffle furnace at 450°C for 30 minutes to remove the binder [22, 23]

During plasma discharge, Ar gas was used as the working gas at a flow rate of 20 L/min, and RF powers were maintained at 100 W without reflection. Water vapor was added by Ar gas which was

introduced through tubes below plasma torch at a flow rate of 1 L/min. All flow rates were controlled by a mass flow controller. The distance between the plasma torch head and sample was 3 mm.

2.2 Surface characterization

XPS (X-ray photoelectron spectroscopy) measurements are conducted to analyze the surface composition of SiO₂ film by using Perkin Elmer ESCA 5600. Mg K α radiation is employed as an X-ray source (15 KV, 400 W), and the photoelectron are collected at a takeoff angle 45°. The base pressure of system is below 10⁻⁷ Torr.

The hydrophilicity of the surface is evaluated in terms of contact angle, which is measured by CA-D contact-angle meter with deionized water at least 3 times, and the results are averaged.

The UV-vis absorption spectra of the dye-loaded transparent film and the dye solutions were recorded on a JASCO UV-560 spectrophotometer [24].

3 Results and discussion

3.1 XPS analysis of TiO₂ surfaces

XPS analysis was carried out to determine alterations in surface charge states of TiO₂ thin film and functionalities at the surface after Ar plasma and Ar/H₂O plasma treatment. Fig. 4-2 and Fig. 4-3 illustrate the deconvolution of resolution Ti2p XPS spectra of untreated, Ar plasma-treated and Ar plasma with bubbling system treated TiO₂ thin films at 100W for 10 minutes. The Ti2p XPS spectrum of untreated and plasma-treated TiO₂ films are fitted with four peaks, which correspond to titanium oxide (Ti⁴⁺) and titanium sub-oxide (Ti³⁺) in Ti2p_{1/2} and Ti⁴⁺ and Ti³⁺ in Ti2p_{3/2} respectively [23], as shown in Fig. 4-2 (a) ~ (c). The surface stoichiometry is estimated by relative

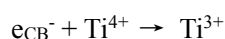
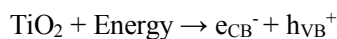
peak areas as percentage of the total area of the Ti2p peak, and the analytical results are shown in Tab. 4-1 and 4-2. Changes in oxidation state of Ti for TiO₂ films treated by Ar plasma and Ar plasma with bubbling system for 1, 5 and 20 minutes are also estimated in the same way. After Ar plasma treatment for 40 min, the Ti⁴⁺ surface state in the Ti2p region decreased from about 94.29% to 90.85%, and Ti³⁺ increased from 5.71% to 9.15%. Similarly, with TiO₂ treated Ar plasma with bubbling system for 40 minutes, the Ti⁴⁺ surface state in the Ti2p region decreased to 90.10%, while Ti³⁺ increased to 9.90%. It is observed, as shown in Fig. 4-2, that Ti⁴⁺ is changed to Ti³⁺ by the reaction of Ti⁴⁺ with the electrons and this change enhances the hydrophilic state of TiO₂ surface as indicated by the increase in OH⁻, which can be deduced from XPS O1s spectra, as shown in Fig. 4-3. As plasma treatment time increases, there is a less obvious increasing trend in Ti³⁺.

The broads O1s of TiO₂ films treated by Ar plasma and Ar ph bubbling system for 40 minutes are fitted and deconvoluted into three peaks in the XPS spectra, as shown in Fig 4-3. The main peak at 529.5eV indicates oxygen in O²⁻ (TiO_x), with two shoulder peaks attributing to Ti-OH (531.2 eV) group and H₂O (532 eV) respectively. The intensity of the O1s' peak of Ti-OH increased after the TiO₂ thin film was treated by plasma. The concentration of Ti-OH is estimated by relative peak areas as percentage of the total area of the O1s peak. The analytical results are displayed in Fig.4-4 (More details can be found in Tab. 4-3 and 4-4). Ti-OH content of samples treated by Ar plasma and Ar plasma with bubbling system for 1, 5 and 20 minutes are also estimated in the same way. Comparing Ar plasma and Ar/H₂O plasma treatment, we can find that Ar/H₂O plasma treatment has a more obvious effect on samples' surface, as the increase of Ti-OH is higher. With increased plasma treating time, the concentration of Ti-OH will increase as well.

3.2 Contact angle of water on TiO₂ film surfaces

Contact angle can give information about the macroscopic surface wettability. Fig.4-5 shows contact angles of TiO₂ thin films treated by Ar plasma and Ar/H₂O plasma respectively. For contact angles evaluation, plasma treatment has a more significant effect than those characterized by XPS analysis. It can be seen that contact angles of plasma-treated samples drop below 10°. But with increased plasma treating time, there is no further obvious decrease in contact angles. Additionally, from Fig. 4-5 we can find that the contact angles of samples treated by Ar/H₂O plasma were smaller than those treated by Ar plasma.

For TiO₂, plasma irradiation may create surface oxygen vacancies at bridging sites, resulting in the conversion of relevant Ti⁴⁺ sites to Ti³⁺ sites [26, 27] which are favorable for dissociative water adsorption, which is shown as follows:



These oxygen vacancies presumably influence the affinity to chemisorbed water of their surrounding sites, forming hydrophilic areas.

We know TiO₂ has photocatalysis under UV irradiation, and in plasma discharge there may generated UV. In order to verify effect of plasma on hydrophilicity, TiO₂ films covered with quartz glass was treated by Ar plasma for 10 min. And then compared its contact angle results with film directly irradiated Ar plasma for 10 min. Quartz glass will obstruct active particle in plasma and let UV penetrate. The contact angle results are shown in Fig. 4-6. From figure, we know contact angle of TiO₂ film directly irradiated Ar plasma for 10 min reduced by half, from 14.1° to 6.6°, while TiO₂ film with quartz glass only decrease 1°. So we draw a conclusion that plasma can improve

hydrophilicity on TiO₂ nanocrystalline films surfaces.

3.3 Stability of hydroxy group

The samples after plasma treatment with bubbling system for 10 minutes are stored in vacuum (in vacuum chamber with pressure of about 10⁻⁵ Torr) and atmosphere (in a Petri dish) respectively, and the changes in water contact angles of samples under different storage duration are shown in Fig.4-7.

From Fig.4-7, we can see that during the first day, contact angles had an increasing trend with storage time. After one day; the increase of contact angles was not obvious. With long term storage, oxygen vacancies may trap electron or active group or react with other gas from the air, thereby losing activity (-OH) gradually, and the chemisorbed hydroxy groups are replaced by oxygen [25, 28-33]. Under macroscopic, contact angles will increase gradually. That is why hydrophilicity on surface of plasma irradiated TiO₂ films has a certain longevity. We also can see that the contact angles of samples stored in ambient are smaller than those stored in vacuum. The same as silicon dioxide, under atmospheric conditions, water would adsorb onto TiO₂ surface. On TiO₂ film's surface photo-electronic transferring may occur by surface state (Ti³⁺ and O₂⁻) and adsorbed water. This can decrease probability of electron-cavity compounding [34], oxygen vacancies would be long-lived when films store in air. So contact angles of films stored in ambient are smaller than them stored in vacuum.

4 Application of non-equilibrium atmospheric pressure plasma treatment to dye-sensitized solar cell

As mentioned above, it is known that titanium can exist in sub-oxide forms such as Ti₂O₃ in a

nanocrystalline TiO₂ film. The oxygen vacancies due to such sub-oxides are surface defects from the perspective of DSSCs. These surface defects are expected to significantly influence the performance of the cell. Modifying the surface structure of the TiO₂ film is one of the strategies adopted for improving the solar-to-electricity conversion efficiency [35-38]. We have discussed that Ar plasma treatment can increase Ti³⁺ surface state of TiO₂ film. In this part, we will investigate the effect of plasma treatment on quantification of MK-2, which is used as dye in DSSC. The information and structure of MK-2 Dye are illustrated in Tab. 4-5 and Fig. 4-8 [39] respectively.

4.1 Measurement of dye adsorption

Adsorption of dye per unit area can be calculated by adsorbance of desorption solution and standard adsorption curve of dye. By using standard dye solution, the adsorbance can be determined directly and the working curve can also be drawn. The process of quantification is listed as below [40, 41]: ① Prepare desorption agent; ② Prepare standard solution, and draw the standard curve of the concentration and adsorbance; ③ Put the dye-loaded samples in desorption agent for a certain period, and measure the adsorbance of desorption agent which dissolved dye; ④ Get the value of concentration, and calculate the amount of MK-2 adsorbed.

The mixture solvent of THF/toluene (volume ratio of 2/8) was used as the desorption agent. In this report the solution with concentration of 0.0011, 0.0017, 0.0034, 0.0051, 0.0068 mmol/L was prepared as standard solution, and THF/toluene mixture solvent was used as reference. At first the 0.0017 mmol/L dye solution was chosen to measure adsorption spectra from 300 nm to 700 nm.

Fig. 4-9 displays the UV-vis absorption spectra of the MK-2 dye solution with concentration of 0.0017 mmol/L. From Fig.4-8, we can see that at 477 nm, the absorbance is highest. We therefore

measure the absorbance of every standard solution at 477 nm. Fig.4-10 is standard curve of the relation between concentration and absorbance of Dye MK-2 solution at 477 nm. Standard curve equation (4-1) is obtained after analysis and regression:

$$y=78.634x \quad (4-1)$$

In equation 4-1, y is absorbance; x is concentration of Dye MK-2, mmol/L. The correlation coefficient of $r^2=0.999>0.99$, this means these data are reliable.

After plasma treating, we put the dye-loaded TiO₂ films in desorption agent for a period, then the film was withdrawn from the desorption agent. After that the surplus desorption agent was absorbed by tissue, then we measure the UV-Vis absorbance of desorption agent from 300 nm to 700 nm, find the value of absorption at 477 nm. We then calculate the concentration according to Equation 4-1, and calculate the adsorption capacity (Q) in term of following equation 4-2.

$$Q=(C \times V)/S \quad (4-2)$$

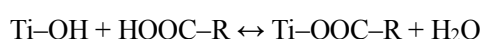
In equation 4-2, Q is adsorption capacity of Dye MK-2, mol/cm²; C is concentration of Dye MK-2, mol/L; V is volume of desorption agent, L; S is area of TiO₂ film, cm².

In my experiment, after plasma treating, TiO₂ films were immersed in 0.3 mM dye solution in darkness. After an hour, take dye-loaded films out of dye solution (the surplus dye solution is absorbed by tissue) and soak them into desorption agent (THF/toluene mixture solvent ^[39]) for one day to withdraw dye from the films. Then dilute the desorption agent with THF/toluene mixture solvent to 4mL. In the end measure UV-Vis absorbance of diluted desorption agent from 300 nm to 700 nm, find the value of absorption at 477 nm. And calculate the concentration according to equation 4-1, and the adsorption capacity (Q) in term of equation 4-2. The performance of desorption is displayed in Fig.4-11.

4.2 Quantity of dye adsorption

In this experiment, the quantity of dye adsorption for TiO₂ nano-film treated by Ar plasma and Ar /H₂O for 20 minutes are evaluated. The untreated film is also measured as reference.

From Fig 4-11, we can see that TiO₂ film treated by Ar /H₂O plasma has more quantity of dye adsorption, so it is clear that the adsorption of the MK-2 dye on the TiO₂ film will increase with increased concentration of hydroxy group. The reason for the increased dye uptake by the TiO₂ film after plasma treatment can be explained on the basis of the interaction between hydroxy group of titanium on the TiO₂ surface and the –COOH groups of the MK-2 dye molecules ^[42]. The interaction between plasma-treated TiO₂ and –COOH groups of the dye can be represented by the equation as follows:



Where Ti–OH stands for the hydroxylated TiO₂ surfaces, and HOOC–R represents the MK-2 dye with Ti-OH anchoring carboxylic acid groups ^[43]. Oxygen vacancies can be created during plasma treatment. This will improve affinity to chemisorbed · OH, forming hydrophilicity domains. As mentioned above, plasma treatment with bubbling has a more obvious effect than Ar plasma treatment on hydrophilicity, so it also has more quantity of dye adsorption.

5 Conclusions

In this study, the non-equilibrium atmospheric pressure plasma with water vapor during plasma irradiating, was used to improve hydrophilicity on TiO₂ nanocrystalline films surfaces. The XPS results show the reduction of plasma, and oxygen vacancy will be created during plasma process. After a 40 minute-long Ar plasma treatment, the Ti⁴⁺ surface state decreased from about 94.29% to

90.85%, and Ti^{3+} increased from 5.71% to 9.15%. Similarly, with treatment by Ar plasma with bubbling system for 40 minutes, the Ti^{4+} surface state decreased to 90.10%, and Ti^{3+} increased to 9.90%. The concentration of Ti-OH on surface of TiO_2 films, after being treated by plasma with bubbling system, could increase. With increased plasma treatment time, the concentration of Ti-OH will increase. The contact angles can demonstrate an obvious result: After plasma treatment, the contact angle dropped dramatically, as it can drop below 10° . It strongly suggests that Ar/ H_2O plasma could enhance the hydrophilicity of TiO_2 nanocrystalline films. With plasma treatment for 5 minutes, the contact angle could decrease to 10° . To conclude, as time goes on, contact angle has a small change.

The hydrophilicity on TiO_2 nanocrystalline films surfaces after treatment by plasma with bubbling has a certain longevity. Because oxide vacancy loses its activity, contact angles will restore gradually as storage time increases, no matter if the samples are stored in vacuum or in atmosphere. But contact angles of samples stored in atmosphere can keep smaller contact angles than those stored in vacuum.

Compared with untreated ones, Ar plasma treated and Ar / H_2O plasma treated TiO_2 films, plasma treated films had more quantity of dye adsorption than untreated film. As Ar / H_2O plasma treatment has the most obvious effect on hydrophilicity, it also has the most dye adsorption.

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Tab. 4-1 Change in oxidation state of Ti for TiO₂films treated by Ar plasma for 5 min, 10 min, 20 min and 40 min

	Untreated	Ar 5min	Ar 10min	Ar 20min	Ar 40min
Ti⁴⁺2p	94.29%	94.86%	94.75%	91.93%	90.85%
Ti³⁺2p	5.71%	5.14%	5.25%	8.07%	9.15%
Ti⁴⁺/ Ti³⁺	16.53	18.47	18.05	11.39	9.93

Tab. 4-2 Change in oxidation state of Ti for TiO₂ films treated by Ar/H₂O plasma for 5 min, 10 min, 20 min and 40 min

	Untreated	Ar/H ₂ O 5min	Ar/H ₂ O 10min	Ar/H ₂ O 20min	Ar/H ₂ O 40min
Ti⁴⁺2p	94.29%	94.90%	92.75%	90.99%	90.10%
Ti³⁺2p	5.71%	5.10%	7.25%	9.01%	9.90%
Ti⁴⁺/ Ti³⁺	16.53	18.61	12.79	10.10	9.11

Tab. 4-3 Change in surface chemical components of TiO₂ film treated by Ar plasma for 5 min, 10 min, 20 min and 40 min

	Untreated	Ar 5min	Ar 10min	Ar 20min	Ar 40min
O_{oxide}	93.68%	94.56%	95.13%	95.00%	95.08%
-OH	3.99%	4.03%	4.24%	4.93%	4.85%
H₂O	2.34%	1.42%	0.63%	0.06%	0.07%
OH/Oxide	0.043	0.042	0.045	0.052	0.051

Tab. 4-4 Change in surface chemical components of TiO₂ film treated by Ar/H₂O plasma for 5 min, 10 min, 20 min and 40 min

	Untreated	Ar/H ₂ O 5min	Ar/H ₂ O 10min	Ar/H ₂ O 20min	Ar/H ₂ O 40min
O_{oxide}	93.68%	93.37%	93.88%	93.36%	89.18%
-OH	3.99%	5.27%	5.59%	6.47%	8.66%
H₂O	2.34%	1.36%	0.53%	0.17%	2.1%
OH/Oxide	0.043	0.056	0.059	0.069	0.097

Tab. 4-5 Information on MK-2 Dye

Synonyms	2-Cyano-3-[5''-(9-ethyl-9H-carbazol-3-yl)-3', 3'', 3''', 4-tetra n-hexyl-[2, 2', 5', 2'', 5'', 2''']-quarter thiophen-5-yl] acrylic acid
Formula	C ₅₈ H ₇₀ N ₂ O ₂ S ₄
Molecular Weight	955.45 g/mol
CAS-No.	1037440-21-3
Appearance	Solid
Melting point/range	181 - 185 °C

Tab. 4-6 The determination of adsorbed MK-2 of TiO₂ thin films treated by different method

	Untreated	Ar plasma 20min	Ar/H₂O 20min
Adsorption (1 × 10⁻⁸ mol/cm²)	3.02	4.05	5.52

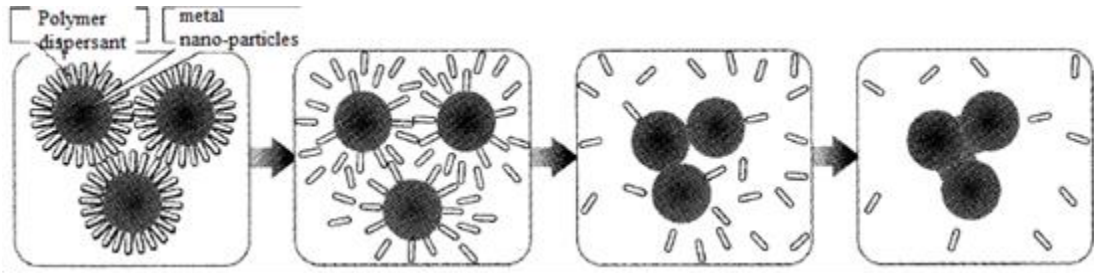
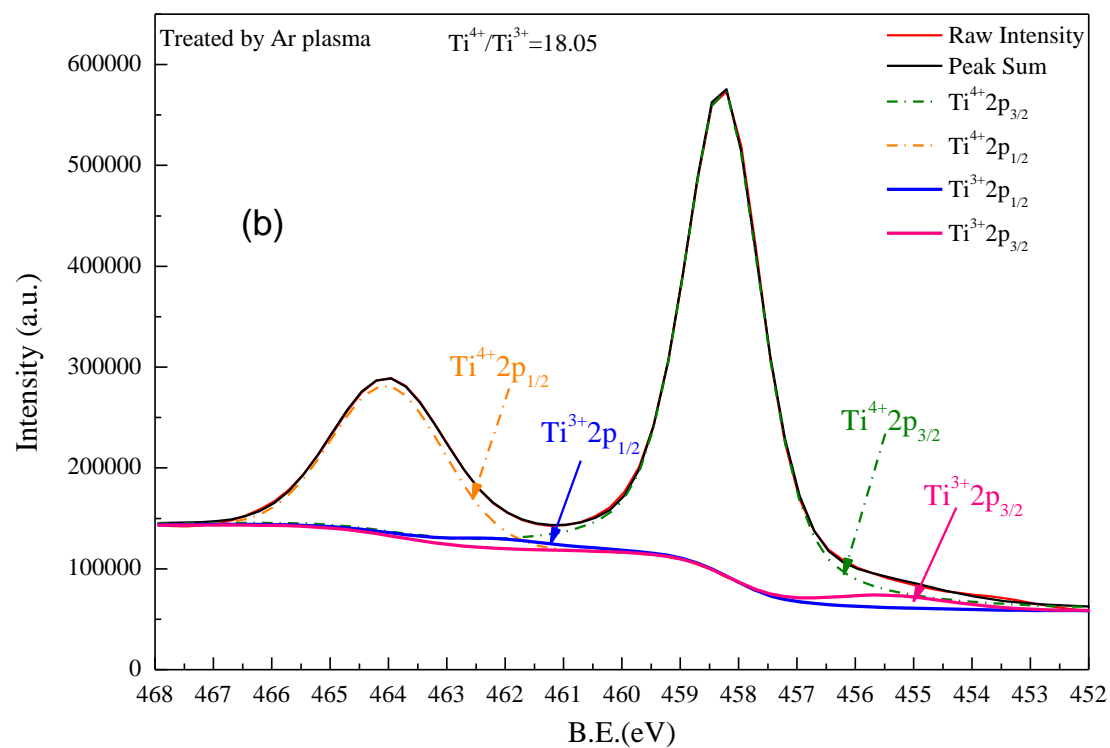
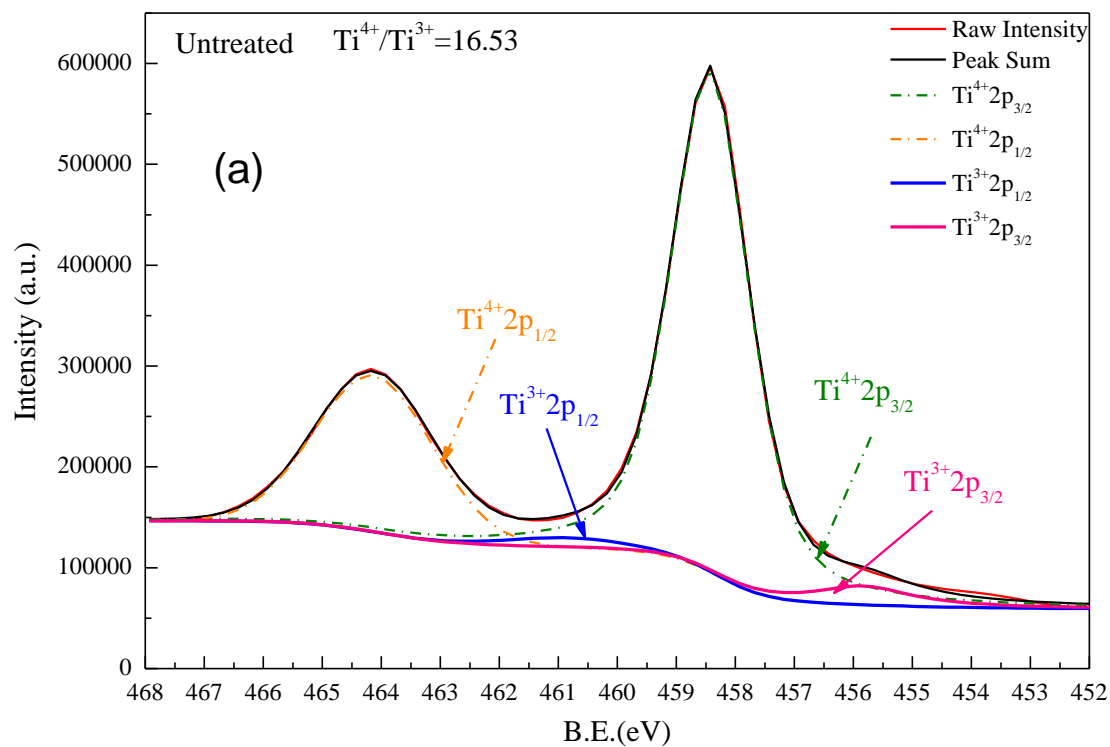


Fig. 4-1 The process of heat-treatment and sintering for metal nano-paste. At ambient temperature without treatment (a), heat-treatment (b), decomposition of the polymer additive (c), sintering of metal nano-particles (d) [\[20, 21\]](#).



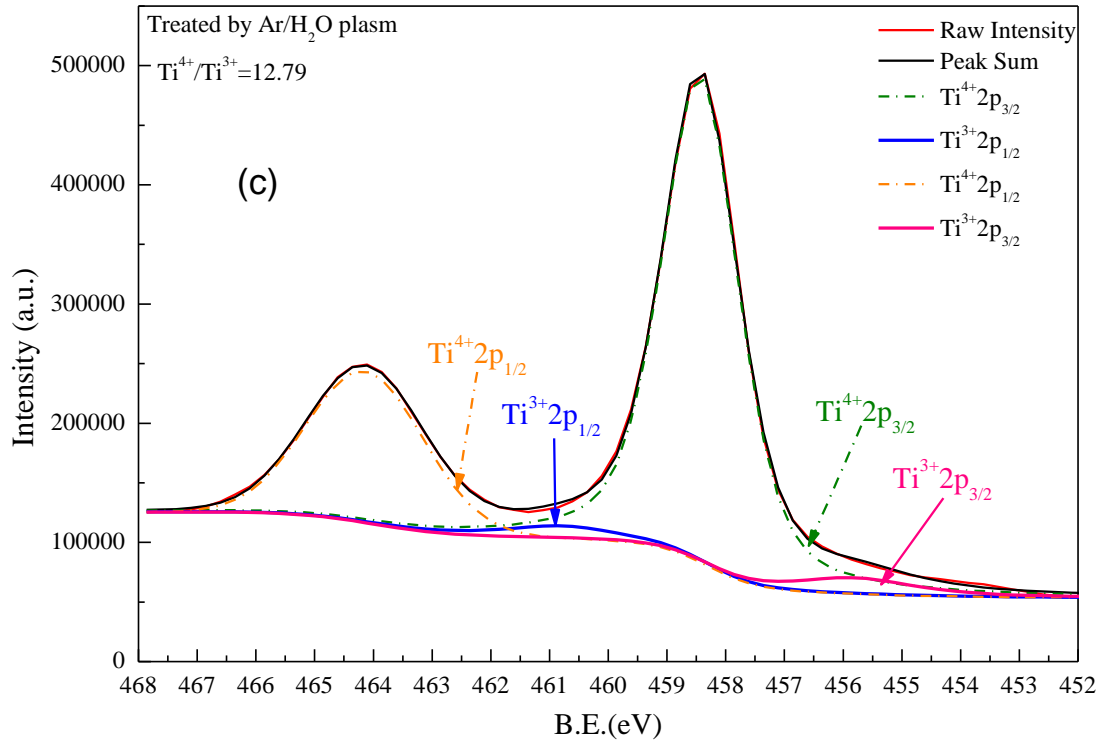
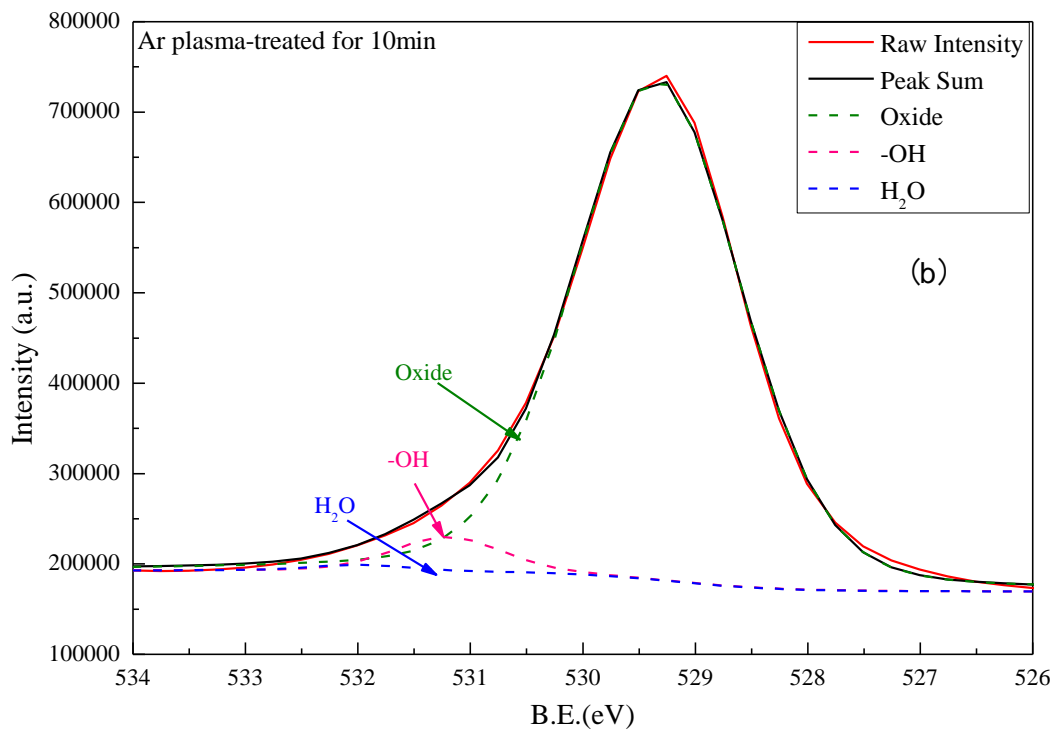
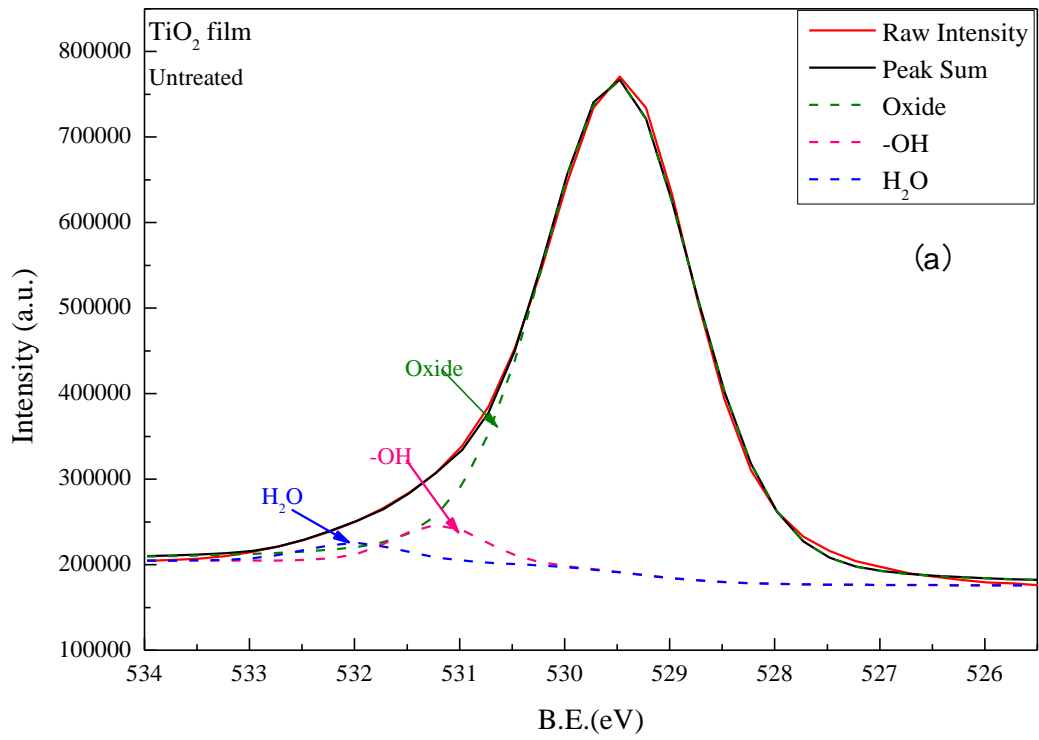


Fig.4-2 (a) XPS spectrum of Ti2p peak fitting for TiO₂ films: (a) untreated; (b) TiO₂ film treated by Ar plasma for 10 min; (c) TiO₂ film treated by Ar/H₂O plasma for 10 min



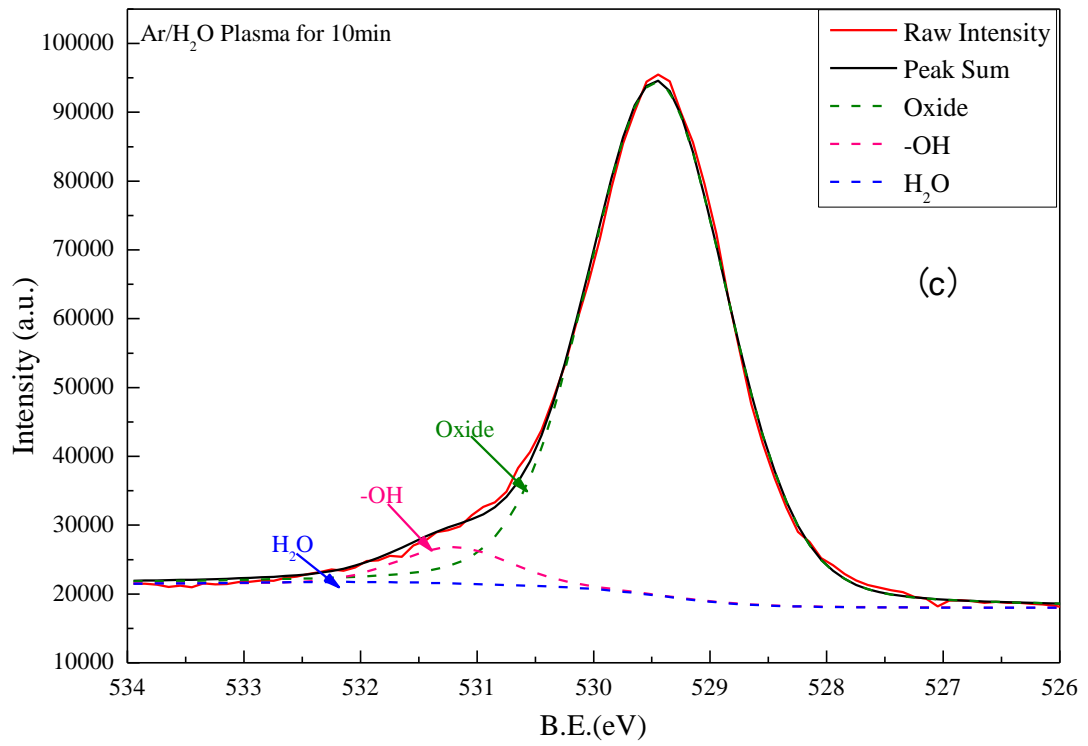


Fig.4-3 XPS spectrum of O1s peak fitting for TiO₂ films: (a) untreated; (b) TiO₂ film treated by Ar plasma for 10 min; (c) TiO₂ film treated by Ar/H₂O plasma for 10 min

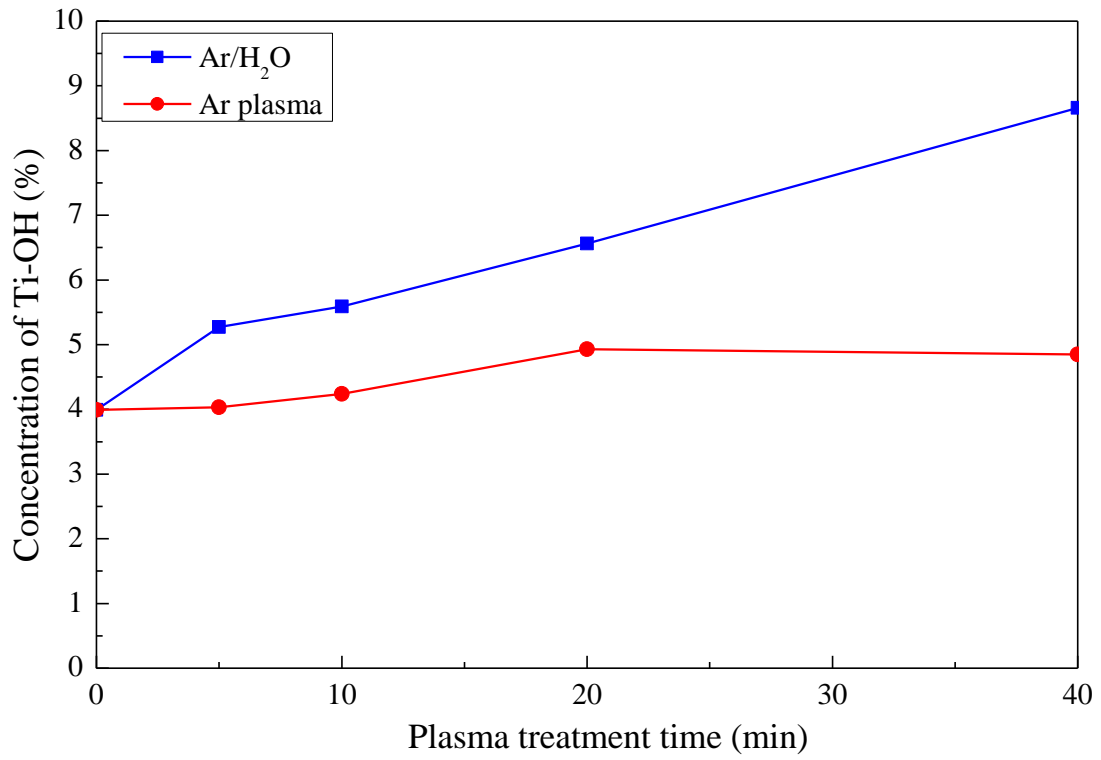


Fig.4-4 Concentration of OH on TiO₂ film surfaces which were treated by Ar plasma and Ar/H₂O plasma for different treating time

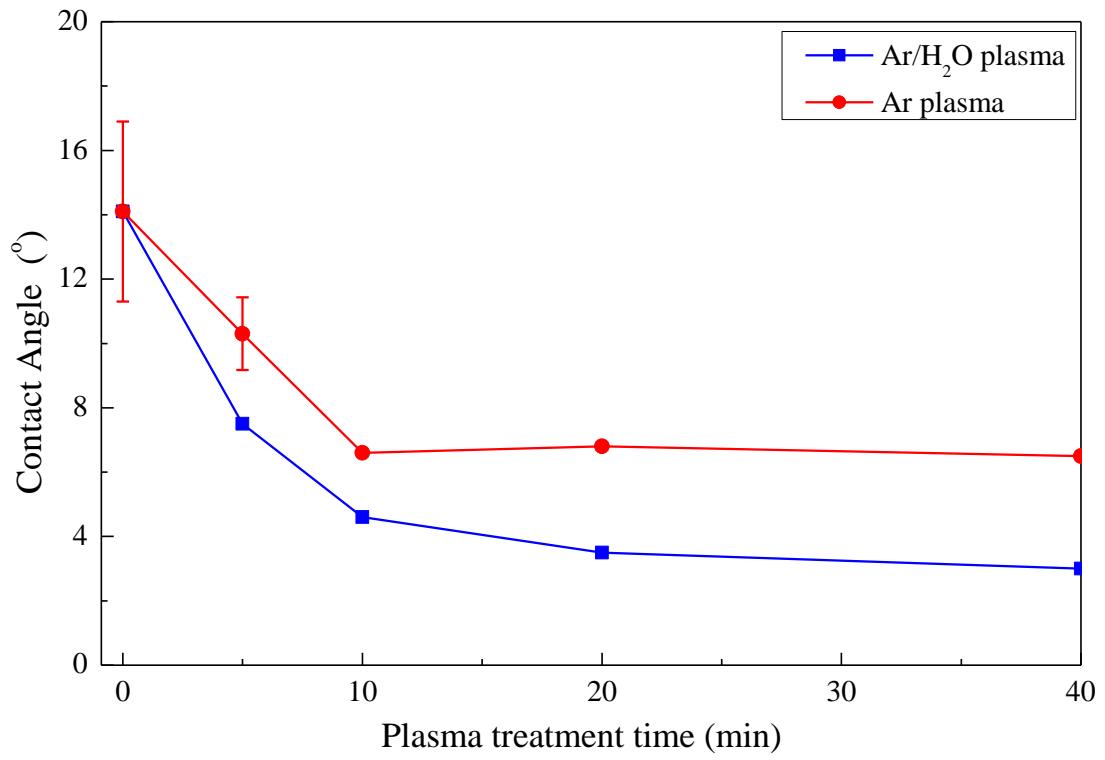


Fig.4-5 Contact angles on TiO₂ film surfaces which were treated by Ar plasma and Ar/H₂O plasma for different treating time

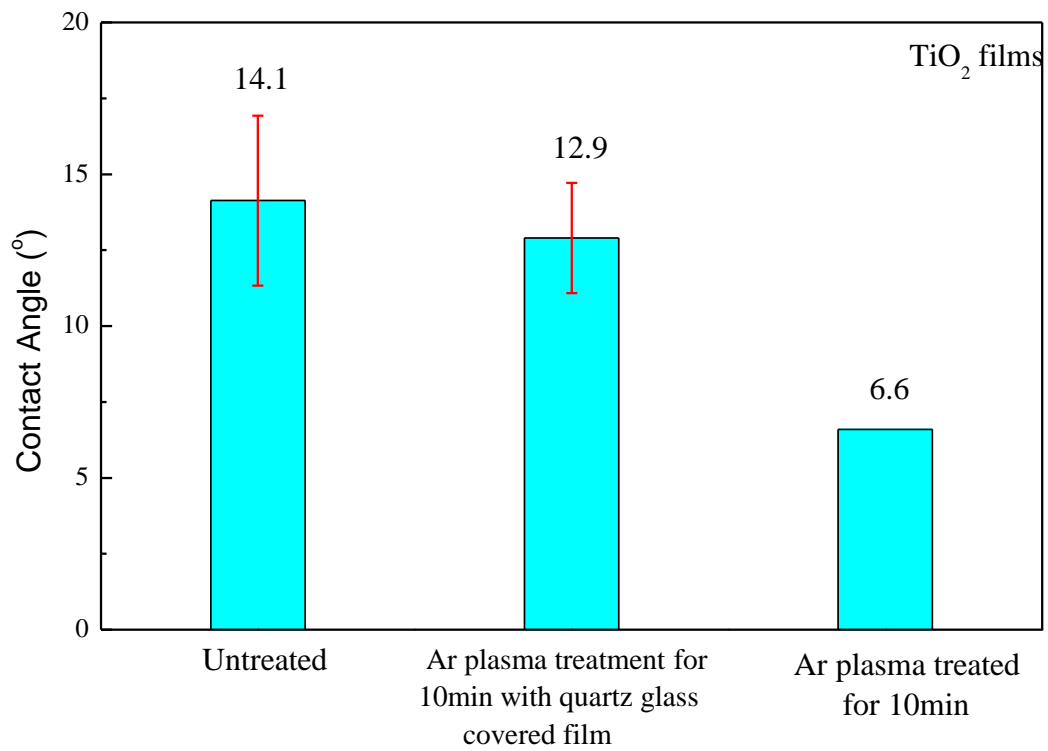


Fig.4-6 Discussion of effect of UV in plasma irradiation

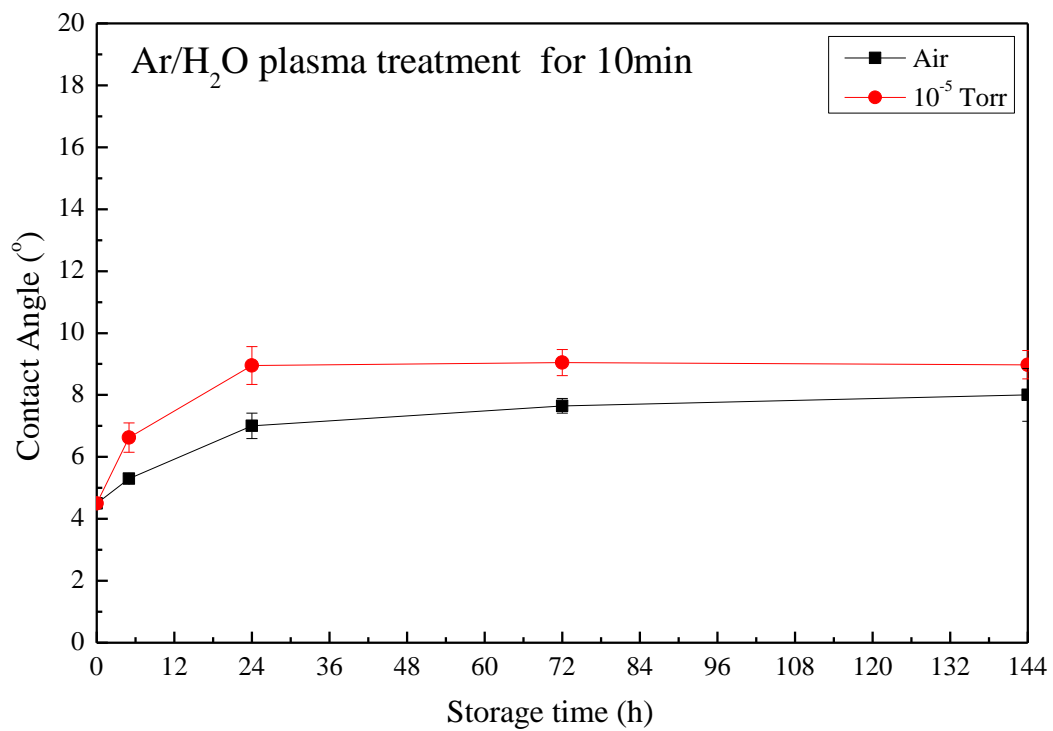


Fig.4-7 Changes of contact angles of plasma treated after different storage times

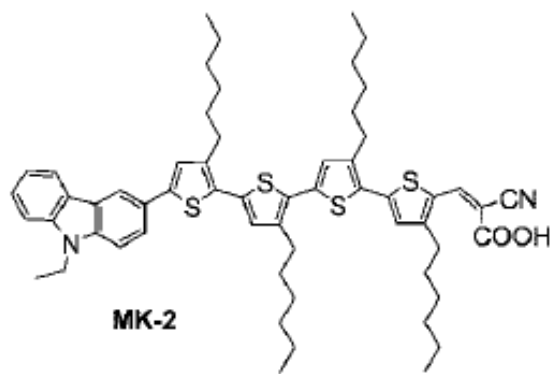


Fig.4-8 The structure of MK-2

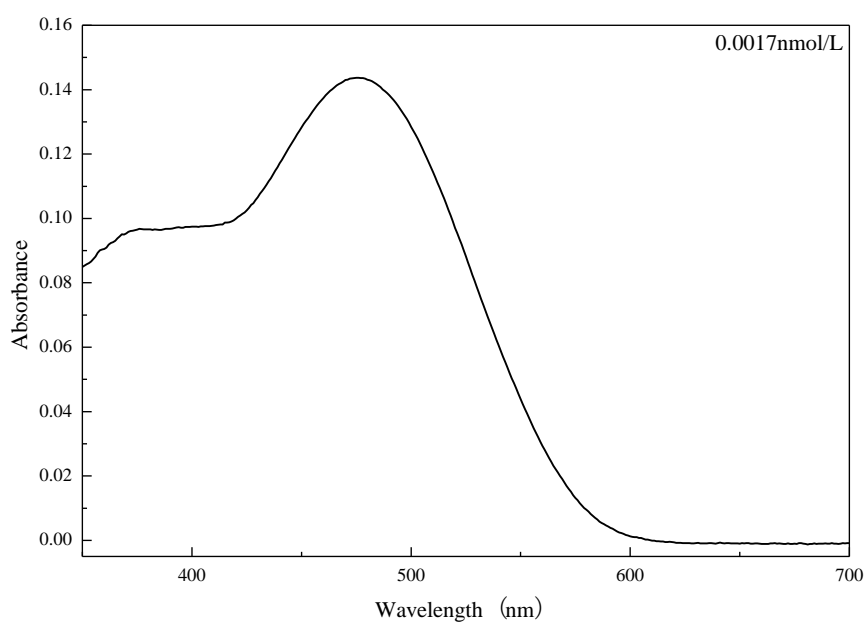


Fig.4-9 The absorbance curve of Dye MK-2 solution with concentration of 0.0017 mmol/L

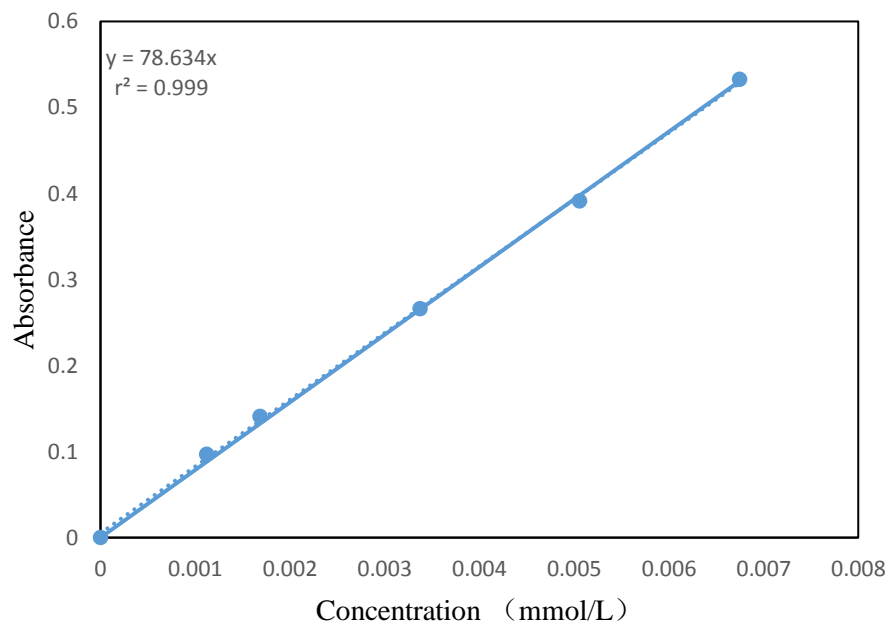


Fig.4-10 Standard curve of the relation between concentration and absorbance of the Dye MK-2 solution

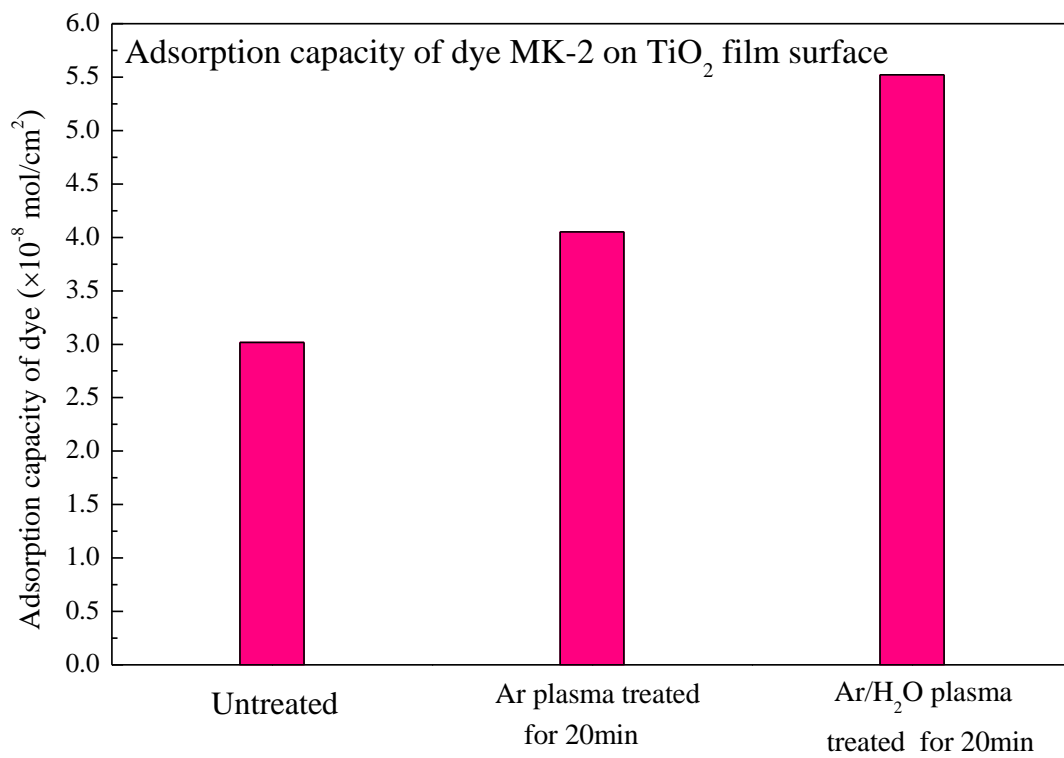


Fig.4-11 Evaluation of adsorbed dye MK-2 on TiO₂ films' surface

Chapter 5 Conclusions and prospect

1 Conclusions

The aim of this study is to apply non-equilibrium atmospheric pressure plasma jets in electronics industry, e.g. the semiconductor industry and solar cell area, to simplify the production process, reduce the production cost and improve the performance of materials. We discussed the application of non-equilibrium atmospheric pressure plasma to oxide such as silica and titanium dioxide which are widely used in electronics industry. In order to improve the shortcoming of less hydrophilicity surface of oxidized Si wafer and TiO₂ monocrystalline film, we introduce hydroxy group or reduce (create oxide vacancy) on their surfaces by using non-equilibrium atmospheric pressure plasma jet generated with three kinds of gases, which is Ar, Ar/H₂ and Ar/H₂O (water vapor was introduced by bubbling system). The longevity of hydrophilicity introduced by plasma was also investigated. We confirmed that hydrophilization process onto the oxidized Si wafers and TiO₂ films by plasma at ambient temperature could be finished within 1 minute in atmosphere.

In chapter 2, the non-equilibrium atmospheric pressure plasma generated with 2 kinds of working gases (Ar and Ar/H₂) was used to introduce hydrophilicity effect on thermally oxidized Si wafer surfaces. The results of XPS analysis and contact angle measurement showed that hydrophilicity can be introduced to the surface silicon oxide wafer surface by irradiation with non-equilibrium atmospheric pressure plasma. In the irradiation with Ar plasma, it seems that the Si-O bond is cleaved by energy transfer from the metastable argon atom (Ar^m) which is the active species, and hydroxy group is formed by contact with the atmosphere after plasma irradiation. In irradiation with Ar/H₂ plasma, H atoms are considered to act in addition to Ar^m. However, slight introduction of

hydrophilicity into the silicon oxide surface by Ar plasma and Ar/H₂ plasma was observed. It was considered that the Si-O bond cleaved by the interaction with Ar was promptly recombined.

In order to efficiently generate hydroxy groups, it was considered effective to coexist with H₂O. In chapter 3, the non-equilibrium atmospheric pressure plasma with bubbling system which can introduce water vapor during plasma irradiating was used to improve hydrophilicity on thermal oxidized Si wafer surfaces. The XPS results show that, the amount of surface silanol increased from 2.5% to 5.9%. Also, the water contact angle showed a drastic drop, decreasing from 59 ° to less than 10°. With increasing treating time, there is not an obvious change in concentration of hydroxy group. It strongly suggests that Ar/H₂O plasma could enhance hydrophilicity of oxidized Si wafer. With plasma treatment for 1 minute, the contact angle could decrease to 11°. In conclusion, as time goes on, contact angle stabilized at about 10°.

In order to investigate the influence of the structure of silicon oxide on the introduction of hydrophilicity by non-equilibrium atmospheric pressure plasma, quartz glass was treated with Ar/H₂O plasma. Furthermore, the stability of the hydrophilicity introduced on the silicon oxide surface was investigated. The amount of surface silanol and the water contact angle of the quartz glass treated with Ar/H₂O plasma changed like the surface silicon oxide wafer. The amount of surface silanol increased from 1.8% to 5.7%, and the contact angle decreased from 63 ° to 10 ° or less. In order to confirm the stability of the hydrophilicity of the silicon oxide surface introduced by Ar/H₂O plasma, change with time of the contact angle was observed. Surface silicon oxide wafers and quartz glass were stored in air and in vacuo after Ar/H₂O plasma treatment. It was revealed that the contact angle gradually increased with storage time. It is believed that adjacent silanols dehydrated and condensed to form siloxane bonds. The rate of increase in the contact angle of quartz

glass was slower than that of the surface silicon oxide wafer, but this was considered to be due to the difference in distance of Si atoms.

In this chapter, a characterization method for various name labeling approaches was discussed. The surface hydroxy group on thermally oxidized Si wafer after plasma treatment can be successfully determined by reaction of the OH group with tridecafluoro-1, 1, 2, 2-tetrahydrooctyl dimethyl-chlorosilane (FOCS) and subsequent electron spectroscopy for chemical analysis for fluorine which has high sensitivity. In order to better study hydroxy group on different depths of SiO₂ surface, photoelectrons are collected at a takeoff angle of 15°, 45° and 75°. For the labeling method, the concentration of silanol on surface of wafers treated by plasma with bubbling system is higher than the untreated wafer and Ar/H₂ plasma treated wafer. Another conclusion we get is that as depth of surface increases, the OH content will decrease. Plasma treatment can have an obvious effect on the outermost surface of samples.

Based on the above results, in chapter 4, the influence of non-equilibrium atmospheric pressure plasma on titanium oxide is studied. As a sample, a titanium oxide film prepared by coating titanium oxide nanoparticles on a glass plate was used. Ar/H₂O plasma was generated with using APC. In order to confirm the surface hydrophilicity, the Ti⁴⁺ / Ti³⁺ ratio and the hydroxy group concentration were estimated based on the XPS measurement and the water contact angle was evaluated. The results of XPS analysis and water contact angle measurements showed that hydroxy groups were introduced into titanium oxide surface by atmospheric pressure non-equilibrium plasma. The energy transfer from Ar^m seems to have resulted in cleavage of the Ti-O bonds to generate oxygen defects and additionally to hydroxy groups. By plasma irradiation, the ratio of Ti⁴⁺ / Ti³⁺ decreased from 16.5% to 9.1% and the amount of hydroxy groups increased from 4.0% to 8.7%. Also, the contact

angle decreased to 10 ° or less. We also confirmed the stability of the hydrophilicity introduced on the titanium oxide film surface by Ar/H₂O plasma. After the Ar/H₂O plasma treatment, the titanium oxide film was stored in air and in vacuo, and the water contact angle gradually increased with the storage time. In addition, it was revealed that the titanium oxide film treated with Ar/H₂O plasma increased the adsorption amount of the dye. It can be expected that introduction of hydrophilicity into oxide by non-equilibrium atmospheric pressure plasma contributes to performance improvement of dye sensitized solar cell.

2 Prospect

We reach the objectives of the study, based on those approaches and the conclusions introduced in previous chapters. As for further study in future, it is necessary to find optimum characterization methods on quantitative analysis of hydroxy group on oxidized Si wafer surface. In addition, I also hope to further investigate the detailed properties of DSSC cells, to verify practicability of TiO₂ nano-particles by non-equilibrium atmospheric pressure plasma in solar cells field.

List of publications

1. Introduction of hydrophilicity onto silicon oxide by non-equilibrium atmospheric pressure plasma with several working gases

Chen Long, Katsuhiko Hosoi, Shin-ichi Kuroda

Journal of Materials Life Society, vol. 31 (2019), in press

Related to Chapter 2 and 3

2. Introduction of hydroxy group onto titanium oxide by non-equilibrium atmospheric pressure plasma for the enhancement of dye adsorption

Chen Long, Katsuhiko Hosoi, Shin-ichi Kuroda

Journal of Materials Life Society, vol. 31 (2019), in press

Related to Chapter 4

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